

# Logic and Foundation II

## Part 5. Models of first-order arithmetic

Kazuyuki Tanaka

BIMSA

March 21, 2024



## Logic and Foundations II (Spring 2024)

- Part 5. Models of first-order arithmetic (continued)
- Part 6. Real-closed ordered fields: completeness and decidability
- Part 7. Theory of reals and reverse mathematics
- Part 8. Second order arithmetic and non-standard methods

## Part 5. Models of first-order arithmetic

- Jan. 04, Non-standard models and the omitting type theorem
- Jan. 11, Recursively saturated models
- 
- Mar. 12, Reviews
- Mar. 14, Friedman's theorem
- Mar. 19, Friedman's theorem (continued)
- Mar. 21, Resplendency and applications
- Mar. 26, Resplendency and applications (continued)

## Recap

- A **type**  $\Phi(\vec{x})$  is a set of formulas in free variables  $\vec{x} = (x_1, \dots, x_n)$ .
- $\mathfrak{A}$  **realizes**  $\Phi(\vec{x})$  by  $\vec{a}$ , if  $\mathfrak{A}_A \models \varphi(\vec{a})$  for all formulas  $\varphi(\vec{x})$  in  $\Phi(\vec{x})$ .
- A type  $\Phi(\vec{x})$  is a **type of a theory**  $T$  if  $T \cup \Phi(\vec{c})$  ( $\vec{c}$  new constants) is consistent. That is, there exists a model of  $T$  that realizes  $\Phi(\vec{x})$ .
- For a subset  $C$  of the universe of  $\mathfrak{A}$ , a **type on  $C$  in  $\mathfrak{A}$**  is a type of theory  $\text{Th}(\mathfrak{A}_C)$ .
- An  $\mathcal{L}$ -structure  $\mathfrak{A}$  is **recursively saturated**, if any recursive 1-type on  $\{\vec{a}\} \subseteq A$  is realized in  $\mathfrak{A}$ , that is, for any recursive type  $\{\varphi_i(x, \vec{x}) \mid i \in \mathbb{N}\}$  and any  $\{\vec{a}\} \subseteq A$ ,

$$\forall j \exists a \in A \forall i < j \mathfrak{A}_A \models \varphi_i(a, \vec{a}) \Rightarrow \exists a \in A \forall i \mathfrak{A}_A \models \varphi_i(a, \vec{a}).$$

- An  $\mathcal{L}$ -structure  $\mathfrak{A}$  is **resplendent**, if for a sentence  $\varphi$  in a language  $\mathcal{L}^+ \supseteq \mathcal{L}_A$  such that  $\text{Th}(\mathfrak{A}_A) \cup \{\varphi\}$  is consistent, there exists an  $\mathcal{L}^+$ -expansion  $\mathfrak{A}^+$  of  $\mathfrak{A}$  such that  $\mathfrak{A}^+ \models \varphi$ .

## Definition

An  $\mathcal{L}$ -structure  $\mathfrak{A}$  is **strongly resplendent**, if for any recursive type  $\Phi(\vec{x})$  in a language  $\mathcal{L}^+ = \mathcal{L} \cup \{\text{finitely many additional symbols}\}$  and  $\vec{a} \in A^{<\omega}$  such that  $\text{Th}(\mathfrak{A}_A) \cup \Phi(\vec{a})$  is consistent, there exists an  $\mathcal{L}^+$ -expansion  $\mathfrak{A}^+$  of  $\mathfrak{A}$  which is a model of  $\Phi(\vec{a})$ .

- In the definition of **strongly resplendent**, if we restrict the type  $\Phi(\vec{x})$  to be a single formula, we obtain the definition of **resplendent**, and if we let  $\mathcal{L}^+ = \mathcal{L} \cup \{c\}$ , it becomes the definition of **recursive saturation**. Hence, strongly resplendent structures are both resplendent and recursively saturated.
- Furthermore, similar to the case of resplendent structures, it is worth noting that the consistency of  $\text{Th}(\mathfrak{A}_A) \cup \Phi(\vec{a})$  coincides with the consistency of  $\text{Th}(\mathfrak{A}_{\{\vec{a}\}}) \cup \Phi(\vec{a})$ .
- We will now demonstrate that under certain natural assumptions, the above three properties coincide.

