

# Logic and Computation I

## Part 3. First order logic and decision problems

Kazuyuki Tanaka

BIMSA

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## Logic and Computation I

- **Part 1. Introduction to Theory of Computation**
- **Part 2. Propositional Logic and Computational Complexity**
- **Part 3. First Order Logic and Decision Problems**
- **Part 4. Modal logic** (shifted to next semester)

## Part 3. A new schedule (subject to change)

- Nov.14, (4) Ehrenfeucht-Fraïssé's theorem
- Nov.19, (5) Ehrenfeucht-Fraïssé's theorem II
- Nov.21, (6) Presburger arithmetic
- Nov.26, (7) Peano arithmetic
- Nov.28, (8) Gödel's first incompleteness theorem
- Dec. 3, (9) Gödel's second incompleteness theorem
- Dec. 5, (10) Second order logic
- Dec.10, (11) Second order arithmetic

## Recap

- We will consider a language of finitely many relation symbols and constants. Let  $\mathcal{L}$  be  $\{R_0, \dots, R_{n-1}\}$ , and also consider its expansion by adding constants.
- Let  $\mathcal{A}$  be a structure in a language  $\mathcal{L} = \mathcal{L}' \cup \{\vec{c}\}$ . Then,  $\mathcal{A}$  can be written as  $(\mathcal{A}', \vec{a})$  or  $\mathcal{A}'_{\vec{a}}$ , where  $\mathcal{A}'$  is a reduct of  $\mathcal{A}$  to the language  $\mathcal{L}'$ . Also,  $\mathcal{A}$  can be expanded to  $(\mathcal{A}, \vec{b}) = \mathcal{A}'_{\vec{a}, \vec{b}}$  in a language  $\mathcal{L}' \cup \{\vec{c}, \vec{d}\}$ .
- The **(quantifier) rank** of a formula  $\varphi$  expresses the maximum number of nesting quantifiers, e.g., the rank of  $\forall y(\forall x \exists y(x = y) \wedge \forall z(z > 0))$  is 3.
- **Lemma 3.18** For an  $n, k$ , there are essentially finitely many formulas with rank  $\leq n$  in fixed free variables  $x_1, \dots, x_k$ .

- The **theory**  $\text{Th}(\mathcal{A})$  of a structure  $\mathcal{A}$  is the set of sentences true in  $\mathcal{A}$ .
- Two structures  $\mathcal{A}, \mathcal{B}$  are said to be **elementarily equivalent**, denote  $\mathcal{A} \equiv \mathcal{B}$ , iff  $\text{Th}(\mathcal{A}) = \text{Th}(\mathcal{B})$ , equivalently  $\mathcal{B} \models \text{Th}(\mathcal{A})$ .
- $\mathcal{A}$  is an **elementary substructure** of  $\mathcal{B}$ ,  $\mathcal{A} \prec \mathcal{B}$ , iff  $\text{Th}(\mathcal{A}_A) = \text{Th}(\mathcal{B}_A)$ .
- Let  $\text{Th}_n(\mathcal{A})$  be the subset of  $\text{Th}(\mathcal{A})$  consisting of sentences with rank  $\leq n$ . A relation  $\equiv_n$  is defined as follows.

$$\mathcal{A} \equiv_n \mathcal{B} \Leftrightarrow \text{Th}_n(\mathcal{A}) = \text{Th}_n(\mathcal{B}).$$

- Let  $\mathcal{A}, \mathcal{B}$  be  $\mathcal{L}$ -structures with/without constants. Then, a function  $f : \vec{a}(\subset A) \rightarrow \vec{b}(\subset B)$  is a **partial isomorphism** such that

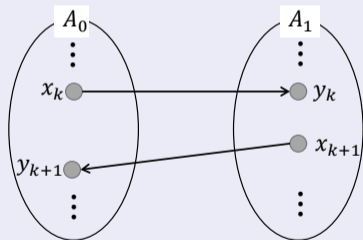
$$(\mathcal{A}, \vec{a}) \equiv_0 (\mathcal{B}, \vec{b}).$$

Note that if  $\mathcal{A} \equiv_0 \mathcal{B}$ , the empty function is a partial isomorphism. Moreover, notice that  $\text{Th}_0(\mathcal{A})$  and  $\text{Th}_0(\mathcal{B})$  may be empty without constants.

