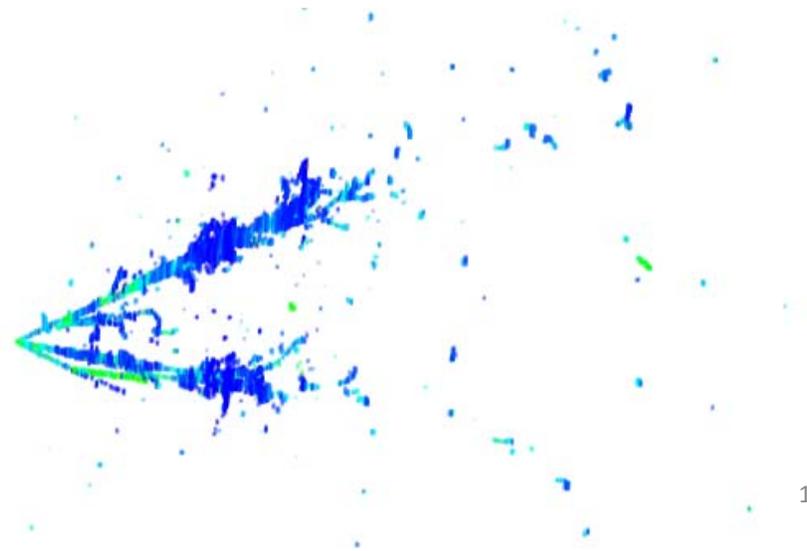


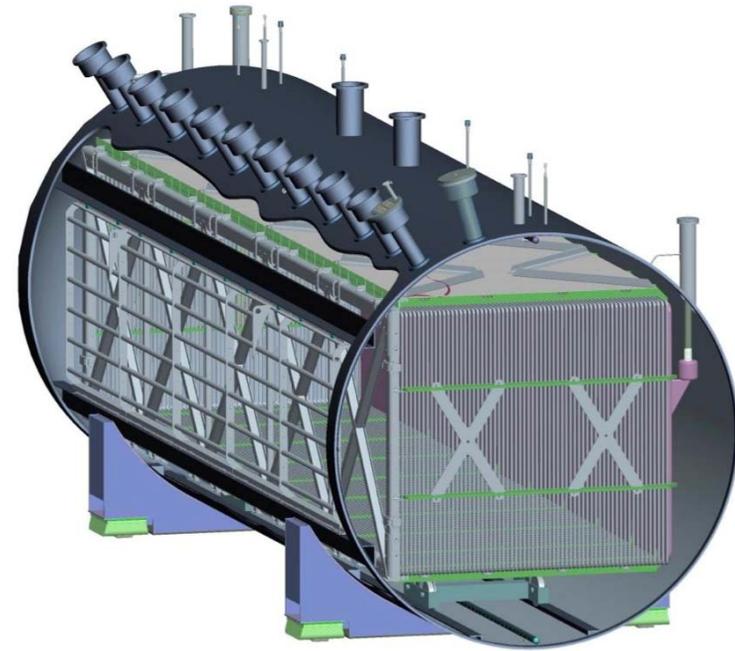
Development of LArTPC for Neutrino Physics

Xin Qian
BNL



Outline

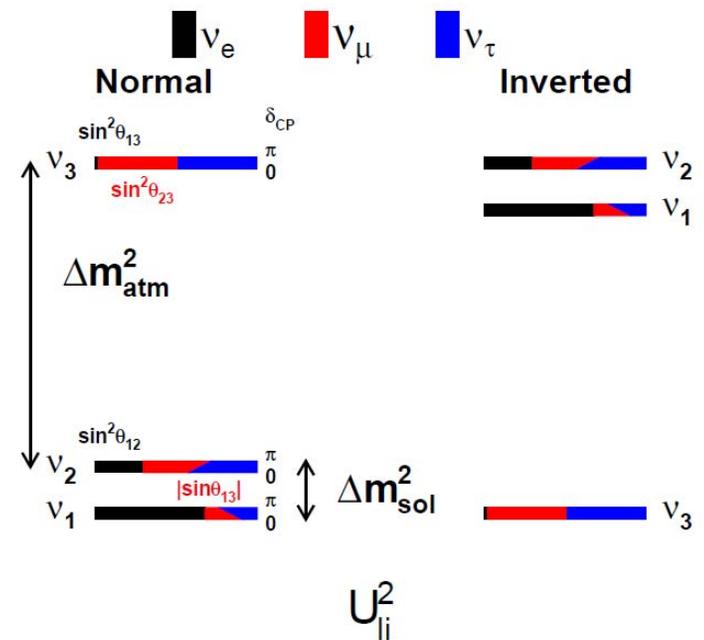
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 - LArTPC Signal Processing
 - Wire-Cell Tomographic Event Reconstruction
 - Optimization of LArTPCs
- Summary



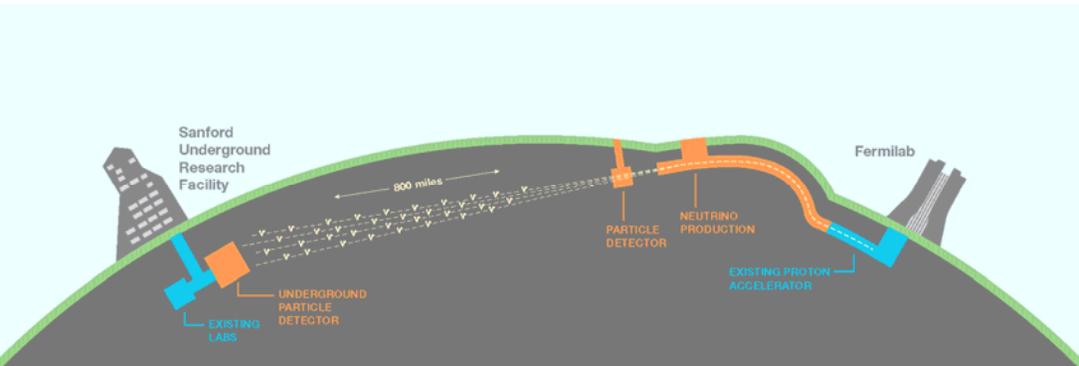
MicroBooNE LArTPC

Big Questions in Neutrino Physics?

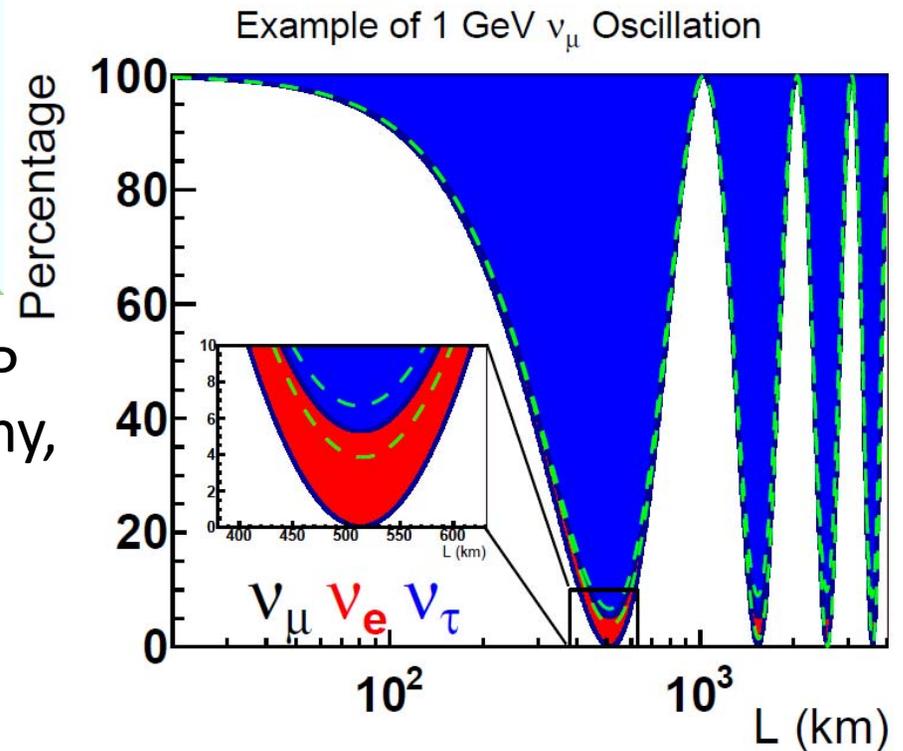
- Are neutrinos responsible for the large matter anti-matter asymmetry?
- What's the neutrino mass hierarchy?
- Are neutrino Dirac or Majorana particles?
- What is the neutrino mass?
- Are there sterile neutrinos?
- ...