## Theorem (Barwise-Ressayre)

Countable recursively saturated structures are strongly resplendent.

### Proof

- Let  $\mathfrak{A}$  be a countable recursively saturated structure in a countable language  $\mathcal{L}$ .
- To show that  $\mathfrak{A}$  is strongly resplendent, let  $\Phi(\vec{x})$  be a recursive type in a finitely extended language  $\mathcal{L}^+$  of  $\mathcal{L}$  and  $\vec{a} \in A^\omega$  such that  $\text{Th}(\mathfrak{A}_A) \cup \Phi(\vec{a})$  is consistent. Then, we want to construct a model  $\mathfrak{A}^+$  of  $\Phi(\vec{a})$  with the same domain  $|\mathfrak{A}|$ .
- $\mathfrak{A}^+$  will be constructed by Henkin's method, in which Henkin constants are selected as elements of  $A$  by the recursively saturated nature of  $\mathfrak{A}$ .

Now, let's look into the details of construction of  $\mathfrak{A}^+$ .

- First, let  $\{\varphi_n(x) : n \in \omega\}$  enumerate the formulas in  $\mathcal{L}_A$  with only one free variable  $x$ .

- We construct a sequence of finite subsets of  $A$  and that of recursive theories in  $\mathcal{L}_A^+$ ,

$$A_0 = \{\vec{a}\} \subseteq A_1 \subseteq A_2 \subseteq \cdots, \quad T_0 = \Phi(\vec{a}) \subseteq T_1 \subseteq T_2 \subseteq \cdots,$$

satisfying the following: for each  $n$

(1)  $T_n$  is a recursive set of sentences in  $\mathcal{L}_{A_n}^+$ , and  $T_n \cup \text{Th}(\mathfrak{A}_A)$  is consistent.

(2) either  $\varphi_n(a) \in T_{n+1}$  for some  $a \in A$  or  $\neg\exists x\varphi_n(x) \in T_{n+1}$ .

- Now, suppose the construction is completed, and let  $T_\omega = \bigcup_n T_n$ .
- To show  $T_\omega$  is complete, take a sentence  $\sigma$  in  $\mathcal{L}_A^+$  such that  $T_\omega \not\vdash \sigma$ . Then  $\sigma$  is  $\varphi_k$  (with no free variable) for some  $k$ . Obviously,  $\sigma \notin T_{k+1}$ , since  $T_\omega \not\vdash \sigma$ . Thus, by condition (2), we have  $\neg\exists x\sigma \in T_{k+1}$ , and so  $T_\omega \vdash \neg\sigma$ . Therefore,  $T_\omega$  is complete. Hence, we also have  $\text{Th}(\mathfrak{A}_A) \subseteq T_\omega$  since  $T_\omega \cup \text{Th}(\mathfrak{A}_A)$  is consistent by condition (1).
- If  $T_\omega \vdash \exists x\varphi_n(x, \vec{a})$ , then by (2), there exists some  $a \in A$  such that  $\varphi_n(a) \in T_\omega$ .
- Then  $T_\omega$  is a complete Henkin theory. By Henkin method, we can construct a structure  $\mathfrak{A}^+$  over the domain  $A$ , such that  $T_\omega = \text{Th}(\mathfrak{A}_A^+)$ , and therefore  $\mathfrak{A}^+ \models \Phi(\vec{a})$ .

Finally, we will construct the sequences  $\{A_n\}$  and  $\{T_n\}$  by induction.

- Assume that the construction up to  $n$  has been done.
- Take  $\varphi_n(x)$  and let  $B = A_n \cup \{\text{elements of } A \text{ occurring in } \varphi_n(x)\}$ , and define

$$\Psi(x) = \{\psi(x) : \psi(x) \text{ is a one-variable formula in } \mathcal{L}_B, \text{ and } T_n \vdash \varphi_n(x) \rightarrow \psi(x)\}.$$

