

K. Tanaka

Resplendency Applications

# Logic and Foundation II Part 5. Models of first-order arithmetic

Kazuyuki Tanaka

BIMSA

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# - Logic and Foundations II (Spring 2024)

- Part 5. Models of first-order arithmetic (continued)
- Part 6. Real-closed ordered fields: completeness and decidability
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- Part 5. Models of first-order arithmetic

- Jan. 04, Non-standard models and the omitting type theorem
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# Theorem (Friedman's self-embedding theorem)

Let n > 0,  $\mathfrak{A}$  be a countable non-standard model of  $I\Sigma_n$ , and take  $a \in A$  arbitrarily. Then there exists an initial segment  $\mathfrak{A}'$  of  $\mathfrak{A}$  such that  $a \in A'$  but  $A' \subsetneq A$ , and  $\mathfrak{A} \cong \mathfrak{A}'$  and for any  $\prod_{n=1}$  formula  $\varphi(\vec{x})$  and any  $\vec{a'} \in A'^{<\omega}$ ,

$$\mathfrak{A}'_{A'}\models\varphi(\vec{a'})\Leftrightarrow\mathfrak{A}_{A'}\models\varphi(\vec{a'}).$$

- The essence of this theorem is that a countable non-standard model of  $\mathrm{I}\Sigma_1$  has an initial segment that is isomorphic to itself.
- Friedman first proved this theorem for a countable non-standard model of Peano arithmetic, and several researchers sophisticated it to the above form.
- The same theorem does not hold for non-countable models, and also it does not hold in general for countable non-standard models of  $I\Sigma_0$ .
- Furthermore, an important result related to this is McAloon's theorem, which states that a countable non-standard model of  $\mathrm{I}\Sigma_0$  has an initial segment that is a model of Peano arithmetic PA.

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# Introduction to Resplendency

- Recursive saturation of a structure means that it contains many "elements" that satisfy recursive conditions, but by generalizing this property to relations and functions, we introduce a new concept.
- By saying that a structure  $\mathfrak{A}$  in the language  $\mathcal{L}$  has "resplendency", we mean that if a formula  $\varphi(\vec{R})$  with new relation symbols  $\vec{R} \notin \mathcal{L}$  consistent with  $\operatorname{Th}(\mathfrak{A}_A)$ ,  $\varphi(\vec{R})$  can hold in  $\mathfrak{A}$  by appropriate interpretation of  $\vec{R}$ .
- In a resplendent model of arithmetic, hidden properties of the structure can be found by using new relation symbols for an initial segment and satisfaction relation.

### Definition

The  $\mathcal{L}$ -structure  $\mathfrak{A}$  is said to be **resplendent**, if for a sentence  $\varphi$  in a language  $\mathcal{L}^+ \supseteq \mathcal{L}_A$  such that  $\operatorname{Th}(\mathfrak{A}_A) \cup \{\varphi\}$  is consistent, there exists an  $\mathcal{L}^+$ -expansion  $\mathfrak{A}^+$  of  $\mathfrak{A}$  such that  $\mathfrak{A}^+ \models \varphi$ .

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• The statement that  $\operatorname{Th}(\mathfrak{A}_A) \cup \{\varphi\}$  is consistent is equivalent to that  $\varphi$  is true in the  $\mathcal{L}^+$ -extension of an elementary extension of  $\mathfrak{A}$ .

In other words, resplendent structures are considered to potentially possess the properties of relations and functions manifested in their elementary extensions.

• The consistency of  $\operatorname{Th}(\mathfrak{A}_A) \cup \{\varphi\}$  is that of  $\operatorname{Th}(\mathfrak{A}_{\{\vec{a}\}}) \cup \varphi$  where  $\vec{a}$  denotes the elements of A contained in  $\varphi$ .

:. Suppose  $\operatorname{Th}(\mathfrak{A}_A) \cup \{\varphi\}$  is inconsistent. Then there exists a formula  $\psi(\vec{a}, \vec{b})$  in  $\operatorname{Th}(\mathfrak{A}_A)$  such that  $\vdash \psi(\vec{a}, \vec{b}) \to \neg \varphi$ . Thus we also have  $\vdash \exists y \psi(\vec{a}, \vec{y}) \to \neg \varphi$ . Since  $\exists y \psi(\vec{a}, \vec{y}) \in \operatorname{Th}(\mathfrak{A}_{\{\vec{a}\}})$ , it follows that  $\operatorname{Th}(\mathfrak{A}_{\{\vec{a}\}}) \cup \{\varphi\}$  is inconsistent. The reverse implication is trivial.