Deep Underground Neutrino Experiment (DUNE)

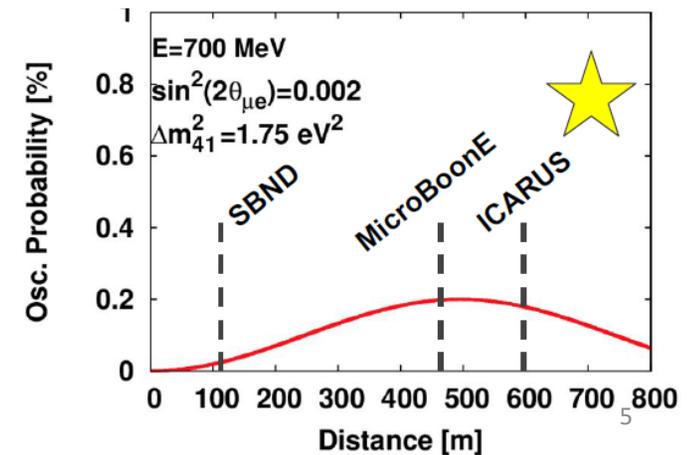
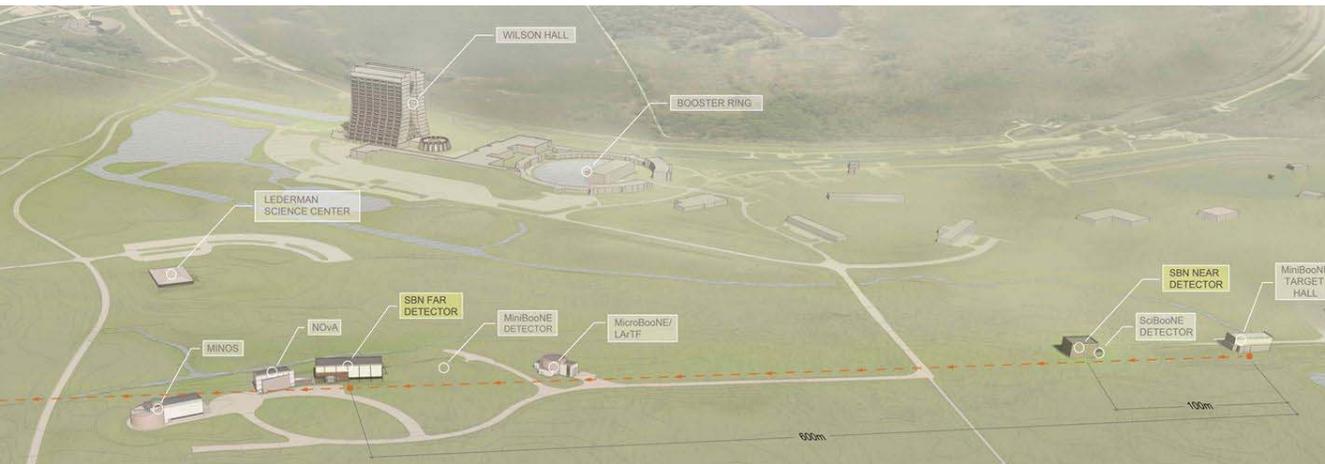
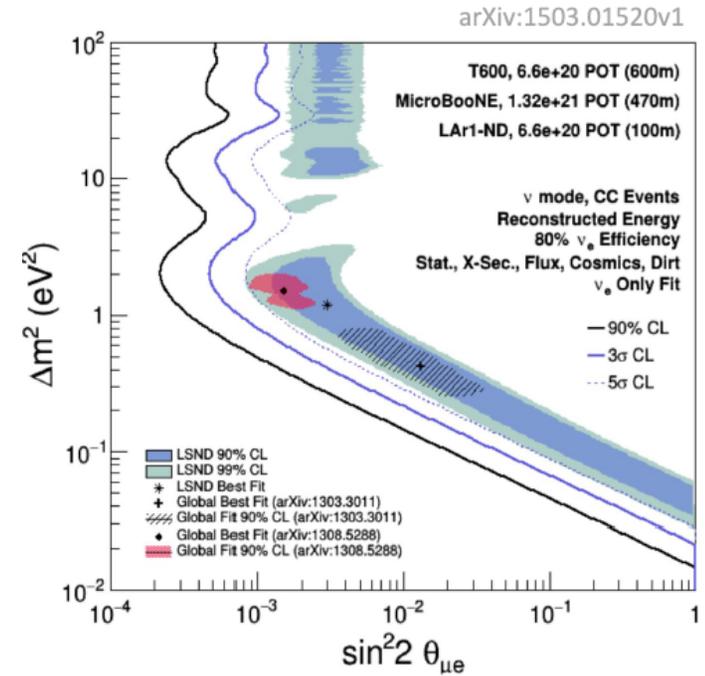


- DUNE is designed to search for new CP violation, determine the mass hierarchy, test the unitarity of PMNS matrix through precision measurement of $(\text{anti})\nu_{\mu} \rightarrow (\text{anti})\nu_e$
- Also search for proton decay and detect supernova neutrinos



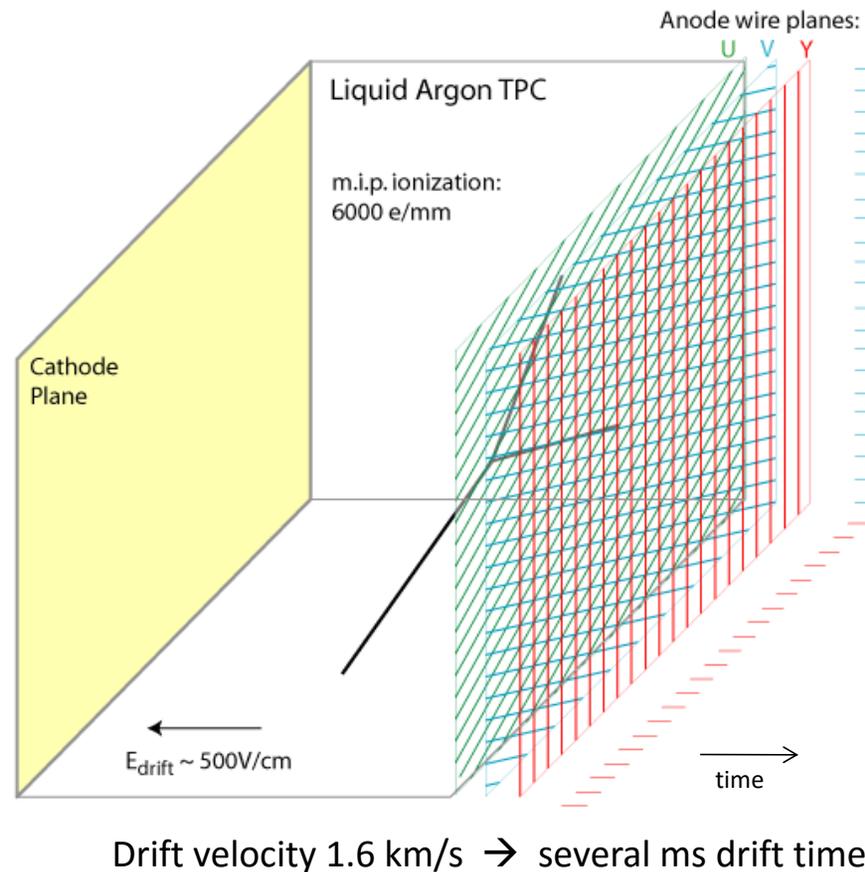
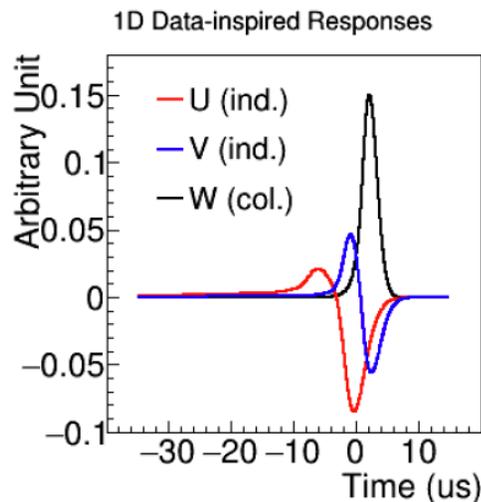
Short-Baseline Neutrino Program

- Search for light sterile neutrino through search for anomalous $\nu_\mu \rightarrow \nu_e$ oscillation motivated by LSND and MiniBooNE anomalies

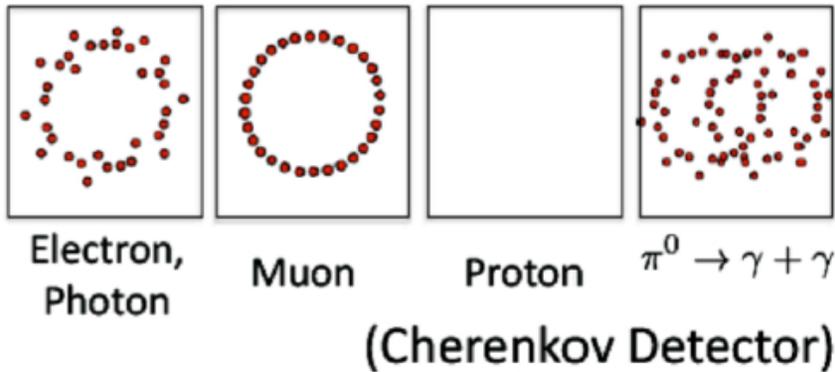


Principle of Single-Phase Liquid Argon Time Projection Chamber (LArTPC)