## Definition 3.22

Let  $\mathcal{A}_0, \mathcal{A}_1$  be  $\mathcal{L}$ -structures with/without constants and  $n$  be a natural number. In an  $n$ -round **EF game**  $\text{EF}_n(\mathcal{A}_0, \mathcal{A}_1)$ , player I (Spoiler) and player II (Duplicator) alternately choose a number from  $A_0$  or  $A_1$  obeying the rules described below, and the winner is determined according to the winning condition.

- **Rules:** At each round  $k \leq n$ , if I chooses an element, say  $x_k$ , from  $A_i$  ( $i = 0, 1$ ), then II chooses an element, say  $y_k$ , from  $A_{1-i}$ .
- **Winning conditions:** If the correspondence  $x_i \leftrightarrow y_i$  chosen by the players up to  $n$  rounds determines a partial isomorphism between  $\mathcal{A}_0$  and  $\mathcal{A}_1$ , then II wins.



## Definition 3.23

(With/without constants)  $\mathcal{A} \simeq^n \mathcal{B}$  iff player II has a winning strategy in  $\text{EF}_n(\mathcal{A}, \mathcal{B})$ .

## Lemma 3.24

Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $\mathcal{L}$ -structures. For each  $n \geq 0$ , we define a relation  $\simeq^n$  as follows:

$$\begin{aligned} (\mathcal{A}, \vec{a}) \simeq^0 (\mathcal{B}, \vec{b}) &\Leftrightarrow (\mathcal{A}, \vec{a}) \equiv_0 (\mathcal{B}, \vec{b}) \Leftrightarrow \vec{a} \mapsto \vec{b} \text{ is partial isomorphism.} \\ (\mathcal{A}, \vec{a}) \simeq^{n+1} (\mathcal{B}, \vec{b}) &\Leftrightarrow \forall a \in A \exists b \in B (\mathcal{A}, \vec{a}a) \simeq^n (\mathcal{B}, \vec{b}b) \text{ and} \\ &\quad \forall b \in B \exists a \in A (\mathcal{A}, \vec{a}a) \simeq^n (\mathcal{B}, \vec{b}b) \end{aligned}$$

For  $n = 0$ ,  $(\mathcal{A}, \vec{a}) \simeq^0 (\mathcal{B}, \vec{b})$  iff II wins  $\text{EF}_0((\mathcal{A}, \vec{a}), (\mathcal{B}, \vec{b}))$  iff  $(\mathcal{A}, \vec{a}) \equiv_0 (\mathcal{B}, \vec{b})$ .

For the induction step, note that II wins  $\text{EF}_{n+1}(\mathcal{A}, \mathcal{B})$  iff

$$\begin{aligned} \forall a \in A \exists b \in B \text{ II wins } \text{EF}_n((\mathcal{A}, a), (\mathcal{B}, b)) \text{ and} \\ \forall b \in B \exists a \in A \text{ II wins } \text{EF}_n((\mathcal{A}, a), (\mathcal{B}, b)). \end{aligned}$$

Here, “II wins  $G$ ” means “II has a winning strategy in  $G$ ”.

Our goal is to show that  $\mathcal{A} \simeq^n \mathcal{B}$  and  $\mathcal{A} \equiv_n \mathcal{B}$  are equivalent. To this end, we introduce the Scott-Hintikka formulas.

### Definition 3.25 (Scott-Hintikka Formula)

For a structure  $\mathcal{A}$  and a sequence of elements  $\vec{a}$ , the **Scott-Hintikka formula** with rank  $n$ ,  $\varphi_{\mathcal{A}, \vec{a}}^n(\vec{x})$ , is defined inductively as follows.

$$\varphi_{\mathcal{A}, \vec{a}}^0(\vec{x}) := \bigwedge \{ \theta(\vec{x}) : (\mathcal{A}, \vec{a}) \models \theta(\vec{c}), \text{qr}(\theta(\vec{x})) = 0 \}.$$

$$\varphi_{\mathcal{A}, \vec{a}}^{n+1}(\vec{x}) := \bigwedge_{a \in A} \exists x \varphi_{\mathcal{A}, \vec{a}a}^n(\vec{x}, x) \wedge \forall x \bigvee_{a \in A} \varphi_{\mathcal{A}, \vec{a}a}^n(\vec{x}, x).$$

- When we write  $(\mathcal{A}, \vec{a}) \models \theta(\vec{c})$ ,  $\vec{c}$  are new constants interpreted as  $\vec{a}$ .
- In the above definition, even if  $A$  is infinite, by Lemma 3.18, there are finitely many formulas in the scopes of  $\bigwedge, \bigvee$ . So, the Scott-Hintikka formula can be defined as a first-order formula.