- Although  $\Psi(x)$  is  $\Sigma_1$  as it is, it can be treated as a recursive type by Craig's method.
- Since the structure  $\mathfrak{A}$  is recursively saturated, we can either find an  $a \in A$  realizing  $\Psi(x)$  or find a finite subset  $\{\psi_i(x) : i \leq j\}$  of  $\Psi(x)$  such that

$$\mathfrak{A}_A \models \neg \exists x \bigwedge_{i \leq j} \psi_i(x).$$

- In the former case, we let  $A_{n+1} = B \cup \{a\}$ ,  $T_{n+1} = T_n \cup \{\varphi_n(a)\}$ .
- To check the consistency of  $T_{n+1} \cup \text{Th}(\mathfrak{A}_A)$ , we show that any  $\mathcal{L}_{A_{n+1}}$  sentence provable in  $T_{n+1}$  is true in  $\mathfrak{A}_A$ . So, let  $\psi(x)$  be a formula in  $\mathcal{L}_B$  such that  $T_{n+1} \vdash \psi(a)$ . If  $a \notin B$ ,  $T_n \vdash \varphi_n(a) \rightarrow \psi(a)$  implies  $T_n \vdash \varphi_n(x) \rightarrow \psi(x)$  and so  $\psi(x) \in \Psi(x)$ . Since  $a$  realizes  $\Psi(x)$ ,  $\psi(a)$  holds in  $\mathfrak{A}_A$ . On the other hand, if  $a \in B$ , then by  $T_n \vdash \varphi_n(x) \rightarrow (x = a \rightarrow \psi(x))$ , we get  $(x = a \rightarrow \psi(x)) \in \Psi(x)$ , which implies  $(a = a \rightarrow \psi(a)) \in \text{Th}(\mathfrak{A}_A)$ . Thus,  $\psi(a)$  holds in  $\mathfrak{A}_A$ .

- Next, we consider the case that  $\mathfrak{A}_A \models \neg\exists x \bigwedge_{i \leq j} \psi_i(x)$ . In this case, we simply set

$$A_{n+1} = A_n, \quad T_{n+1} = T_n \cup \{\neg\exists x \varphi_n(x)\}.$$

- Since  $T_n \vdash \varphi_n(x) \rightarrow \bigwedge_{i \leq j} \psi_i(x)$ , we have  $T_n \vdash \neg\exists x \bigwedge_{i \leq j} \psi_i(x) \rightarrow \neg\exists x \varphi_n(x)$ . So, to show that  $T_{n+1} \cup \text{Th}(\mathfrak{A}_A)$  is consistent, we may show the consistency of

$$T_n \cup \{\neg\exists x \bigwedge_{i \leq j} \psi_i(x)\} \cup \text{Th}(\mathfrak{A}_A).$$

- So, take a sentence  $\psi$  in  $\mathcal{L}_B$  such that  $T_n \vdash \neg\exists x \bigwedge_{i \leq j} \psi_i(x) \rightarrow \psi$ , and we will show  $\psi$  holds in  $\mathfrak{A}_A$ .
- By the induction hypothesis,  $T_n \cup \text{Th}(\mathfrak{A}_A)$  is consistent, so  $\neg\exists x \bigwedge_{i \leq j} \psi_i(x) \rightarrow \psi$  holds in  $\mathfrak{A}_A$ . Moreover, we have the premise  $\mathfrak{A}_A \models \neg\exists x \bigwedge_{i \leq j} \psi_i(x)$ . Therefore,  $\psi$  also holds in  $\mathfrak{A}_A$ . This completes the proof.  $\square$



Recall **Problem 5** of Lec05-02

Let  $\mathfrak{A} = (A, +, \cdot, 0, 1, <)$  be a non-standard model of  $I\Sigma_1$ . Show that  $\mathfrak{A}' = (A, +, 0, 1, <)$  is recursively saturated.

### Example 5

- In the above problem 5, it was shown that if  $\mathfrak{A} = (A, +, \cdot, 0, 1, <)$  is a nonstandard model of  $I\Sigma_1$ , then  $\mathfrak{A}' = (A, +, 0, 1, <)$  becomes recursively saturated.
- Conversely, suppose  $\mathfrak{A}' = (A, +, 0, 1, <)$  is a recursively saturated model of Presburger arithmetic and is countable. Then, by the previous theorem,  $\mathfrak{A}'$  is strongly resplendent.
- On the other hand, Presburger arithmetic is complete, and the set of its theorems coincides with  $\text{Th}(\mathfrak{A}')$ . Therefore,  $\text{Th}(\mathfrak{A}') \cup \text{PA}$  is nothing but PA, which is a recursive consistent set.
- Hence, there exists a suitable interpretation of  $\cdot$  such that  $\mathfrak{A} = (A, +, \cdot, 0, 1, <)$  becomes a model of PA. In summary, a countable model  $\mathfrak{A} = (A, +, \cdot, 0, 1, <)$  of  $I\Sigma_1$  can be turned into a model  $\mathfrak{A}' = (A, +, \cdot', 0, 1, <)$  of PA by changing the interpretation of multiplication (the “misbuttoning theorem”).