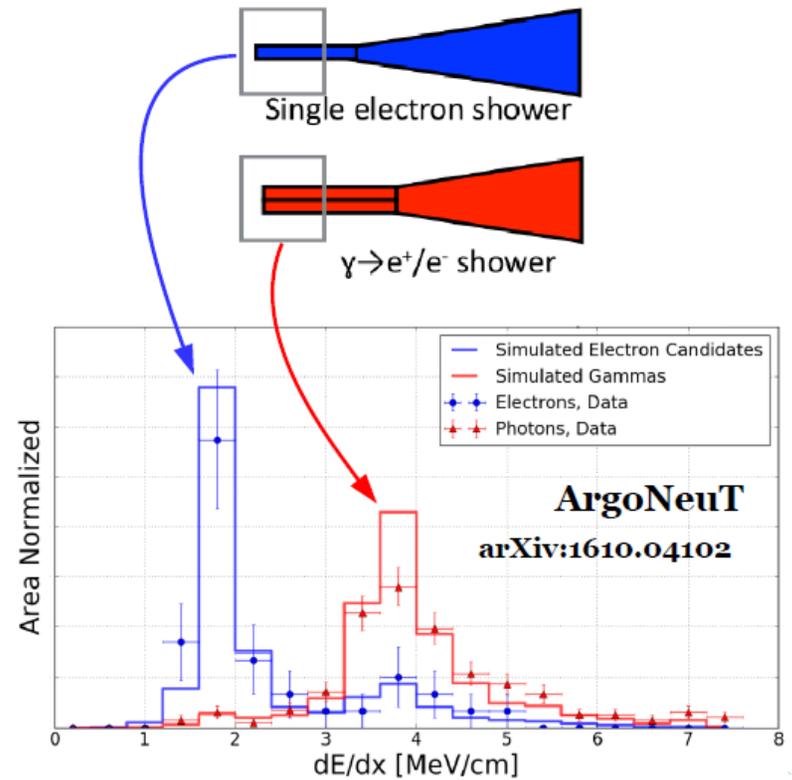
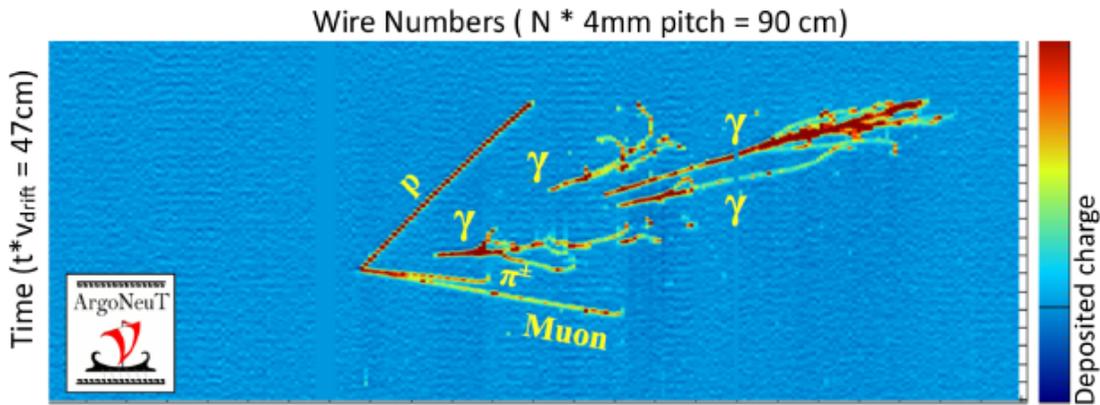
- LArTPC has mm scale position resolution with multiple 1D wire readouts
- Energy deposition and topology can be used to do PID
- Ar: the most abundant noble gas



Unique e/ γ separation in LArTPC



- Gap Identification + dE/dx for LArTPC

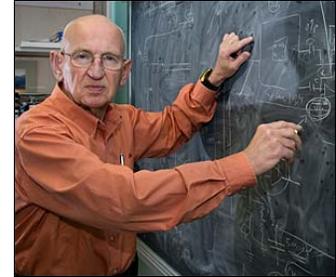


Early History of the Development of LArTPC

- W. Willis and V. Radeka, Liquid argon ionization chambers as total absorption detector, NIMA 120:221 (1974)
- D. R. Nygren, The Time Projection Chamber: A New 4π Detector for Charged Particles. eConf. C740805:58 (1974)
- H. H. Chen et al. A Neutrino detector sensitive to rare process. I. A study of neutrino electron reactions. FNAL-Proposal-0496 (1976)
- C. Rubbia, The liquid argon time projection chamber: a new concept for neutrino detector, CERN-EP/77-08 (1977)