• Every finite structure is resplendent because its elementary extension is only itself.

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Resplendency Applications Since "resplendency" does not imply "recursive saturation" in general, we introduce the following stronger notion which implies both.

# Definition

An  $\mathcal{L}$ -structure  $\mathfrak{A}$  is **strongly resplendent**, if for any recursive type  $\Phi(\vec{x})$  in a language  $\mathcal{L}^+ = \mathcal{L} \cup \{\text{finitely many additional symbols}\}$  and  $\vec{a} \in A^{<\omega}$  such that  $\operatorname{Th}(\mathfrak{A}_A) \cup \Phi(\vec{a})$  is consistent, there exists an  $\mathcal{L}^+$ -expansion  $\mathfrak{A}^+$  of  $\mathfrak{A}$  which is a model of  $\Phi(\vec{a})$ .

- In the definition of **strongly resplendent**, if we restrict the type  $\Phi(\vec{x})$  to be a single formula, we obtain the definition of **resplendent**, and if we let  $\mathcal{L}^+ = \mathcal{L} \cup \{c\}$ , it becomes the definition of **recursive saturation**. Hence, strongly resplendent structures are both resplendent and recursively saturated.
- Furthermore, similar to the case of resplendent structures, it is worth noting that the consistency of  $\operatorname{Th}(\mathfrak{A}_A) \cup \Phi(\vec{a})$  coincides with the consistency of  $\operatorname{Th}(\mathfrak{A}_{\{\vec{a}\}}) \cup \Phi(\vec{a})$ .

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Resplendency Applications We will now demonstrate that under certain natural assumptions, the above three properties coincide.

# Theorem (Barwise-Ressayre)

Countable recursively saturated structures are strongly resplendent.

### Proof

- Let  $\mathfrak{A}$  be a countable structure in a countable language  $\mathcal{L}$  and assume it is recursively saturated. Furthermore, suppose we are given a recursive type  $\Phi(\vec{x})$  in a finitely extended language  $\mathcal{L}^+$  of  $\mathcal{L}$  and  $\vec{a} \in A^{\omega}$  such that  $\operatorname{Th}(\mathfrak{A}_A) \cup \Phi(\vec{a})$  is consistent.
- Then, we want to construct a model  $\mathfrak{A}^+$  of this theory without expanding the domain  $|\mathfrak{A}|$ . The key idea of the construction is that by utilizing the recursively saturated nature of  $\mathfrak{A}$ , we can select Henkin constants from elements of A.

Now, let's look into the details of construction of  $\mathfrak{A}^+.$ 

• First, we enumerate the formulas in  $\mathcal{L}_A$  with only one free variable x, denoted by  $\{\varphi_n(x) : n \in \omega\}$ .

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Resplendency Applications • We construct a sequence of finite subsets of A and that of recursive theories in  $\mathcal{L}_A^+$ ,

$$A_0 = \{\vec{a}\} \subseteq A_1 \subseteq A_2 \subseteq \cdots, \quad T_0 = \Phi(\vec{a}) \subseteq T_1 \subseteq T_2 \subseteq \cdots,$$

satisfying the following conditions: for each  $\boldsymbol{n}$ 

- (1)  $T_n$  is a recursive set of sentences in  $\mathcal{L}^+_{A_n}$ , and  $T_n \cup \text{Th}(\mathfrak{A}_A)$  is consistent. (2) either  $\varphi_n(a) \in T_{n+1}$  for some  $a \in A$  or  $\neg \exists x \varphi_n(x) \in T_{n+1}$ .
- Once the construction is completed, letting  $T_{\omega} = \bigcup_n T_n$ , we will show  $T_{\omega}$  is a complete Henkin theory.
- Let  $\sigma$  be a sentence in  $\mathcal{L}_A^+$  such that  $T_\omega \not\vdash \sigma$ . Suppose  $\sigma$  is  $\varphi_k$  (with no free variable) for some k. Then we have  $\sigma \notin T_{k+1}$ , since  $T_\omega \not\vdash \sigma$ . Thus, by condition (2), we have  $\neg \exists x \sigma \in T_{k+1}$ , and so  $T_\omega \vdash \neg \sigma$ . Therefore,  $T_\omega$  is complete, and so  $\operatorname{Th}(\mathfrak{A}_A) \subseteq T_\omega$  since  $T_\omega \cup \operatorname{Th}(\mathfrak{A}_A)$  is consistent by condition (1).
- If  $T_{\omega} \vdash \exists x \varphi_n(x, \vec{a})$ , then by (2), there exists some  $a \in A$  such that  $\varphi_n(a) \in T_{\omega}$ .
- Then  $T_{\omega}$  is a complete Henkin theory. By Henkin method, we can construct a structure  $\mathfrak{A}^+$  over the domain A, such that  $T_{\omega} = \operatorname{Th}(\mathfrak{A}^+_A)$ , and therefore  $\mathfrak{A}^+ \models \Phi(\vec{a})$ .