Next, when  $\mathcal{L}$  is finite, the equivalence of resplendency and strong resplendency can be derived from the following Kleene's theorem.

### Theorem (Kleene)

Let  $\mathcal{L}$  be finite, and  $\Phi(\vec{v})$  be a recursive type in  $\mathcal{L}$ . Then, there exists a formula  $\varphi(\vec{v})$  in some finite extension language  $\mathcal{L}^+ \supseteq \mathcal{L}$  such that,

- (1) If a structure  $\mathfrak{A}^+$  in  $\mathcal{L}^+$  satisfies  $\varphi(\vec{a})$ , then its reduct  $\mathfrak{A}$  to  $\mathcal{L}$  satisfies  $\Phi(\vec{a})$ .
- (2) If an infinite structure  $\mathfrak{A}$  in  $\mathcal{L}$  satisfies  $\Phi(\vec{a})$ , then there exists an expansion  $\mathfrak{A}^+$  in  $\mathcal{L}^+$  that satisfies  $\varphi(\vec{a})$ .

**Proof.** The basic idea is to transform meta-mathematical arguments about  $\mathcal{L}$ -structures into mathematical arguments by extending the language to include  $Q_{<}$  so that recursive types of  $\mathcal{L}$ -structures can be represented by a single formula. In other words, we will incorporate the arithmetical structure with part of the domain.

- Let  $\mathcal{L}^+$  be an extended language of  $\mathcal{L}$  obtained by adding the following symbols:

$$\mathbb{N}(x), +, \cdot, 0, 1, <, \text{Eval}(n, x), \text{Sat}(n, x), \pi(a, i).$$

Here,  $\mathbb{N}(x)$  represents the domain of arithmetic.  $\text{Eval}(n, a)$  is a function to evaluate terms in  $\mathcal{L}$  and  $\text{Sat}(n, a)$  the satisfaction relation of  $\mathcal{L}$ -structures, where  $n$  is the Gödel number of a term or formula, and  $a$  represents an assignment to variables. Finally,  $\pi(a, i) = a_i$  is the projection function extracting the  $i$ -th component  $a_i$  from a code  $a$  intending to express an infinite sequence  $(a_0, a_1, \dots)$ .

- We want to express the recursive type  $\Phi(\vec{v})$  in  $\mathcal{L}$  as a formula  $\varphi(\vec{v})$  in  $\mathcal{L}^+$ , which consists of six components  $\sigma_i$  ( $i = 1, \dots, 6$ ). Each  $\sigma_i$  ( $i = 1, \dots, 5$ ) is a sentence, and  $\sigma_6$  is a formula with free variables  $\vec{v}$ , and  $\varphi(\vec{v})$  is defined by

$$\varphi(\vec{v}) \equiv \sigma_1 \wedge \sigma_2 \wedge \dots \wedge \sigma_6.$$

1.  $\sigma_1$  expresses the basic properties of  $\mathbb{N}(x)$  as follows:

$$\mathbb{N}(0) \wedge \mathbb{N}(1) \wedge \forall x \forall y (\mathbb{N}(x) \wedge \mathbb{N}(y) \rightarrow \mathbb{N}(x + y) \wedge \mathbb{N}(x \cdot y)).$$

2.  $\sigma_2$  represents  $(N, +, \cdot, 0, 1, <) \models Q_{<}$ , i.e.,  $\sigma_2$  is the conjunction of the eight axioms of  $Q_{<}$  with quantifiers restricted to  $N$ . For example, A10 (predecessor) is expressed as

$$\forall x(N(x) \rightarrow (x \neq 0 \rightarrow \exists y(N(y) \wedge y + 1 = x))).$$

3.  $\sigma_3$  is the following sentence stipulating a projection  $\pi(x, i)$ : for  $i, j$  ranging over  $N$ ,

$$\forall x \forall i \forall z \exists y (\forall j \neq i (\pi(y, j) = \pi(x, j)) \wedge \pi(y, i) = z).$$

Here,  $y$  is the code of a sequence obtained by replacing the  $i$ -th element of  $x$  with  $z$ , denoted  $x[z/i]$ .