William Willis



V. Radeka



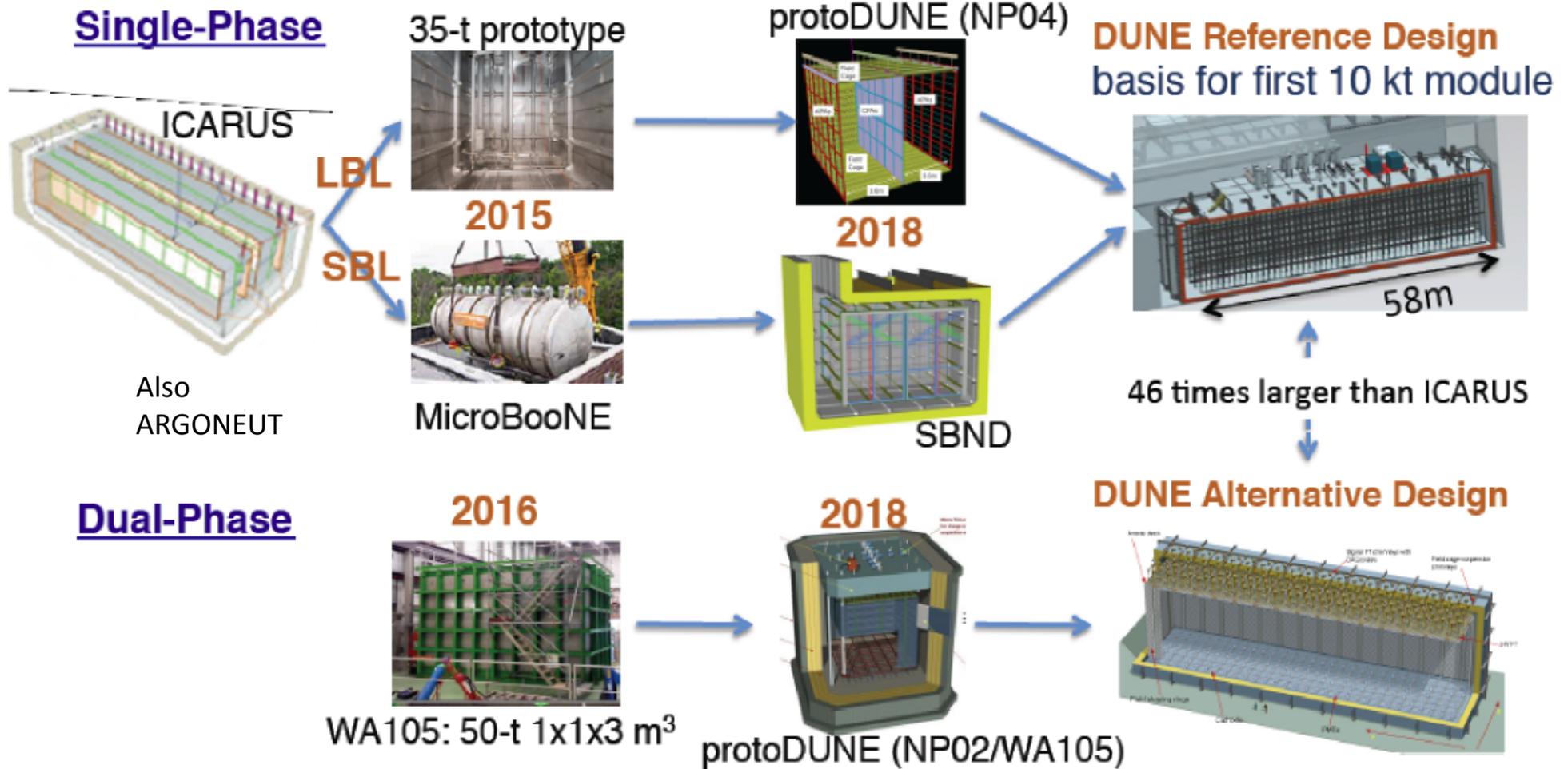
D. R. Nygren

H. H. Chen



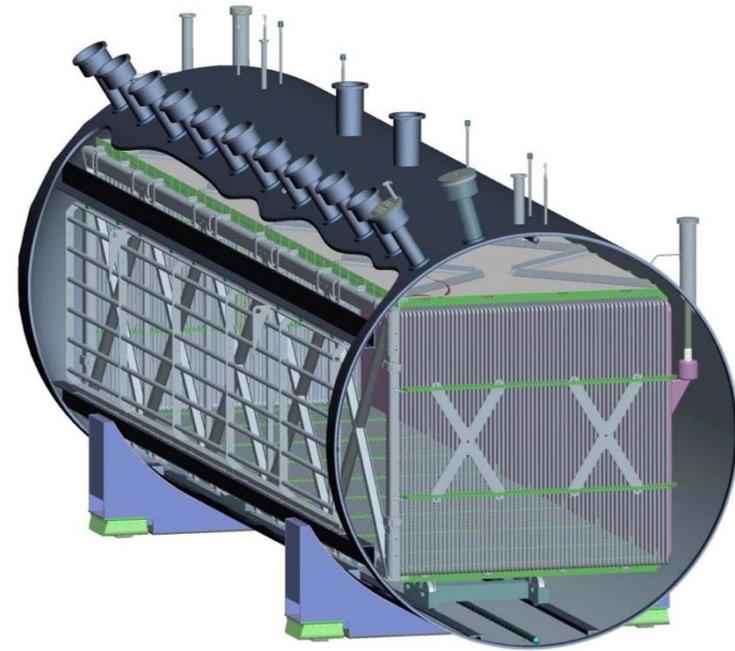
C. Rubbia

History of the Development of LArTPC



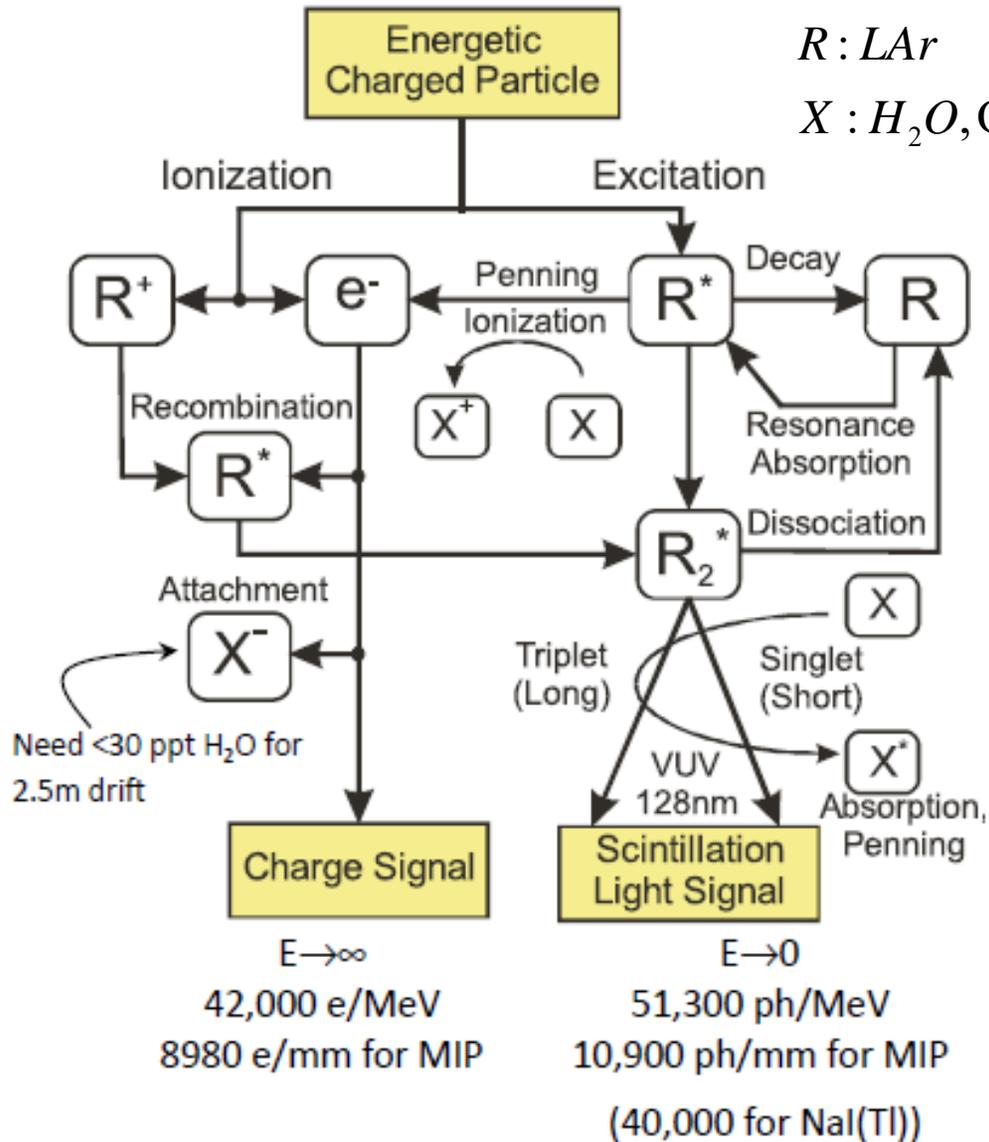
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MicroBooNE LArTPC

Charge Particle going through LAr



- Ionization signal is very small

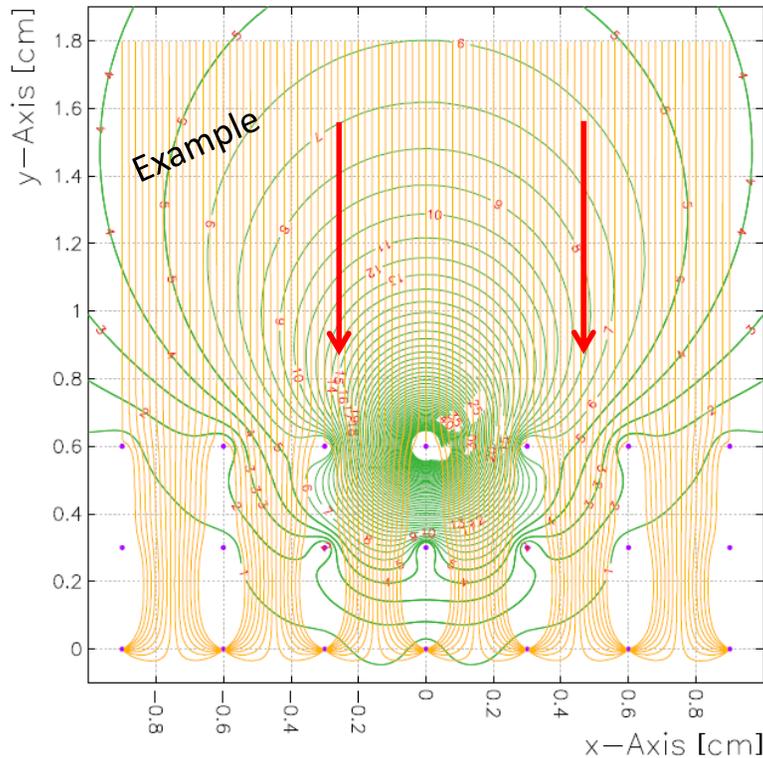
$$\frac{1.8 \text{ MeV/cm}}{23.6 \text{ eV}} \times (0.3 \text{ cm}) \times 0.7 \times 0.85 \sim 13600 e^-$$

Energy deposition Wire pitch Recombination Attenuation due to attachment

- Ultra pure LAr (~ ppb O_2 and ppt H_2O) is needed as $O(10^{12})$ collisions every second for an electron

Single-Phase TPC Signal Formation

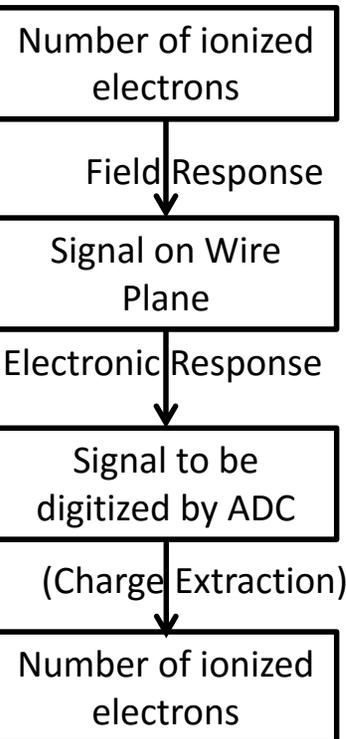
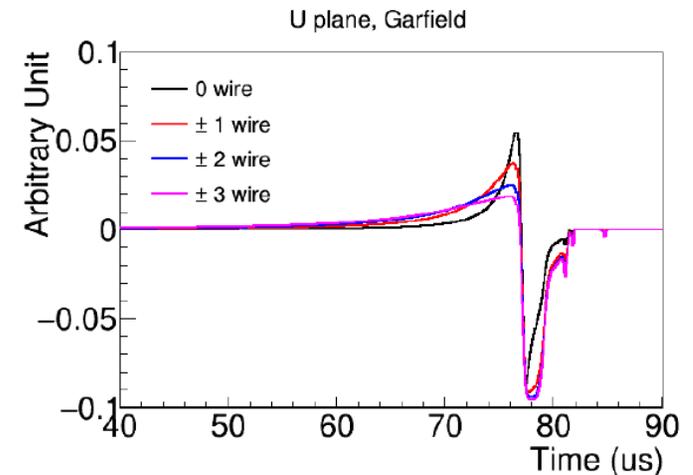
Weighting Potential of a U Wire



Shockley–Ramo theorem

$$i = -q \cdot \vec{E}_w \cdot \vec{v}_q$$

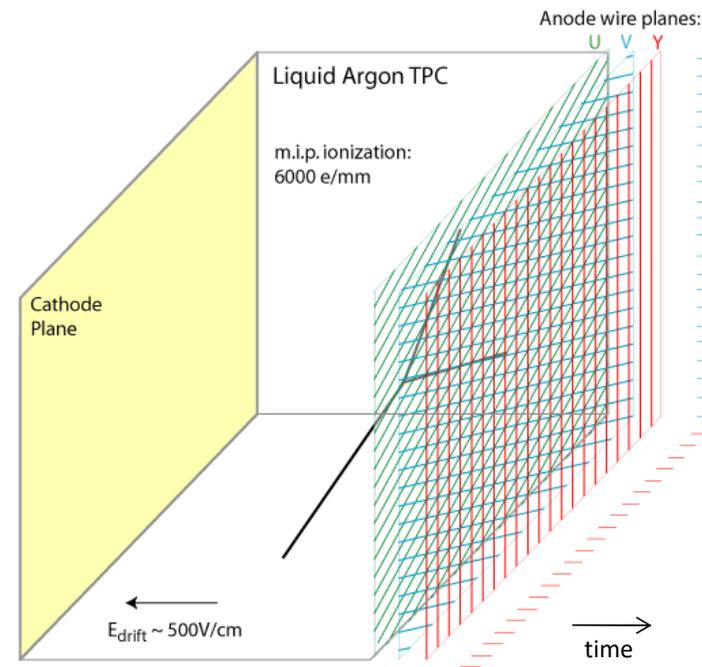
v_q : velocity
 E_w : weighting field
 q : charge