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Resplendency Applications Finally, we will construct the sequences  $\{A_n\}$  and  $\{T_n\}$  by induction.

- Assuming that the constructions up to  $A_n$  and  $T_n$  have been done. Take  $\varphi_n(x)$ .
- Let  $B = A_n \cup \{ \text{elements of } A \text{ occurring in } \varphi_n(x) \}$ , and define

 $\Psi(x) = \{\psi(x) : \psi(x) \text{ is a one-variable formula in } \mathcal{L}_B, \text{ and } T_n \vdash \varphi_n(x) \to \psi(x)\}.$ 

- Although  $\Psi(x)$  is  $\Sigma_1$  as it is, it can be treated as a recursive type by Craig's method.
- Since the structure  $\mathfrak{A}$  is recursively saturated, we can either find an  $a \in A$  realizing  $\Psi(x)$  or find a finite subset  $\{\psi_i(x) : i \leq j\}$  of  $\Psi(x)$  such that

$$\mathfrak{A}_A \models \neg \exists x \bigwedge_{i \leq j} \psi_i(x).$$

- In the former case, we let  $A_{n+1} = B \cup \{a\}, \quad T_{n+1} = T_n \cup \{\varphi_n(a)\}.$
- To check the consistency of  $T_{n+1} \cup \operatorname{Th}(\mathfrak{A}_A)$ , we show that any  $\mathcal{L}_{A_{n+1}}$  sentence provable in  $T_{n+1}$  is true in  $\mathfrak{A}_A$ . So, let  $\psi(x)$  be a formula in  $\mathcal{L}_B$  such that  $T_{n+1} \vdash \psi(a)$ . If  $a \notin B$ ,  $T_n \vdash \varphi_n(a) \to \psi(a)$  implies  $T_n \vdash \varphi_n(x) \to \psi(x)$  and so  $\psi(x) \in \Psi(x)$ . Since a realizes  $\Psi(x)$ ,  $\psi(a)$  holds in  $\mathfrak{A}_A$ . On the other hand, if  $a \in B$ , then by  $T_n \vdash \varphi_n(x) \to (x = a \to \psi(x))$ , we get  $(x = a \to \psi(x)) \in \Psi(x)$ , which implies  $(a = a \to \psi(a)) \in \operatorname{Th}(\mathfrak{A}_A)$ . Thus,  $\psi(a)$  holds in  $\mathfrak{A}_A$ .

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• Next, we consider the case that  $\mathfrak{A}_A \models \neg \exists x \bigwedge_{i \leq j} \psi_i(x)$ . In this case, we can simply set

$$A_{n+1} = A_n, \quad T_{n+1} = T_n \cup \{\neg \exists x \varphi_n(x)\}.$$

• Since  $T_n \vdash \neg \exists x \bigwedge_{i \leq j} \psi_i(x) \rightarrow \neg \exists x \varphi_n(x)$ , we may show the consistency of

$$T_n \cup \{ \neg \exists x \bigwedge_{i \leq j} \psi_i(x) \} \cup \operatorname{Th}(\mathfrak{A}_A).$$

- Let  $\psi$  be a sentence in  $\mathcal{L}_B$  such that  $T_n \vdash \neg \exists x \bigwedge_{i \leq j} \psi_i(x) \to \psi$ . By the induction hypothesis,  $T_n \cup \operatorname{Th}(\mathfrak{A}_A)$  is consistent, so  $\neg \exists x \bigwedge_{i \leq j} \psi_i(x) \to \psi$  holds in  $\mathfrak{A}_A$ .
- Moreover, since we have the premise  $\mathfrak{A}_A \models \neg \exists x \bigwedge_{i \leq j} \psi_i(x)$ , it follows that  $\psi$  also holds in  $\mathfrak{A}_A$ . This completes the proof.