Note that  $\sigma_3$  does not assert the existence of infinite sequences in general, but it says that finite parts can be specified arbitrarily. In fact, we will treat a finite sequence  $\vec{u} = (u_0, u_1, \dots, u_{k-1})$  as  $0[u_0/\bar{0}][u_1/\bar{1}] \cdots [u_{k-1}/\overline{k-1}]$ , where  $0 = (0, 0, 0, \dots)$ .

Since any primitive recursive function over  $N$  is representable in  $Q_{<}$ , Gödel numbers  $\ulcorner \urcorner$  of terms and formulas in  $\mathcal{L}$  can be handled as elements of  $N$ .

4.  $\sigma_4$  describes the function  $\text{Eval}(n, x)$  that evaluates terms in  $\mathcal{L}$ . It is defined as the conjunction of the following sentences: For variables  $v_0, v_1, \dots$ ,

$$\forall i (\in N) \forall a (\text{Eval}(\ulcorner v_i \urcorner, a) = \pi(a, i)).$$

For each  $m$ -ary function symbol  $\mathbf{f}$  in  $\mathcal{L}$ ,

$$\begin{aligned} \forall t_0, \dots, t_{m-1} (\in N) \forall a (\text{Eval}(\ulcorner \mathbf{f}(t_0, \dots, t_{m-1}) \urcorner, a) \\ = \mathbf{f}(\text{Eval}(\ulcorner t_0 \urcorner, a), \dots, \text{Eval}(\ulcorner t_{m-1} \urcorner, a))). \end{aligned}$$

5.  $\sigma_5$  describes the satisfaction relation  $\text{Sat}(n, x)$  of  $\mathcal{L}$ -structures. It consists of the following sentences. For each  $n$ -ary relation symbol  $\mathbf{R}$  of  $\mathcal{L}$  (including equality),

$$\forall t_0, \dots, t_{n-1} \forall a (\text{Sat}(\ulcorner \mathbf{R}(t_0, \dots, t_{n-1}) \urcorner, a) \leftrightarrow \mathbf{R}(\text{Eval}(\ulcorner t_0 \urcorner, a), \dots, \text{Eval}(\ulcorner t_{n-1} \urcorner, a))).$$

For each logical symbol, we have

$$\forall a (\text{Sat}(\ulcorner \psi_0 \wedge \psi_1 \urcorner, a) \leftrightarrow (\text{Sat}(\ulcorner \psi_0 \urcorner, a) \wedge \text{Sat}(\ulcorner \psi_1 \urcorner, a))),$$

$$\forall a (\text{Sat}(\ulcorner \exists x_i \psi \urcorner, a) \leftrightarrow \exists b \text{Sat}(\ulcorner \psi \urcorner, a[b/i]))$$

and so on.

6.  $\sigma_6$  is a formula expressing  $\Phi(\vec{v})$  using Sat. Let  $\gamma(n)$  be a formula expressing the recursive set of the Gödel numbers of formulas in  $\Phi(\vec{v})$  in  $\mathcal{Q}_{<}$ , and define  $\sigma_6$  as follows:

$$\forall n \in N(((N, +, \cdot, 0, 1, <) \models \gamma(\bar{n})) \rightarrow \text{Sat}(n, \vec{v})).$$

In this way, we have defined  $\varphi(\vec{x})$ , and we will now verify that it satisfies the theorem.

First, to prove condition (1), suppose that in a structure  $\mathfrak{A}^+$  in  $\mathcal{L}^+$ ,  $a = (a_0, \dots, a_{l-1})$  realizes  $\varphi(\vec{v})$ . Take any  $\psi(\vec{v})$  in  $\Phi(\vec{v})$ . Then,  $\mathcal{Q}_{<} \vdash \gamma(\overline{\ulcorner \psi(\vec{v}) \urcorner})$ , and by  $\sigma_2$  and  $\sigma_6$ , we have:

$$\mathfrak{A}^+ \models \text{Sat}(\ulcorner \psi \urcorner, a).$$

By meta-induction on the construction of the formula  $\psi$ , we can prove by  $\sigma_4$  and  $\sigma_5$  that

$$\mathfrak{A}^+ \models \text{Sat}(\ulcorner \psi \urcorner, a) \leftrightarrow \psi(a_0, \dots, a_{l-1})$$