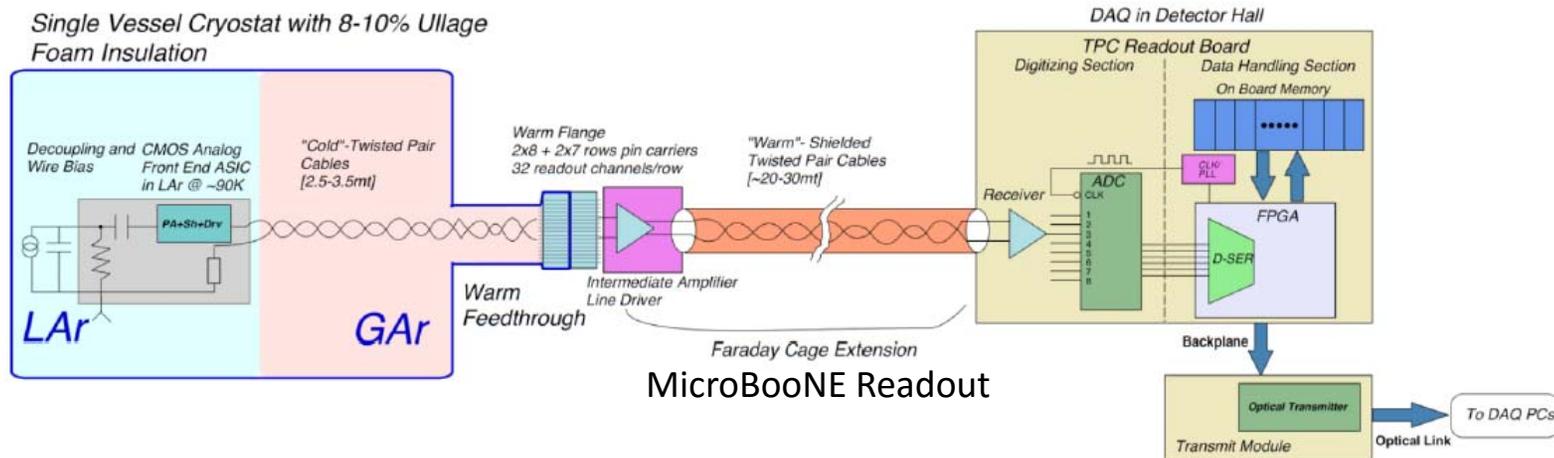
- Induction plane signal strongly depends on the local charge distribution, collection plane signal is much simpler

Challenges in LArTPC Signal

- Wire/strip readout is essential!
 - Power consumption of pixel readout in LAr is 1-2 orders higher than what we can handle
 - 40 kton detector → cost of pixel readout
 - MicroBooNE (60 tons)
8256 wires vs. 3 million pixel readout
 - Important to have induction wire planes in addition to the collection wire plane
- There is no electron amplification inside LAr
 - Signal is very small ~10s k electrons
 - Cold electronics is essential to minimize electronics noise considering large wire capacitance



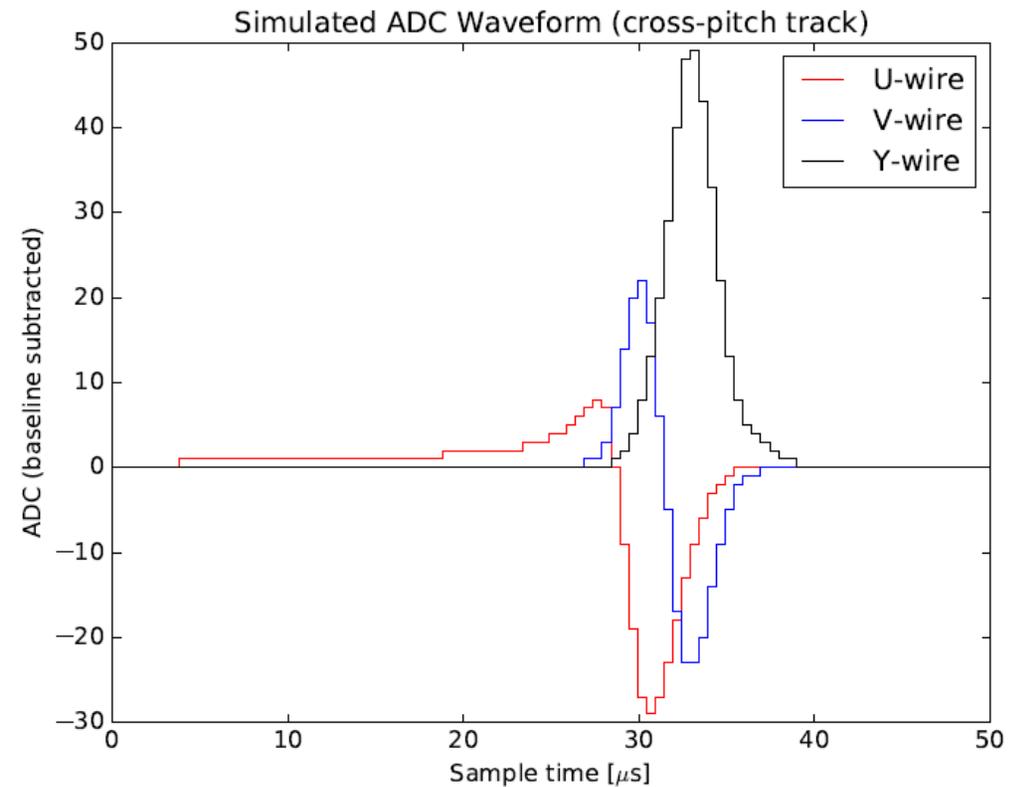
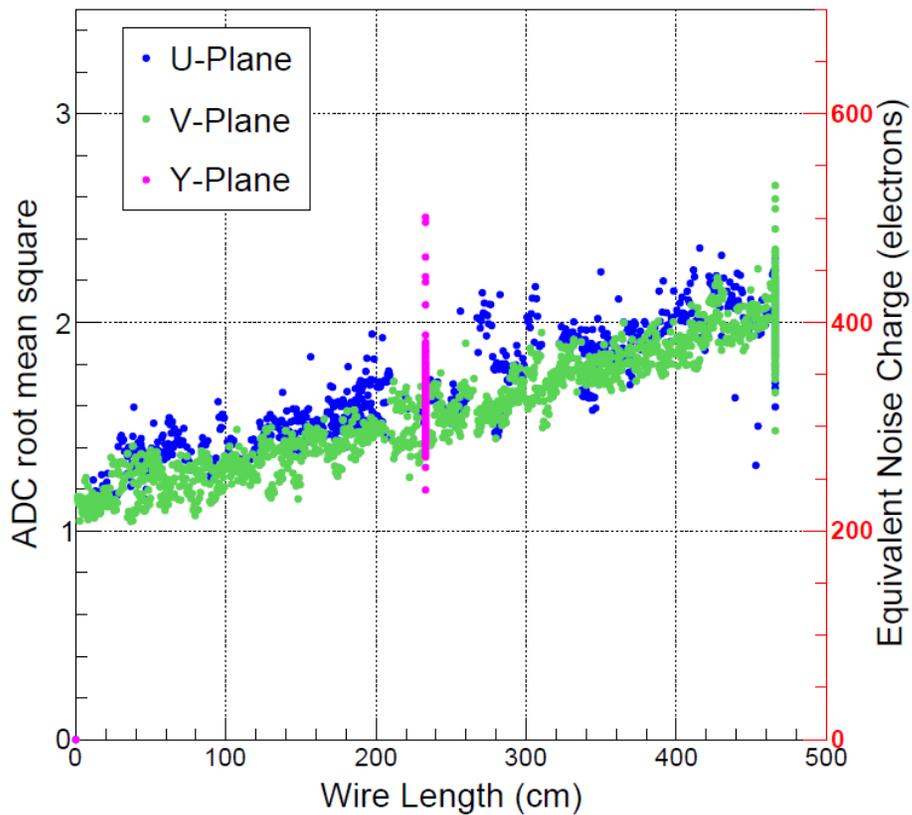
Enabling Technology: Cold Electronics



- Placing the preamplifier inside LAr significantly reduced the electronics noise
 - 5-6 times comparing to past warm electronics (10:1 \rightarrow 60:1 MIP peak-to-noise ratio in the collection)
 - Significantly improve the performance of induction wire plane

Cold Electronics Performance in MicroBooNE

Wire Noise Level in MicroBooNE



TPC Signal Processing → Recover Ionization Electrons

Time domain

$$M(t_0) = \int_t R(t - t_0) \cdot S(t) \cdot dt$$

Fourier transformation

$$M(\omega) = R(\omega) \cdot S(\omega)$$

Frequency domain

$$S(\omega) = \frac{M(\omega)}{R(\omega)} \cdot F(\omega)$$

Back to time domain

$$S(t)$$

Anti-Fourier transformation

- Signal processing is based on deconvolution technique
 - $O(N^3)$ matrix inversion is achieved through a $O(N \log N)$ fast Fourier transformation
- General good for collection plane signals
- Not good for induction plane signals due to lack of universal average response function

2-D Deconvolution

$$M_i(t_0) = \int_t (R_0(t-t_0) \cdot S_i(t) + R_1(t-t_0) \cdot S_{i+1}(t) + \dots) \cdot dt$$

$$M_i(\omega) = R_0(\omega) \cdot S_i(\omega) + R_1(\omega) \cdot S_{i+1}(\omega) + \dots$$

$$\begin{pmatrix} M_1(\omega) \\ M_2(\omega) \\ \dots \\ M_{n-1}(\omega) \\ M_n(\omega) \end{pmatrix} = \begin{pmatrix} R_0(\omega) & R_1(\omega) & \dots & R_{n-2}(\omega) & R_{n-1}(\omega) \\ R_1(\omega) & R_0(\omega) & \dots & R_{n-3}(\omega) & R_{n-2}(\omega) \\ \dots & \dots & \dots & \dots & \dots \\ R_{n-2}(\omega) & R_{n-3}(\omega) & \dots & R_0(\omega) & R_1(\omega) \\ R_{n-1}(\omega) & R_{n-2}(\omega) & \dots & R_1(\omega) & R_0(\omega) \end{pmatrix} \cdot \begin{pmatrix} S_1(\omega) \\ S_2(\omega) \\ \dots \\ S_{n-1}(\omega) \\ S_n(\omega) \end{pmatrix}$$