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#### Recall Problem 5 of Lec05-02 ·

Let  $\mathfrak{A} = (A, +, \bullet, 0, 1, <)$  be a non-standard model of  $I\Sigma_1$ . Show that  $\mathfrak{A}' = (A, +, 0, 1, <)$  is recursively saturated.

#### - Example 5

- In the above problem 5, it was shown that if  $\mathfrak{A} = (A, +, \bullet, 0, 1, <)$  is a nonstandard model of  $I\Sigma_1$ , then  $\mathfrak{A}' = (A, +, 0, 1, <)$  becomes recursively saturated.
- Conversely, suppose  $\mathfrak{A}'=(A,+,0,1,<)$  is a recursively saturated model of Presburger arithmetic and is countable. Then, by the previous theorem,  $\mathfrak{A}'$  is strongly resplendent.
- On the other hand, Presburger arithmetic is complete, and the set of its theorems coincides with  $\operatorname{Th}(\mathfrak{A}')$ . Therefore,  $\operatorname{Th}(\mathfrak{A}') \cup \mathsf{PA}$  is nothing but PA, which is a recursive consistent set.
- Hence, there exists a suitable interpretation of such that  $\mathfrak{A} = (A, +, \bullet, 0, 1, <)$ becomes a model of PA. In summary, a countable model  $\mathfrak{A} = (A, +, \bullet, 0, 1, <)$  of I $\Sigma_1$  can be turned into a model  $\mathfrak{A}' = (A, +, \bullet', 0, 1, <)$  of PA by changing the interpretation of multiplication (the "misbuttoning theorem").

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Resplendency Applications Now, when  ${\cal L}$  is finite, the equivalence of resplendency and strong resplendency can be derived from the following Kleene's theorem.

# Theorem (Kleene)

Let  $\mathcal{L}$  be finite, and  $\Phi(\vec{v})$  be its recursive type. Then, there exists a formula  $\varphi(\vec{v})$  in some finite extension language  $\mathcal{L}^+ \supseteq \mathcal{L}$  such that, (1) If a structure  $\mathfrak{A}^+$  in  $\mathcal{L}^+$  satisfies  $\varphi(\vec{a})$ , then its reduct  $\mathfrak{A}$  to  $\mathcal{L}$  satisfies  $\Phi(\vec{a})$ . (2) If an infinite structure  $\mathfrak{A}$  in  $\mathcal{L}$  satisfies  $\Phi(\vec{a})$ , then there exists an expansion  $\mathfrak{A}^+$  in  $\mathcal{L}^+$ 

that satisfies  $\varphi(\vec{a})$ .

In part 4 of last semester, we show that in weak arithmetic such as  $Q_{<}$  (or Q), all recursive sets are representable, and hence ample meta-mathematical arguments of arithmetic can be developed. Here, we aim to formalize meta-mathematics of general  $\mathcal{L}$ -structures, and this can also be done in  $Q_{<}$ , so by extending the language to include  $Q_{<}$ , recursive types of  $\mathcal{L}$ -structures can be represented by a single formula.

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Resplendency Applications **Proof.** The basic idea is to transform meta-mathematical arguments about  $\mathcal{L}$ -structures into mathematical (object-language) arguments by utilizing the language of  $Q_{<.}$  The crucial point is that instead of creating the natural numbers outside of  $\mathcal{L}$ -structures, we will incorporate the arithmetical structure with part of the domain.

• Let  $\mathcal{L}^+$  be an extended language of  $\mathcal L$  obtained by adding the following symbols:

 $N(x), +, \bullet, 0, 1, <, Eval(n, x), Sat(n, x), \pi(x, i).$ 

Here, N(x) represents the domain of arithmetic, Eval(n, x) is a function to evaluate terms in  $\mathcal{L}$ , Sat(n, x) the satisfaction relation of  $\mathcal{L}$ -structures, and  $\pi(x, i) = x_i$  the projection function extracting the *i*-th component  $x_i$  from the code x of an infinite sequence  $(x_0, x_1, \cdots)$ .