Therefore, we have

$$\mathfrak{A}^+ \models \psi(a_0, \dots, a_{l-1}),$$

which implies that  $\psi(a_0, \dots, a_{l-1})$  holds in its reduct  $\mathfrak{A}$  to  $\mathcal{L}$ . Since  $\psi(\vec{v}) \in \Phi(\vec{v})$  is arbitrary,  $\mathfrak{A}$  realizes  $\Phi(\vec{v})$  by  $\vec{a}$ , which proves condition (1).

Next, to prove (2), suppose conversely that an infinite structure  $\mathfrak{A}$  in  $\mathcal{L}$  realizes  $\Phi(\vec{v})$  by  $\vec{a}$ .

- Choose a countably infinite subset  $N$  of  $|\mathfrak{A}|$  and define  $+, \cdot, 0, 1, <$  on  $N$  so that  $(N, +, \cdot, 0, 1, <)$  is isomorphic to the standard structure of arithmetic. And extend  $+, \cdot$  to total functions on  $A$  in an arbitrary way. Then,  $\sigma_1$  and  $\sigma_2$  clearly hold.
- Since  $A$  is infinite, there exists a bijection between  $A$  and  $A^{<\omega}$ . Let  $B \subset A^\omega$  be the set of infinite sequences with all but finitely many elements being 0. Then, we can take a surjection  $h : A \rightarrow B$ . Now, define  $\pi(a, i)$  to be the  $i$ -th element  $b_i$  of  $h(a) = (b_0, b_1, \dots)$ . Then,  $\sigma_3$  holds.
- Furthermore, by defining  $\text{Eval}(\ulcorner t \urcorner, a)$  as the value of a term  $t$  at  $a$ , and the satisfaction relation  $\text{Sat}(n, x)$  as

$$\text{Sat}(\ulcorner \psi \urcorner, a) \Leftrightarrow \mathfrak{A} \models \psi(a_0, \dots, a_{l-1}),$$

we establish  $\sigma_4$  and  $\sigma_5$ .

- Finally, for  $\sigma_6$ , we have:

$$(N, +, \cdot, 0, 1, <) \models \gamma(\overline{\ulcorner \psi \urcorner}) \Leftrightarrow \psi(\vec{v}) \in \Phi(\vec{v}) \Rightarrow \psi(a_0, \dots, a_{l-1}) \Leftrightarrow \text{Sat}(\overline{\ulcorner \psi \urcorner}, a).$$

Thus,  $\varphi(\vec{a})$  holds in  $\mathfrak{A}^+$ , and so condition (2) is satisfied. □

## Corollary (Barwise)

A resplendent structure in a finite language  $\mathcal{L}$  is strongly resplendent, and so recursively saturated.

### Proof.

- Let  $\mathcal{L}$  be a finite language, and  $\mathfrak{A}$  be a resplendent structure in  $\mathcal{L}$ . If  $\mathfrak{A}$  is finite, then it is already recursively saturated and so strongly resplendent (by Barwise-Ressayre). Thus, we may assume that  $\mathfrak{A}$  is infinite.
- To show that  $\mathfrak{A}$  is strongly resplendent, suppose a recursive type  $\Phi(\vec{v})$  in  $\mathcal{L}'(\supset \mathcal{L})$  is given so that  $\text{Th}(\mathfrak{A}_A) \cup \Phi(\vec{a})$  is consistent.
- Then, we can construct  $\varphi(\vec{v})$  in  $\mathcal{L}'^+$  to satisfy Kleene's Theorem.
- Let  $\mathfrak{A}'$  be an  $\mathcal{L}'$ -expansion of an elementary extension of  $\mathfrak{A}$  which satisfies  $\Phi(\vec{a})$ . Then, by Kleene's Theorem (2),  $\mathfrak{A}'$  has an  $\mathcal{L}'^+$ -expansion  $\mathfrak{A}'^+$  which satisfies  $\varphi(\vec{a})$ . Thus by the resplendency of  $\mathfrak{A}$ ,  $\mathfrak{A}$  also has an  $\mathcal{L}'^+$ -expansion which satisfies  $\varphi(\vec{a})$ .
- Finally, by Kleene's Theorem (1),  $\Phi(\vec{a})$  holds in  $\mathfrak{A}$ . This proves that  $\mathfrak{A}$  is strongly resplendent. □



Let us consider Kleene's Theorem for an arithmetic structure  $\mathfrak{A}$ .