## Lemma 3.26

$$(\mathcal{A}, \vec{a}) \models \varphi_{\mathcal{A}, \vec{a}}^n(\vec{c}).$$

**Proof**

- When  $n = 0$ , it is clear from the definition.
- Then, we want to show  $(\mathcal{A}, \vec{a}) \models \varphi_{\mathcal{A}, \vec{a}}^{n+1}(\vec{c})$  by the induction hypothesis.
- We first consider  $\bigwedge_{a \in A} \exists x \varphi_{\mathcal{A}, \vec{a}a}^n(\vec{c}, x)$ , which is the left component of the definition formula of  $\varphi_{\mathcal{A}, \vec{a}}^{n+1}(\vec{c})$ . For every  $a \in A$ ,  $\varphi_{\mathcal{A}, \vec{a}a}^n(\vec{c}, c)$  holds in  $(\mathcal{A}, \vec{a}a)$  by the induction hypothesis. So,  $\exists x \varphi_{\mathcal{A}, \vec{a}a}^n(\vec{c}, x)$  also holds in  $(\mathcal{A}, \vec{a}a)$ , hence also in  $(\mathcal{A}, \vec{a})$ . Finally, the left formula holds for  $(\mathcal{A}, \vec{a})$ .
- To show the right formula  $\forall x \bigvee_{a \in A} \varphi_{\mathcal{A}, \vec{a}a}^n(\vec{c}, x)$  holds in  $(\mathcal{A}, \vec{a})$ , take any  $x = b \in A$ . Then, letting  $a = b$ , we may show  $\varphi_{\mathcal{A}, \vec{a}b}^n(\vec{c}, c)$  holds in  $(\mathcal{A}, \vec{a}b)$  (where  $c = b$ ), which holds by the induction hypothesis. So, the right formula also holds for  $(\mathcal{A}, \vec{a})$ .
- Therefore, the conjunction of both formulas holds in  $(\mathcal{A}, \vec{a})$ .



## Theorem 3.27 (Ehrenfeucht-Fraïssé theorem, EF theorem)

For all  $n \geq 0$ , the following are equivalent.

$$(1) (\mathcal{A}, \vec{a}) \equiv_n (\mathcal{B}, \vec{b}), \quad (2) (\mathcal{B}, \vec{b}) \models \varphi_{\mathcal{A}, \vec{a}}^n(\vec{c}), \quad (3) (\mathcal{A}, \vec{a}) \simeq^n (\mathcal{B}, \vec{b}).$$

**Proof.** (1)  $\Rightarrow$  (2). It is obvious from Lemma 3.26, since  $\text{qr}(\varphi_{\mathcal{A}, \vec{a}}^n(\vec{x})) = n$ .

We show (2)  $\Rightarrow$  (3) by induction on  $n$ . For  $n = 0$ , (2)  $\Rightarrow (\mathcal{A}, \vec{a}) \equiv_0 (\mathcal{B}, \vec{b}) \Rightarrow$  (3).  
For induction step, assume (2)  $\Rightarrow$  (3) for  $n$  as well as  $(\mathcal{B}, \vec{b}) \models \varphi_{\mathcal{A}, \vec{a}}^{n+1}(\vec{c})$ .

From the definition of the Scott-Hintikka formula,

$$\forall a \in A \exists b \in B (\mathcal{B}, \vec{b}b) \models \varphi_{\mathcal{A}, \vec{a}a}^n(\vec{c}, c) \wedge \forall b \in B \exists a \in A (\mathcal{B}, \vec{b}b) \models \varphi_{\mathcal{A}, \vec{a}a}^n(\vec{c}, c)$$

By the induction hypothesis, we have

$$\forall a \in A \exists b \in B (\mathcal{A}, \vec{a}a) \simeq^n (\mathcal{B}, \vec{b}b) \wedge \forall b \in B \exists a \in A (\mathcal{A}, \vec{a}a) \simeq^n (\mathcal{B}, \vec{b}b).$$

By Lemma 3.24, we obtain

$$(\mathcal{A}, \vec{a}) \simeq^{n+1} (\mathcal{B}, \vec{b}).$$

Thus, (3) also holds for  $n + 1$ .