• We want to express the recursive type  $\Phi(\vec{v})$  in  $\mathcal{L}^+$  as a formula  $\varphi(\vec{v})$ , which we will define in six components  $\sigma_i$   $(i = 1, \dots, 6)$ . Each  $\sigma_i$   $(i = 1, \dots, 5)$  is a sentence, and  $\sigma_6$  is a formula with free variables  $\vec{v}$ , and  $\varphi(\vec{v})$  is defined by

$$\varphi(\vec{v}) \equiv \sigma_1 \wedge \sigma_2 \wedge \cdots \wedge \sigma_6.$$

1.  $\sigma_1$  expresses the basic properties of N(x) as follows:

 $\mathbf{N}(0)\wedge\mathbf{N}(1)\wedge\forall x\forall y(\mathbf{N}(x)\wedge\mathbf{N}(y)\rightarrow\mathbf{N}(x+y)\wedge\mathbf{N}(x\bullet y)).$ 

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Resplendency Applications 2.  $\sigma_2$  represents  $(N, +, \bullet, 0, 1, <) \models Q_{<}$ , i.e.,  $\sigma_2$  is the conjunction of the eight axioms of  $Q_{<}$  with quantifiers restricted to N. For example, A10 (predecessor) is expressed as

$$\forall x(\mathbf{N}(x) \to (x \neq 0 \to \exists y(\mathbf{N}(y) \land y + 1 = x))).$$

Since all primitive recursive functions over N are representable in  $\mathsf{Q}_{<}$ , Gödel numbers of terms and formulas in  $\mathcal L$  can be handled as elements of N.

3.  $\sigma_3$  is the following sentence which stipulates a projection function  $\pi(x, i)$ : assuming variables i, j ranges over N for simplicity,

$$\forall x \forall i \forall z \exists y (\forall j \neq i(\pi(y, j) = \pi(x, j)) \land \pi(y, i) = z).$$

Here, y is the code of a sequence obtained by replacing the *i*-th element of  $x = (x_0, x_1, \cdots)$  with z. We write this y as x[z/i]. Note that  $\sigma_3$  does not assert the existence of infinite sequences in general, but it says that finite parts can be specified arbitrarily.

In fact, we will treat an infinite sequence as a finite sequence followed by infinitely many 0's. More strictly, letting 0 = (0, 0, 0, ...),  $0[u_0/\overline{0}][u_1/\overline{1}]\cdots [u_{k-1}/\overline{k-1}]$  denotes  $\vec{u} = (u_0, u_1, \ldots, u_{k-1})$ .

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Resplendency Applications 4.  $\sigma_4$  describes the function  $\operatorname{Eval}(n, x)$  that evaluates terms in  $\mathcal{L}$ . It is defined as the conjunction of the following sentences: For variables  $v_0, v_1, \cdots$ ,

 $\forall i (\in N) \forall a (\operatorname{Eval}(\ulcorner v_i \urcorner, a) = \pi(a, i)).$ 

For each *m*-ary function symbol f in  $\mathcal{L}$ ,

$$\forall t_0, \cdots, t_{m-1} (\in N) \forall a (\operatorname{Eval}(\lceil \mathbf{f}(t_0, \cdots, t_{m-1}) \rceil, a))$$
$$= \mathbf{f}(\operatorname{Eval}(\lceil t_0 \rceil, a), \cdots, \operatorname{Eval}(\lceil t_{m-1} \rceil, a))).$$

5.  $\sigma_5$  describes the satisfaction relation  $\operatorname{Sat}(n, x)$  of  $\mathcal{L}$ -structures. It consists of the following sentences. For each *n*-ary relation symbol R of  $\mathcal{L}$  (including equality), we have

 $\forall t_0, \cdots, t_{n-1} \forall a(\operatorname{Sat}(\ulcorner \mathsf{R}(t_0, \cdots, t_{n-1})\urcorner, a) \leftrightarrow \mathsf{R}(\operatorname{Eval}(\ulcorner t_0 \urcorner, a), \cdots, \operatorname{Eval}(\ulcorner t_{m-1} \urcorner, a))).$ 

For each logical symbol, we have

$$\forall a(\operatorname{Sat}(\ulcorner\psi_0 \land \psi_1 \urcorner, a) \leftrightarrow (\operatorname{Sat}(\ulcorner\psi_0 \urcorner, a) \land \operatorname{Sat}(\ulcorner\psi_1 \urcorner, a))), \\ \forall a(\operatorname{Sat}(\ulcorner\exists x_i \psi \urcorner, a) \leftrightarrow \exists b \operatorname{Sat}(\ulcorner\psi \urcorner, a[b/i]))$$

and so on.