- If  $\mathcal{L}$  already includes the language of arithmetic  $\mathcal{L}_{\text{OR}}$ , and a  $\mathcal{L}$ -structure  $\mathfrak{A}$  is already a model of  $\mathbb{Q}_{<}$ , there is no need to introduce  $+$ ,  $\cdot$ ,  $0$ ,  $1$ ,  $<$ ,  $\text{Eval}(n, x)$ ,  $\pi(x, i)$  separately. To prove Kleene's theorem, it suffices to use  $\mathbb{N}(x)$  and  $\text{Sat}(n, x)$ .
- If  $\mathfrak{A}$  is resplendent, we can introduce  $\mathbb{N}(x)$  and  $\text{Sat}(n, x)$  as relations in  $\mathfrak{A}$ . Then, we can derive various properties of  $\mathfrak{A}$ .

## Theorem

For any countable resplendent model  $\mathfrak{A}$  of Peano Arithmetic PA, there exists a (proper) initial segment that is isomorphic to  $\mathfrak{A}$ , and  $\mathfrak{A}$  is an elementary extension of this initial segment.

**Proof.** To the language of arithmetic  $\mathcal{L}_{\text{OR}}$ , add  $\mathbb{N}(x)$ ,  $\text{Sat}(n, x)$ , as well as  $\text{Sat}_N(n, x)$  to represent the satisfaction relation for  $N$ , and  $\mathfrak{f}(x)$  to represent an isomorphism between the whole structure and its restriction to  $N$ .

Now, consider a recursive type claiming that  $N$  is an initial segment isomorphic to the whole  $\mathfrak{A}$ , and is also an elementary substructure. This type is consistent with  $\text{Th}(\mathfrak{A}_A)$  by Friedman's theorem. By resplendency,  $N$  can be realized as an initial segment of  $\mathfrak{A}$ .  $\square$

## Theorem

For a resplendent model  $\mathfrak{A}$  of Peano Arithmetic PA, there exists a satisfaction relation  $Sat$ , such that for any  $\mathcal{L}_{OR}$  formula  $\psi$ ,

$$(\mathfrak{A}, Sat) \models \forall a (Sat(\ulcorner \psi \urcorner, a) \leftrightarrow \psi(a_0, \dots, a_{l-1}))$$

and  $(\mathfrak{A}, Sat)$  satisfies induction for formulas in  $\mathcal{L}_{OR} \cup \{Sat\}$ . Conversely, if a model  $\mathfrak{A}$  of Peano Arithmetic PA has such a relation  $Sat$ , then  $\mathfrak{A}$  is recursively saturated. Hence, if countable, it is resplendent.

**Proof.** The existence of  $Sat$  follows from the resplendency and the definition of  $Sat$  in Kleene's theorem. To show that  $(\mathfrak{A}, Sat)$  satisfies induction, it is enough to see that the recursive set of sentences representing the induction for  $\mathcal{L}_{OR} \cup \{Sat\}$  is consistent with  $\text{Th}(\mathfrak{A}_A)$ . The second part is obvious from the proof of the following lemma.

Lemma (revisit)

For each  $n > 0$ , a non-standard model  $\mathfrak{A}$  of  $I\Sigma_n$  is  $\Sigma_n$ -recursively saturated.

□

## Theorem (Robinson's Joint Consistency Theorem)

Let  $\mathcal{L} = \mathcal{L}_1 \cap \mathcal{L}_2$ , and  $T$  be a complete theory in the language  $\mathcal{L}$ , with  $T_1$  and  $T_2$  as extensions of  $T$  in the languages  $\mathcal{L}_1$  and  $\mathcal{L}_2$ , respectively. Then,  $T_1 \cup T_2$  is consistent if and only if  $T_1$  and  $T_2$  are separately consistent.

**Proof.** The necessity is clear, so we will prove the sufficiency. Assume  $T_1$  and  $T_2$  are consistent, but  $T_1 \cup T_2$  is inconsistent.