- With induced signals, the signal is still linear sum of direct signal and induced signal

– R_1 represents the induced signal from $i+1$ th wire signal to i th wire

– S_i and S_{i+1} are not directly related

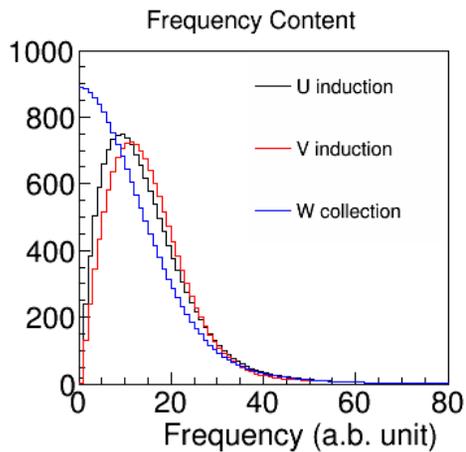
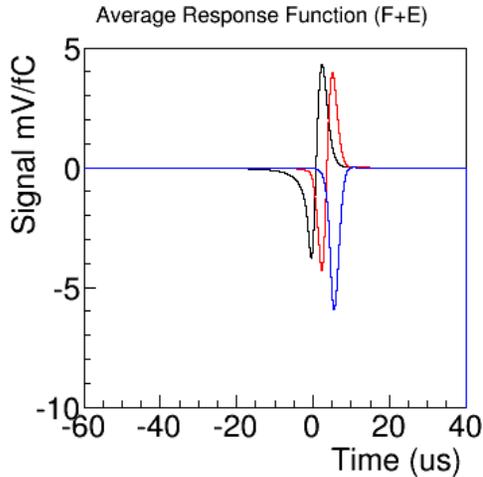
The inversion of matrix R can again be done with deconvolution through 2-D FFT

Just 2D deconvolution will not be enough → ROI + Adaptive Baseline

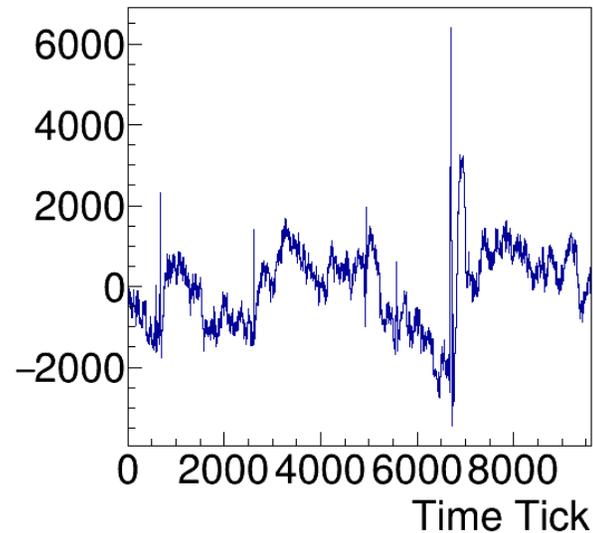
- The bi-polar nature of induction signal amplify the low-frequency noise during deconvolution

$$S(\omega) = \frac{M(\omega)}{R(\omega)} \cdot F(\omega)$$

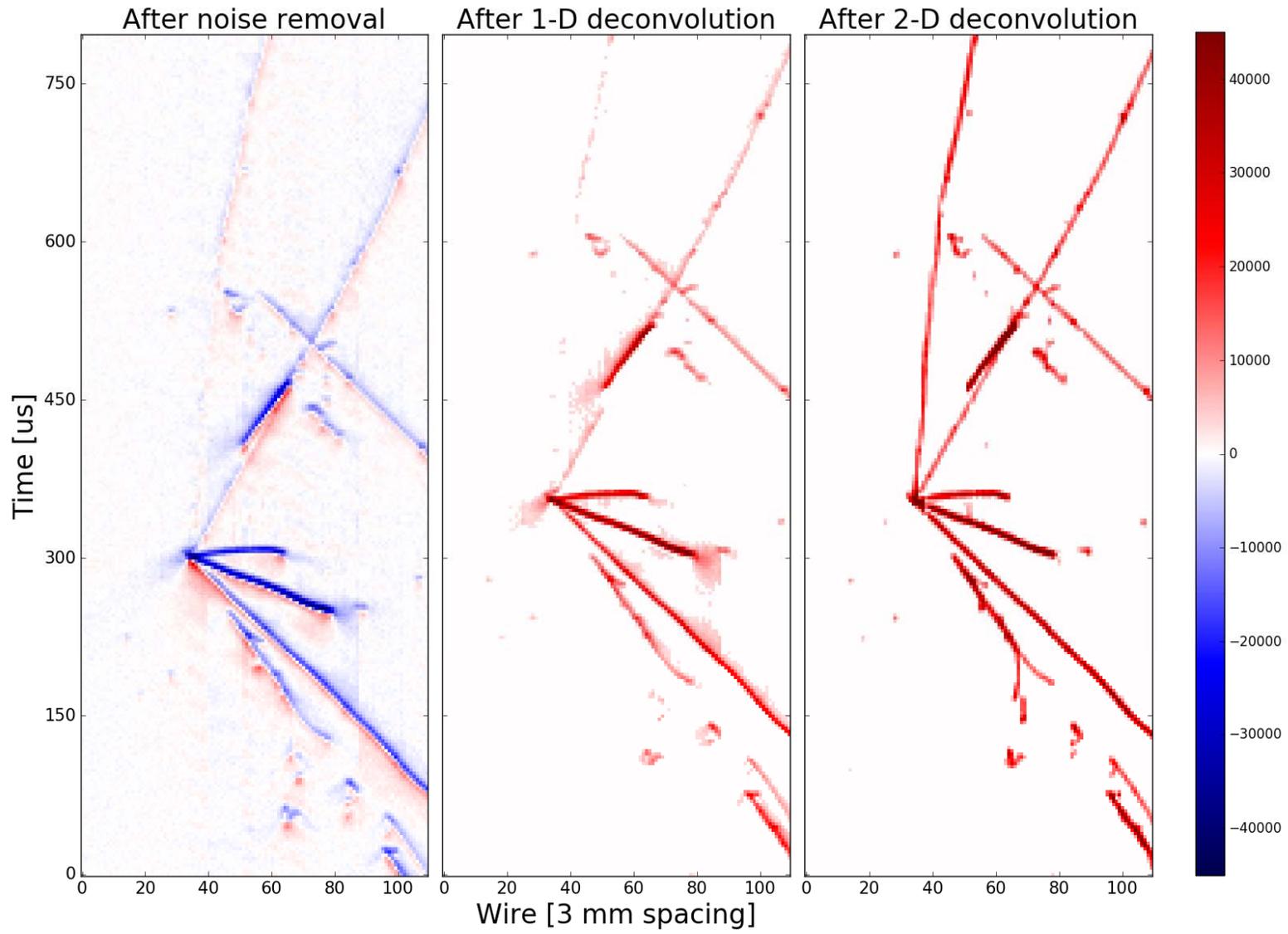
- One can improve the situation through ROI and baseline correction