We finally show (3)  $\Rightarrow$  (1) by induction on  $n$ .

Case  $n = 0$  follows from Lemma 3.24.

For induction step, assume (3)  $\Rightarrow$  (1) for  $n$  as well as  $(\mathcal{A}, \vec{a}) \simeq^{n+1} (\mathcal{B}, \vec{b})$ .

- To show  $(\mathcal{A}, \vec{a}) \equiv_{n+1} (\mathcal{B}, \vec{b})$ , the essential case to check is a formula  $\varphi(\vec{x}) = \exists x \psi(\vec{x}, x)$  with  $\text{qr}(\psi(\vec{x}, x)) = n$ .
- Suppose  $(\mathcal{A}, \vec{a}) \models \varphi(\vec{c})$ . Then, there exists  $a \in A$  such that  $(\mathcal{A}, \vec{a}a) \models \psi(\vec{c}, c)$ .
- Since  $(\mathcal{A}, \vec{a}) \simeq^{n+1} (\mathcal{B}, \vec{b})$ , by Lemma 3.24, there exists a  $b \in B$  such that  $(\mathcal{A}, \vec{a}a) \simeq^n (\mathcal{B}, \vec{b}b)$ , and so  $(\mathcal{B}, \vec{b}b) \models \psi(\vec{c}, c)$ . Thus  $(\mathcal{B}, \vec{b}) \models \varphi(\vec{c})$ .
- This proves  $\text{Th}_{n+1}(\mathcal{A}, \vec{a}) \subset \text{Th}_{n+1}(\mathcal{B}, \vec{b})$ . Similarly, we have  $\text{Th}_{n+1}(\mathcal{A}, \vec{a}) \supset \text{Th}_{n+1}(\mathcal{B}, \vec{b})$ , and so (1) holds. □

### Corollary 3.28

$\mathcal{A} \equiv \mathcal{B} \Leftrightarrow$  for any  $n$ ,  $\mathcal{A} \simeq^n \mathcal{B}$ .

It is natural to extend the play of the EF game to infinity ( $\omega$ -round). If player II has a winning strategy in such a game  $\text{EF}_\omega(\mathcal{A}, \mathcal{B})$ , we write  $\mathcal{A} \simeq^\omega \mathcal{B}$ .

### Corollary 3.29

Suppose  $\mathcal{A}, \mathcal{B}$  are countable. Then,  $\mathcal{A} \simeq^\omega \mathcal{B} \Leftrightarrow \mathcal{A} \simeq \mathcal{B}$ .

**Proof.**  $\Leftarrow$  is obvious because the isomorphism is a winning strategy for player II.  $\Rightarrow$  is shown by the **back-and-forth argument**. Let  $A = \{a_0, a_1, \dots\}$ ,  $B = \{b_0, b_1, \dots\}$ . Player II follows the winning strategy, and Player I alternately chooses the smallest element that have not been selected from  $A$  and  $B$ , thus a bijection between  $\mathcal{A}$  and  $\mathcal{B}$  is produced, which is a desired isomorphism.  $\square$

### Corollary 3.30

For each  $n$ , there are finitely many equivalence classes of  $\mathcal{L}$ -structure by  $\equiv_n$ .

**Proof** By Lemma 3.18, there are essentially finitely many Scott-Hintikka sentences  $\varphi_{\mathcal{A}, \emptyset}^n$  with rank  $n$ . By the EF theorem, each  $\equiv_n$  equivalence class is characterized by such a sentence, and so there are only a finite number of them.  $\square$

## Corollary 3.31

Let  $K$  be a set of  $\mathcal{L}$ -structures. The following are equivalent.

- (1) For any  $n$ , there exist  $\mathcal{A} \in K$  and  $\mathcal{B} \notin K$  such that  $\mathcal{A} \equiv_n \mathcal{B}$ .
- (2)  $K$  is not an elementary class ( $K$  cannot be defined by a first-order formula).