- Since  $T_1 \cup T_2$  is inconsistent, there exist finite subsets  $S_1 \subseteq T_1$  and  $S_2 \subseteq T_2$  such that  $S_1 \cup S_2$  also leads to a contradiction.
- Suppose  $S_1$  and  $S_2$  are theories in finite languages  $\mathcal{L}'_1$  and  $\mathcal{L}'_2$ , respectively. Define  $\mathcal{L}' = \mathcal{L}'_1 \cap \mathcal{L}'_2$ , and let  $T'$  be the set of  $\mathcal{L}'$ -sentences that can be deduced from  $T$ . Then,  $T'$  is a complete and consistent set in the language  $\mathcal{L}'$ , since  $T$  is a complete and consistent set in  $\mathcal{L}$ .
- Moreover, let  $S'_1 = S_1 \cup T'$  and  $S'_2 = S_2 \cup T'$ . Since  $S'_1$  and  $S'_2$  are subsets of  $T_1$  and  $T_2$ , respectively, they are separately consistent.

- Consider a countable saturated model  $\mathfrak{A}$  of  $T'$ . Since  $T'$  is complete,  $T' = \text{Th}(\mathfrak{A})$ .
- Since  $S'_1 = S_1 \cup \text{Th}(\mathfrak{A})$  is consistent, by resplendency of  $\mathfrak{A}$ ,  $\mathfrak{A}$  can be extended to a model  $\mathfrak{A}_1$  of  $S_1$  in  $\mathcal{L}'_1$ .
- Similarly,  $\mathfrak{A}$  can be extended to a model  $\mathfrak{A}_2$  of  $S_2$  in  $\mathcal{L}'_2$ . Therefore, by defining the interpretation of symbols in  $\mathcal{L}'_1 - \mathcal{L}'$  to be the same as in  $\mathfrak{A}_1$  and in  $\mathcal{L}'_2 - \mathcal{L}'$  to be the same as in  $\mathfrak{A}_2$ , we extend  $\mathfrak{A}$  to a structure  $\mathfrak{A}'$  in  $\mathcal{L}'_1 \cup \mathcal{L}'_2$ .
- Then,  $\mathfrak{A}'$  is a model of  $S_1 \cup S_2$ , which contradicts our assumption. Thus, we complete the proof.



## Corollary (Craig's Interpolation Theorem)

If a formula  $\varphi \rightarrow \psi$  is provable ( $\vdash \varphi \rightarrow \psi$ ), then there exists a formula  $\theta$  consisting of mathematical symbols appearing in  $\varphi$  and  $\psi$  commonly, besides logical symbols and  $=$ , such that  $\vdash \varphi \rightarrow \theta$  and  $\vdash \theta \rightarrow \psi$ .

The formula  $\theta$  satisfying the above theorem is called an **interpolant** for  $\varphi$  and  $\psi$ .

### Proof

- Assume  $\vdash \varphi \rightarrow \psi$  with no interpolant  $\theta$ . Let  $\mathcal{L}$  be the language consisting of symbols common to  $\varphi$  and  $\psi$ . Let  $T_0$  be the set of formulas  $\xi$  in  $\mathcal{L}$  such that  $\vdash \varphi \rightarrow \xi$ .
- Since no finite subset of  $T_0$  implies  $\psi$ ,  $T_0 \cup \{\neg\psi\}$  is consistent.
- Consider a model  $\mathfrak{A}$  of  $T_0 \cup \{\neg\psi\}$ , and let  $T$  be the set of all  $\mathcal{L}$  formulas contained in  $\text{Th}(\mathfrak{A})$ . Clearly,  $T \cup \{\neg\psi\}$  is consistent.
- To show that  $T \cup \{\varphi\}$  is also consistent, assume otherwise. Then there exists a formula  $\sigma$  in  $T$  such that  $\vdash \varphi \rightarrow \neg\sigma$ . Thus,  $\neg\sigma \in T_0 \subseteq T$ , which implies the inconsistency of  $T$ .
- By Robinson's joint consistency theorem,  $T \cup \{\varphi, \neg\psi\}$  is also consistent, contradicting the assumption  $\vdash \varphi \rightarrow \psi$ . □

Thank you for your attention!