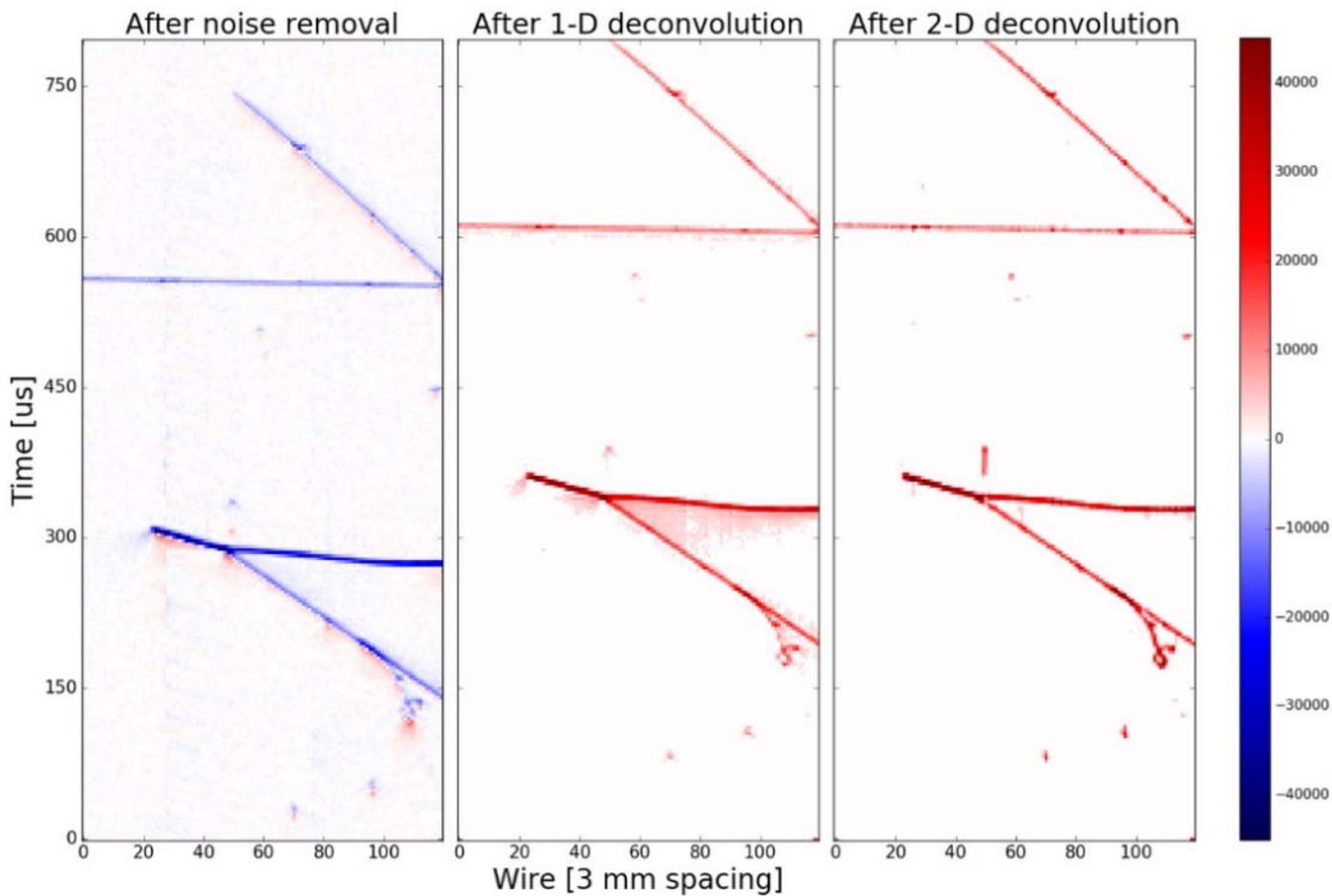
Deconvolved Signal W.O. Low-f Filter



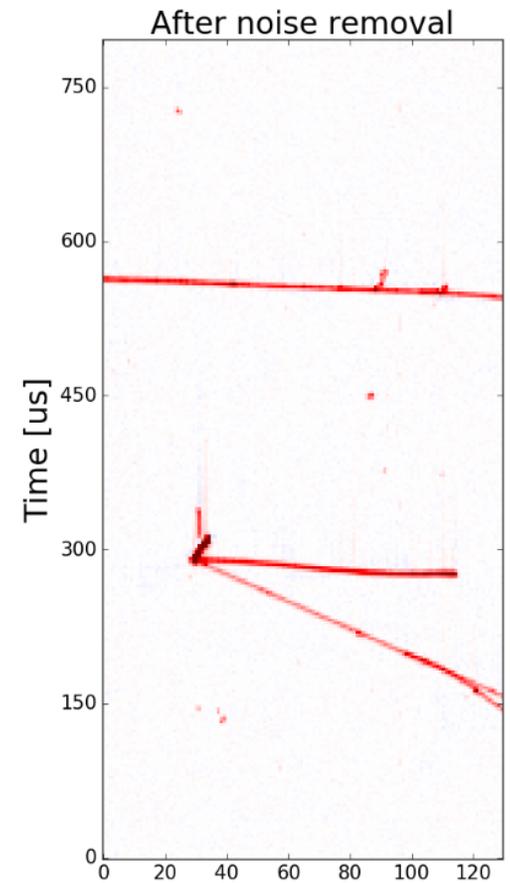
- Given N time bins with 2 MHz digitization frequency, the lowest freq (above 0) is $2/N$ MHz
- Obviously not sensitive to noise $< 2/N$ MHz
- 200 bins → 10 kHz



Induction U plane

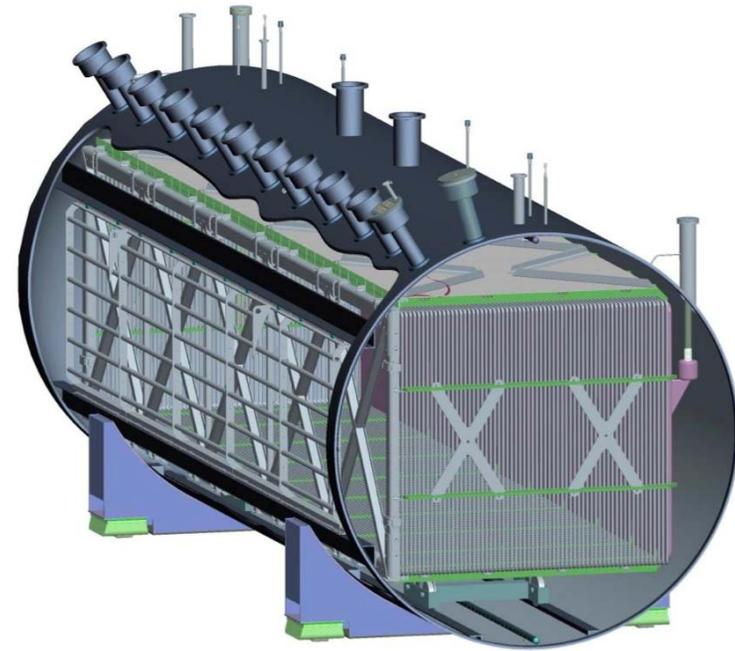


Collection plane



Outline

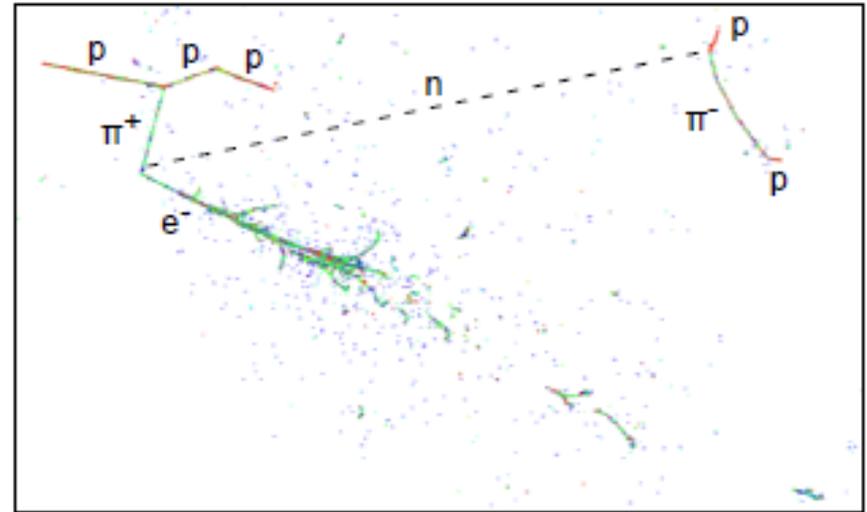
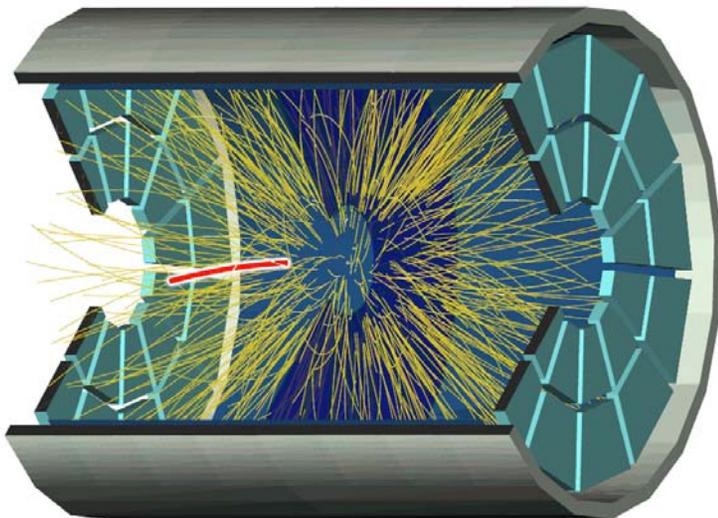
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MicroBooNE LArTPC

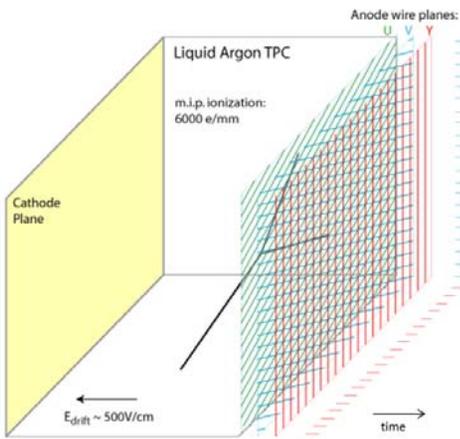
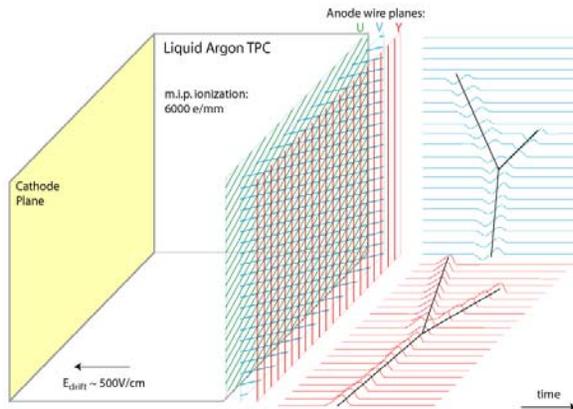
Challenges of Event Reconstruction in LArTPCs

- Event topology:
 - Tracks, showers, unknown vertex in LArTPCs
 - Simple tracks in collider's gas TPCs



- Wire vs. Pixel readout
 - Large LArTPCs has to use wire readout due to **power consumption** of electronics and **costs**
 - Pseudo-3D detector