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Resplendency Applications 6.  $\sigma_6$  is a formula expressing  $\Phi(\vec{v})$  using Sat. For a recursive type  $\Phi(\vec{v})$ , let  $\gamma(n)$  be a formula expressing the set of Gödel numbers of  $\Phi(\vec{v})$  in Q<sub><</sub>, and define  $\sigma_6$  as follows:

 $\forall n \in N(((N, +, \bullet, 0, 1, <) \models \gamma(\overline{n})) \to \operatorname{Sat}(n, \vec{v})).$ 

In this way, we have obtained  $\varphi(\vec{x})$ , and we will now verify that it satisfies the conditions of the theorem. First, to prove condition (1), suppose that in a structure  $\mathfrak{A}^+$  in  $\mathcal{L}^+$ ,  $a = (a_0, \cdots, a_{l-1})$  realizes  $\varphi(\vec{v})$ . Let  $\mathfrak{A}$  be its reduct to  $\mathcal{L}$ . For each  $\psi(\vec{v})$  in  $\Phi(\vec{v})$ , we have  $Q_{\leq} \vdash \gamma(\ulcorner \psi(\vec{v}) \urcorner)$ , and then by  $\sigma_2$  and  $\sigma_6$ , we have:

$$\mathfrak{A}^+ \models \operatorname{Sat}(\ulcorner\psi\urcorner, a)$$

Furthermore, by meta-induction on the construction of the formula  $\psi,$  we can prove by  $\sigma_4$  and  $\sigma_5$  that

$$\mathfrak{A}^+ \models \operatorname{Sat}(\ulcorner \psi \urcorner, a) \leftrightarrow \psi(a_0, \cdots, a_{l-1})$$

Therefore, we have

$$\mathfrak{A}^+ \models \psi(a_0, \cdots, a_{l-1}),$$

which implies that  $\psi(a_0, \dots, a_{l-1})$  holds in  $\mathfrak{A}$ . Since  $\psi(\vec{v}) \in \Phi(\vec{v})$  is arbitrary,  $\mathfrak{A}$  realizes  $\Phi(\vec{v})$  by  $\vec{a}$ , which proves condition (1).

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Resplendency Applications Next, to prove (2), suppose conversely that an infinite structure  $\mathfrak{A}$  in  $\mathcal{L}$  realizes  $\Phi(\vec{v})$  by  $\vec{a}$ .

- Choose a countably infinite subset N of  $|\mathfrak{A}|$  and define  $+, \bullet, 0, 1, <$  on N so that  $(N, +, \bullet, 0, 1, <)$  is isomorphic to the standard structure of arithmetic. And extend  $+, \bullet$  to total functions on A in an arbitrary way. Then,  $\sigma_1$  and  $\sigma_2$  clearly hold.
- Since A is infinite, there exists a bijection between A and  $A^{<\omega}$ . Let  $B \subset A^{\omega}$  be the set of infinite sequences with all but finitely many elements being 0. Then, we can take a surjection  $h: A \to B$ . Now, define  $\pi(a, i)$  to be the *i*-th element  $b_i$  of  $h(a) = (b_0, b_1, \cdots)$ . Then,  $\sigma_3$  holds.
- Furthermore, by defining  $\mathrm{Eval}(\ulcornert\urcorner,a)$  as the value of a term t at a, and the satisfaction relation  $\mathrm{Sat}(n,x)$  as

$$\operatorname{Sat}(\ulcorner\psi\urcorner, a) \Leftrightarrow \mathfrak{A} \models \psi(a_0, \cdots, a_{l-1}),$$

we establish  $\sigma_4$  and  $\sigma_5$ .

• Finally, for  $\sigma_6$ , we have:

 $(N,+,\bullet,0,1,<)\models\gamma(\overline{\ulcorner\psi\urcorner})\Leftrightarrow\psi(\vec{v})\in\Phi(\vec{v})\Leftrightarrow\psi(a_0,\cdots,a_{l-1})\Leftrightarrow\mathrm{Sat}(\overline{\ulcorner\psi\urcorner},a)).$ 

Thus, condition (2) is also satisfied.

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# Corollary (Barwise)

A resplendent structure in a finite language  ${\cal L}$  is strongly resplendent, and so recursively saturated.