**Proof.**

- (1)  $\Rightarrow$ (2). By way of contradiction, assume  $K$  is defined by a first-order sentence  $\varphi$ . Let  $n$  be the rank of  $\varphi$ . If  $\mathcal{A} \in K$  and  $\mathcal{B} \notin K$  then  $\mathcal{A} \not\equiv_n \mathcal{B}$ .
- (2)  $\Rightarrow$ (1). By way of contradiction, assume that for some  $n$ , if  $\mathcal{A} \equiv_n \mathcal{B}$  then  $\mathcal{A} \in K \Leftrightarrow \mathcal{B} \in K$ . Since there is a first-order (Scott-Hintikka) sentence  $\varphi_{\mathcal{A}}^n$  of rank  $n$  such that  $\mathcal{A} \equiv_n \mathcal{C} \Leftrightarrow \mathcal{C} \models \varphi_{\mathcal{A}}^n$ ,  $K$  is defined by  $\varphi_{\mathcal{A}}^n$ .  $\square$

NOTE: Definition 3.32 and Theorem 3.33 are skipped and will be explained later.

## Applications of EF games: DLO

- $(\mathbb{Q}, <)$  and  $(\mathbb{R}, <)$  are models of DLO (dense linear order without end points).
- Let  $\mathcal{A}, \mathcal{B}$  be two models of DLO. Player II has a winning strategy in  $\text{EF}_n(\mathcal{A}, \mathcal{B})$  for all  $n$ . Suppose a partial isomorphism between  $a_1 < a_2 < \dots < a_n$  in  $A$  and  $b_1 < b_2 < \dots < b_n$  in  $B$  are constructed by the players up to the round  $n$ . If Player I chooses  $x_{n+1}$  between  $a_i < a_{i+1}$  (or  $b_i < b_{i+1}$ ), then Player II can extend the partial isomorphism by choosing  $y_{n+1}$  between  $b_i < b_{i+1}$  (or  $a_i < a_{i+1}$ ).
- Then, for all  $n \geq 0$ ,  $\mathcal{A} \simeq^n \mathcal{B}$ . By the EF theorem, for all  $n$ ,  $\mathcal{A} \equiv_n \mathcal{B}$ , and hence  $\mathcal{A} \equiv \mathcal{B}$ . In particular,  $(\mathbb{Q}, <) \equiv (\mathbb{R}, <)$ .
- Then, DLO is a complete theory. Therefore, it is decidable.
  - ▶ If it is not complete, then there is a sentence  $\sigma$  which is neither provable nor disprovable.
  - ▶ Hence, both  $\text{DLO} \cup \{\neg\sigma\}$  and  $\text{DLO} \cup \{\sigma\}$  are consistent. So, each has its own model, but they are no longer elementary equivalent, which is a contradiction.

## Theorem 3.34

DLO is a PSPACE-complete problem.

**Proof.** First, we show that DLO is PSPACE-hard, by reducing TQBF to DLO in polynomial time. It was shown in Part 2 of this course, TQBF (true quantified Boolean formula) is PSPACE-complete.

- Let  $A$  be a QBF and transform it to a PNF  $Q_1x_1\dots Q_nx_nB(x_1, \dots, x_n)$ , where  $B(x_1, \dots, x_n)$  is a Boolean formula.
- Then, define a DLO formula  $A_<$  as follows.

$$Q_1x_1Q_1y_1\dots Q_nx_nQ_ny_nB(x_1 < y_1, \dots, x_n < y_n).$$

- For example, for a QBF  $A \equiv \forall x_1 \exists x_2 \forall x_3 ((x_1 \wedge x_2) \vee \neg x_3)$ ,  $A_<$  in DLO is

$$\forall x_1 \forall y_1 \exists x_2 \exists y_2 \forall x_3 \forall y_3 (((x_1 < y_1) \wedge (x_2 < y_2)) \vee \neg(x_3 < y_3)).$$

- An atomic formula  $x_i < y_i$  in  $A_<$  simply plays the role of variable  $x_i$  in  $A$ . So,  $A$  holds in the Boolean algebra  $\{0, 1\}$  iff  $A_<$  holds in any model of DLO.
- Since the lengths of  $A$  and  $A_<$  differ only by constant multiples, TQBF is reduced to DLO in polynomial time.