#### Proof.

- Let  $\mathcal{L}$  be a finite language, and  $\mathfrak{A}$  be a resplendent structure in  $\mathcal{L}$ . If  $\mathfrak{A}$  is finite, then it is already recursively saturated and so strongly resplendent (by Barwise-Ressayre). Thus, we may assume that  $\mathfrak{A}$  is infinite.
- To show that  $\mathfrak{A}$  is strongly resplendent, suppose a recursive type  $\Phi(\vec{v})$  in  $\mathcal{L}'(\supset \mathcal{L})$  is given so that  $\operatorname{Th}(\mathfrak{A}_A) \cup \Phi(\vec{a})$  is consistent.
- Then, we can construct  $\varphi(\vec{v})$  in  $\mathcal{L}'^+$  to satisfy Kleene's Theorem.
- Let  $\mathfrak{A}'$  be an  $\mathcal{L}'$ -expansion of an elementary extension of  $\mathfrak{A}$  which satisfies  $\Phi(\vec{a})$ . Then, by Kleene's Theorem (2),  $\mathfrak{A}'$  has an  $\mathcal{L}'^+$ -expansion  $\mathfrak{A}'^+$  which satisfies  $\phi(\vec{a})$ . Thus by the resplendency of  $\mathfrak{A}$ ,  $\mathfrak{A}$  also has an  $\mathcal{L}'^+$ -expansion which satisfies  $\phi(\vec{a})$ .
- Finally, by Kleene's Theorem (1),  $\Phi(\vec{a})$  holds in  $\mathfrak{A}$ . This proves that  $\mathfrak{A}$  is strongly resplendent.

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Resplendency Applications Next we consider Kleene's Theorem for an arithmetic structure  ${\mathfrak A}.$ 

- If  $\mathcal{L}$  already includes the language of arithmetic  $\mathcal{L}_{OR}$ , and a  $\mathcal{L}$ -structure  $\mathfrak{A}$  is an expansion of a model of  $Q_{<}$ , there is no need to introduce +, •, 0, 1, <, Eval(n, x),  $\pi(x, i)$  separately. To prove Kleene's theorem, it suffices to use N(x) and Sat(n, x).
- If  $\mathfrak{A}$  is resplendent, we can introduce N(x) and Sat(n, x) as relations in  $\mathfrak{A}$ , and then we can derive various properties of  $\mathfrak{A}$  by adding various conditions to them.
- We start with a representative application.

#### Theorem

For any countable resplendent model  $\mathfrak{A}$  of Peano Arithmetic PA, there exists a (proper) initial segment that is isomorphic to  $\mathfrak{A}$ , and  $\mathfrak{A}$  is an elementary extension of this initial segment.

**Proof.** To the language of arithmetic  $\mathcal{L}_{OR}$ , add N(x), Sat(n, x), as well as  $Sat_N(n, x)$  to represent the satisfaction relation for N, and f(x) to represent an isomorphism. Now, consider a recursive type claiming that N is an initial segment isomorphic to the whole  $\mathfrak{A}$ , and is also an elementary substructure. This type is consistent with  $Th(\mathfrak{A}_A)$  by Friedman's theorem. By resplendency, N can be realized as an initial segment of  $\mathfrak{A}$ .

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#### Theorem

For a resplendent model  $\mathfrak{A}$  of Peano Arithmetic PA, there exists a satisfaction relation Sat, such that for any  $\mathcal{L}_{OR}$  formula  $\psi$ ,

 $(\mathfrak{A}, Sat) \models \forall a(\operatorname{Sat}(\ulcorner \psi \urcorner, a) \leftrightarrow \psi(a_0, \cdots, a_{l-1}))$ 

and  $(\mathfrak{A}, Sat)$  satisfies induction for formulas in  $\mathcal{L}_{OR} \cup \{Sat\}$ . Conversely, if a model  $\mathfrak{A}$  of Peano Arithmetic PA has such a relation Sat, then  $\mathfrak{A}$  is recursively saturated, and hence, if countable, it is resplendent.

**Proof.** The existence of *Sat* follows from the resplendency and the definition of *Sat* in Kleene's theorem. To show that  $(\mathfrak{A}, Sat)$  satisfies induction, it is enough to see that the recursive set of sentences representing the induction for  $\mathcal{L}_{OR} \cup {Sat}$  is consistent with  $Th(\mathfrak{A}_A)$ . The second part is obvious from the following lemma.

– Lemma (revisit)

For each n > 0, a non-standard model  $\mathfrak{A}$  of  $I\Sigma_n$  is  $\Sigma_n$ -recursively saturated.