Next, we show that DLO is PSPACE, following the proof that TQBF is PSPACE.

- First, assume a DLO formula is given in PNF  $Q_1x_1\dots Q_nx_n C(x_1, \dots, x_n)$  (with no quantifiers in  $C(x_1, \dots, x_n)$ ).
- To determine the truth value of  $C(x_1, \dots, x_n)$ , only the relation  $<$  among the elements are necessary. We first fix  $x_1$  is arbitrarily. Next, the necessary information on  $x_2$  is whether it is larger, smaller, or equal to  $x_1$ .
- If  $Q_2$  is  $\forall$  ( $\exists$ ), all the three cases (one of the three cases) must hold. Without loss of generality, we may assume  $x_1 < x_2$ .
- Next, there are five cases for  $x_3$  as illustrated by the red arrows:



So, if  $Q_3$  is  $\forall$  ( $\exists$ ), all the five cases (one of the five cases) should hold.

- In order to execute this computation, we need  $\log((2n - 1)!) = O(n \log n)$  space to keep records. Thus, it is  $DSPACE(n \log n)$ , hence also PSPACE.  $\square$

We next apply the EF theorem to the problem of length of finite linear orders.

### Lemma 3.35 (Gurevich)

Fix any  $m > 0$ . If  $L_1, L_2$  are two finite linear orders with length  $\geq 2^m$ ,  $L_1 \equiv_m L_2$ .

#### Proof.

- By  $[n] = (n, <)$ , we denote a finite linear order on  $n$ , where  $n$  is identified with  $\{0, 1, \dots, n-1\}$ .
- For each  $k$ , we define a threshold function  $|x|_k$  by  $|x|_k = |x|$  if  $|x| < 2^k$ ;  $|x|_k = \infty$ , otherwise.
- Consider a partial isomorphism  $\vec{a}(\subset [n]) \mapsto \vec{b}(\subset [n'])$  that satisfies the following conditions: if  $\vec{a} = (a_1, a_2, \dots, a_l)$  and  $\vec{b} = (b_1, b_2, \dots, b_l)$  are arranged in ascending order, and  $a_0 = b_0 = 0$ ,  $a_{l+1} = n$ ,  $b_{l+1} = n'$ , then for any  $i \leq l$ ,  $|a_{i+1} - a_i|_k = |b_{i+1} - b_i|_k$  holds.  
Then, let  $I_k$  be the set of such partial isomorphisms.
- By  $\emptyset \in I_k$  we mean  $|n|_k = |n'|_k$ . Thus, if  $n, n' \geq 2^m$ , then  $\emptyset \in I_m$



- Take any  $\vec{a} \mapsto \vec{b} \in I_k$ . We can show that for any  $a \in n$ , there exists a  $b \in n'$  such that  $\vec{a}a \mapsto \vec{b}b \in I_{k-1}$  holds. Here,  $\vec{a}a$  and  $\vec{b}b$  are rearranged in order.
- First consider the case  $|a_{i+1} - a_i|_k = |b_{i+1} - b_i|_k < \infty$  and  $a_{i+1} > a > a_i$ . Then,  $|a_{i+1} - a|_{k-1} < \infty$  or  $|a - a_i|_{k-1} < \infty$  hold. For instance, if  $|a - a_i|_{k-1} = d < \infty$ , then  $a = a_i + d$  and we may take  $b = b_i + d$ .
- Next consider the case  $|a_{i+1} - a_i|_k = |b_{i+1} - b_i|_k = \infty$  and  $a_{i+1} > a > a_i$ . Then  $|a_{i+1} - a|_{k-1} = \infty$  or  $|a - a_i|_{k-1} = \infty$  holds. If one is  $< \infty$ , then  $b$  is determined in the same way as above. If both are  $\infty$ ,  $b$  can be taken so that  $|b_{i+1} - b|_{k-1} = \infty$  and  $|b - b_i|_{k-1} = \infty$ .
- Therefore, we have  $I_0 \neq \emptyset$ . More strictly, we obtain  $[n] \simeq^m [n']$ .
- Thus, by the EF theorem, for  $n, n' \geq 2^m$ ,  $[n] \equiv_m [n']$ . □

### Theorem 3.36

There is no first-order formula expressing the parity of length of a finite linear order.