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# Theorem (Robinson's Joint Consistency Theorem)

Let  $\mathcal{L} = \mathcal{L}_1 \cap \mathcal{L}_2$ , and let T be a complete theory in the language  $\mathcal{L}$ , with  $T_1$  and  $T_2$  being extensions of T in the languages  $\mathcal{L}_1$  and  $\mathcal{L}_2$ , respectively. Then, the necessary and sufficient condition for  $T_1 \cup T_2$  to be consistent is that  $T_1$  and  $T_2$  are separately consistent.

**Proof.** The necessity is clear, so we will prove the sufficiency. Assume  $T_1$  and  $T_2$  are consistent, but  $T_1 \cup T_2$  is inconsistent.

- Since  $T_1 \cup T_2$  is inconsistent, there exist finite subsets  $S_1 \subseteq T_1$  and  $S_2 \subseteq T_2$  such that  $S_1 \cup S_2$  also leads to a contradiction.
- Suppose  $S_1$  and  $S_2$  are theories in finite languages  $\mathcal{L}'_1$  and  $\mathcal{L}'_2$ , respectively. Define  $\mathcal{L}' = \mathcal{L}'_1 \cap \mathcal{L}'_2$ , and let T' be the set of  $\mathcal{L}'$ -sentences that can be deduced from T. Then, T' is a complete and consistent set in the language  $\mathcal{L}'$ , since T is a complete and consistent set in  $\mathcal{L}$
- Moreover, let  $S'_1 = S_1 \cup T'$  and  $S'_2 = S_2 \cup T'$ . Since  $S'_1$  and  $S'_2$  are subsets of  $T_1$  and  $T_2$ , respectively, they are separately consistent.

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- Consider a countable saturated model  $\mathfrak{A}$  of T'. Since T' is complete,  $T' = Th(\mathfrak{A})$ .
- Since  $S'_1 = S_1 \cup \text{Th}(\mathfrak{A})$  is consistent, by resplendency of  $\mathfrak{A}$ ,  $\mathfrak{A}$  can be extended to a model  $\mathfrak{A}_1$  of  $S_1$  in  $\mathcal{L}'_1$ .
- Similarly,  $\mathfrak{A}$  can be extended to a model  $\mathfrak{A}_2$  of  $S_2$  in  $\mathcal{L}'_2$ . Therefore, by defining the interpretation of symbols in  $\mathcal{L}'_1 \mathcal{L}'$  to be the same as in  $\mathfrak{A}_1$  and in  $\mathcal{L}'_2 \mathcal{L}'$  to be the same as in  $\mathfrak{A}_2$ , we extend  $\mathfrak{A}$  to a structure  $\mathfrak{A}'$  in  $\mathcal{L}'_1 \cup \mathcal{L}'_2$ .
- Then,  $\mathfrak{A}'$  is a model of  $S_1 \cup S_2$ , which contradicts our assumption. Thus, we complete the proof.

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# Corollary (Craig's Interpolation Theorem)

If a formula  $\varphi \to \psi$  is provable from logical axioms ( $\vdash \varphi \to \psi$ ), then there exists a formula  $\theta$  consisting of mathematical symbols commonly appearing both in  $\varphi$  and  $\psi$  besides logical symbols and =, such that  $\vdash \varphi \to \theta$  and  $\vdash \theta \to \psi$ .

The formula  $\theta$  satisfying the above theorem is called an **interpolant** for  $\varphi$  and  $\psi$ .

### Proof

- Assume ⊢ φ → ψ with no interpolant θ. Let L be the language consisting of symbols common to φ and ψ. Let T<sub>0</sub> be the set of formulas ξ in L such that ⊢ φ → ξ.
- Since no finite subset of  $T_0$  implies  $\psi$ ,  $T_0 \cup \{\neg\psi\}$  is consistent.
- Consider a model  $\mathfrak{A}$  of  $T_0 \cup \{\neg\psi\}$ , and let T be the set of all  $\mathcal{L}$  formulas contained in  $\operatorname{Th}(\mathfrak{A})$ . Clearly,  $T \cup \{\neg\psi\}$  is consistent.
- To show that  $T \cup \{\varphi\}$  is also consistent, assume otherwise. Then there exists a formula  $\sigma$  in T such that  $\vdash \varphi \rightarrow \neg \sigma$ . Thus,  $\neg \sigma \in T_0 \subseteq T$ , which implies the inconsistency of T.
- By Robinson's joint consistency theorem,  $T \cup \{\varphi, \neg\psi\}$  is also consistent, contradicting the assumption  $\vdash \varphi \rightarrow \psi$ .

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# Thank you for your attention!