**Proof** Assume we have such a formula  $\varphi$ . Let  $\text{qr}(\varphi) = m$ . Then by the above lemma, linear orders longer than  $2^m$  cannot be separated by  $\varphi$ , a contradiction.

The connectivity of graphs cannot be defined by a first-order formula.

- We show this by reducing the parity problem of linear orders to it. We first make a special graph from a linear order.
- Given a linear order  $<$ , let  $\text{succ}(x, y) \equiv (x < y) \wedge \forall z(z \leq x \vee y \leq z)$  and  $\text{succ2}(x, y) \equiv \exists z(\text{succ}(x, z) \wedge \text{succ}(z, y))$ . Also let  $\text{first}(x) \equiv \neg \exists y \text{succ}(y, x)$  and  $\text{last}(x) \equiv \neg \exists y \text{succ}(x, y)$
- Finally, we make a graph on  $V = n$  by defining  $\text{edge}(x, y)$  as follows.  
$$\text{edge}(x, y) \equiv \text{succ2}(x, y) \vee ((\exists z(\text{succ}(x, z) \wedge \text{last}(z)) \wedge \text{first}(y))) \vee (\text{last}(x) \wedge (\exists z(\text{first}(z) \wedge \text{succ}(z, y))))$$

In this graph, every other points in a line are connected by an edge, and the first point is connected from the second last point, and also the second point is from the last point.
- If a linear order has even number of points, the graph becomes two cycles (disconnected), and if odd number, it results in a single cycle.
- In other words, if the connectivity of a graph can be defined, then the parity of the length of a linear order can be defined, a contradiction.

## Homework 3.5.1

Given a finitely connected graph, the existence of an Eulerian cycle in it cannot be described in first-order logic.

- To expand the scope of application of the EF theorem, we would like to consider structures with functions.
- Rewriting functions as relations requires the use of extra quantifiers for function composition, and the need to use more complicated formulas for atomic formulas involving functions.
- However, there are no big problems when dealing with arbitrary ranks. For example, the following argument is possible for groups.
- $G_1 \equiv G_2 \Rightarrow G_1 \times H \equiv G_2 \times H$  for three groups  $G_1, G_2, H$ . For this proof, we observe that II's winning play  $\vec{g}_1 \leftrightarrow \vec{g}_2$  in  $\text{EF}_n(G_1, G_2)$  can be modified as II's winning play  $(\vec{g}_1, \vec{h}) \leftrightarrow (\vec{g}_2, \vec{h})$  in  $\text{EF}_n(G_1 \times H, G_2 \times H)$ .

## Summary

- We consider a language of finitely many relation symbols and constants.
- By  $\mathcal{A} \equiv_n \mathcal{B}$ , we mean that  $\mathcal{A}, \mathcal{B}$  satisfy the same formulas with rank  $\leq n$ .
- Let  $\varphi_{\mathcal{A}}^n$  be the **Scott-Hintikka sentence** of rank  $n$ . Then  $\mathcal{C} \equiv_n \mathcal{A} \Leftrightarrow \mathcal{C} \models \varphi_{\mathcal{A}}^n$ .
- By  $\mathcal{A} \simeq^n \mathcal{B}$ , we mean that player II has a winning strategy in  $\text{EF}_n(\mathcal{A}, \mathcal{B})$ .
- **EF theorem.** For all  $n \geq 0$ ,  $\mathcal{A} \equiv_n \mathcal{B}$  iff  $\mathcal{A} \simeq^n \mathcal{B}$ .
- **Corollary** The following are equivalent.
  - (1) For any  $n$ , there exist  $\mathcal{A} \in K$  and  $\mathcal{B} \notin K$  such that  $\mathcal{A} \equiv_n \mathcal{B}$ .
  - (2)  $K$  is not an elementary class ( $K$  cannot be defined by a first-order formula).
- By the EF theorem, DLO is decidable.
- DLO is PSPACE-complete. TQBF is polynomial-time reducible to DLO.
- (Gurevich) For any  $m > 0$ , for any two finite linear sequences  $L_1, L_2$  of length  $2^m$  or greater,  $L_1 \equiv_m L_2$ .
- For finite linear orders, there is no first-order formula expressing the parity of its length.
- The connectivity of a graph cannot be defined by a first-order formula.

# Thank you for your attention!