2D matching \rightarrow 3D



Wire-Cell Approach

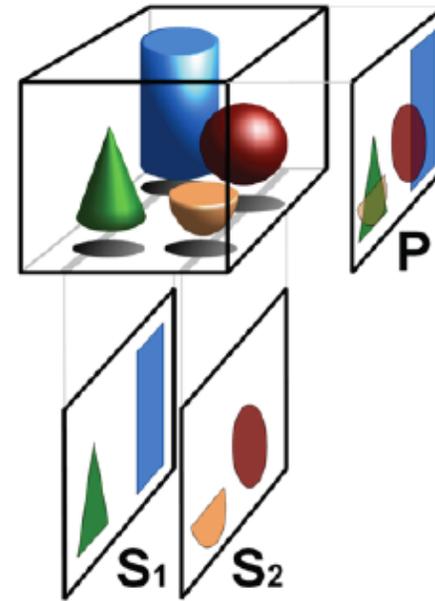
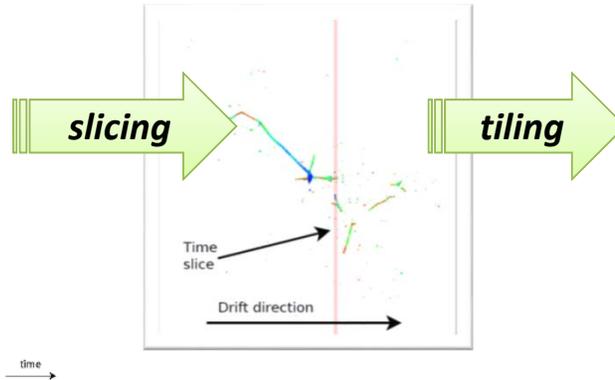
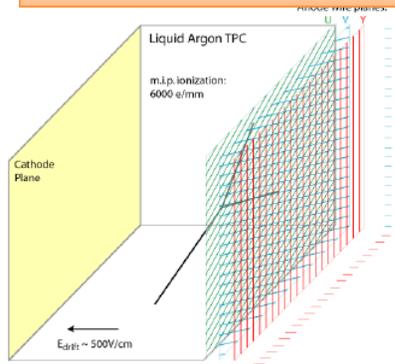


Fig.1:Basic principle of tomography:
superposition free tomographic
cross sections S1 and S2 compared
with the projected image P

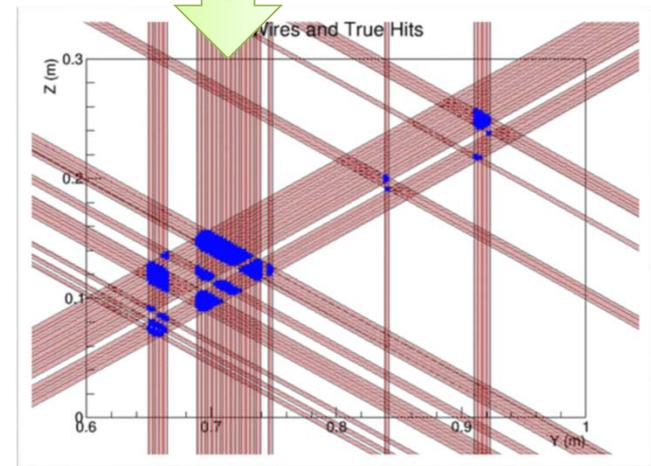
<https://en.wikipedia.org/wiki/Tomography>

Wire-Cell Imaging

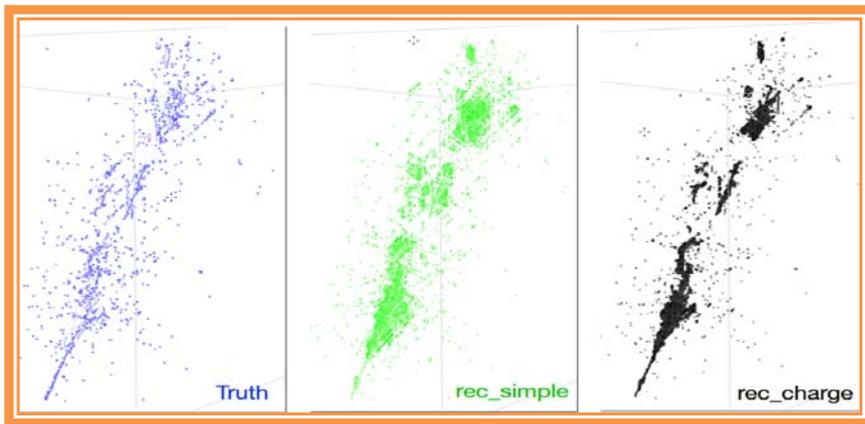
LArTPC Signal Formation



merging



solving

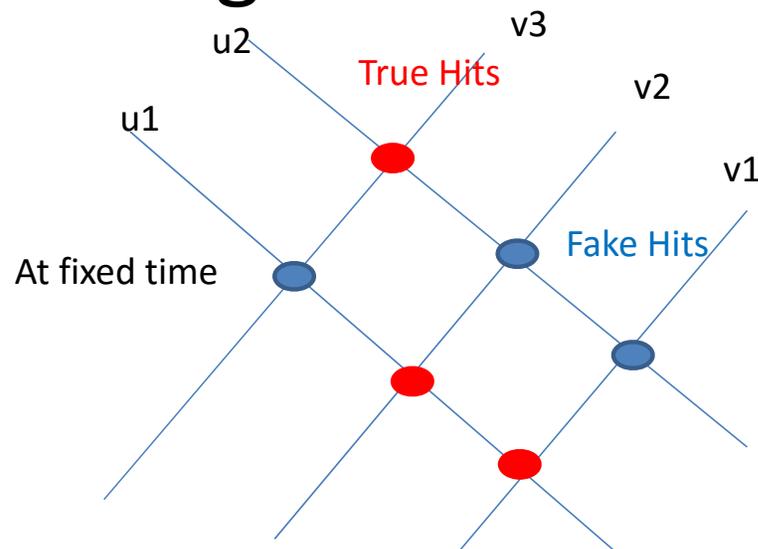


Solving for Images

$$\chi^2 = (B \cdot W - G \cdot C)^T V_{BW}^{-1} (B \cdot W - G \cdot C)$$

$$\frac{\partial \chi^2}{\partial C} = 0 \rightarrow C = (G^T V_{BW}^{-1} G)^{-1} G^T V_{BW}^{-1} B W$$

- C: charge in each (merged) cell
- G: Geometry matrix connecting cells and wires
- W: charge in each single wire
- B: Geometry matrix connecting merged wires and single wires
- V_{BW} : Covariance matrix describing uncertainty in wire charge



- Use two-plane as an example
- Red points are true hits
- Blue ones are fake hits

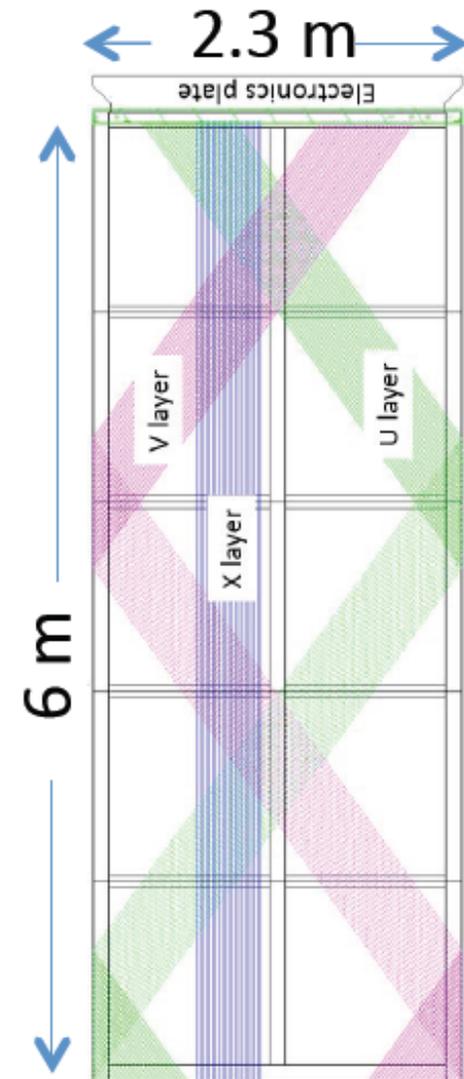
$$W = G \cdot C$$

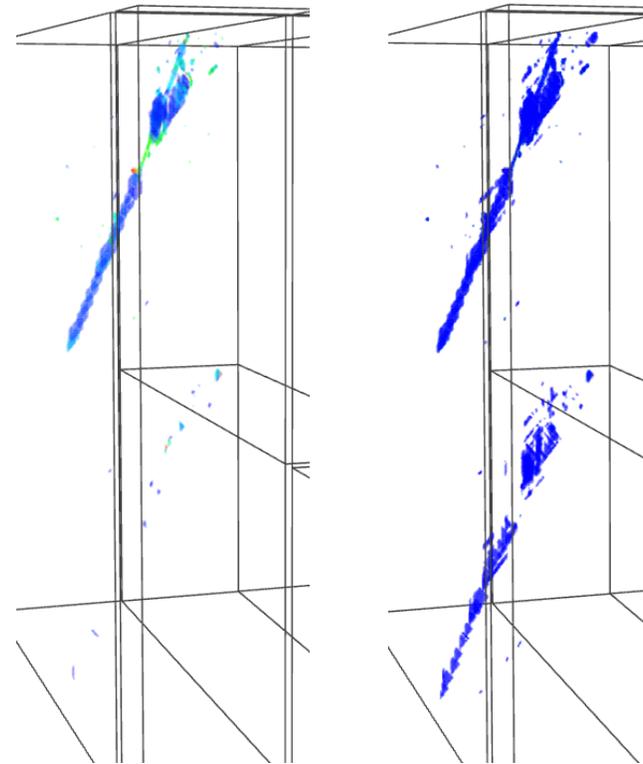
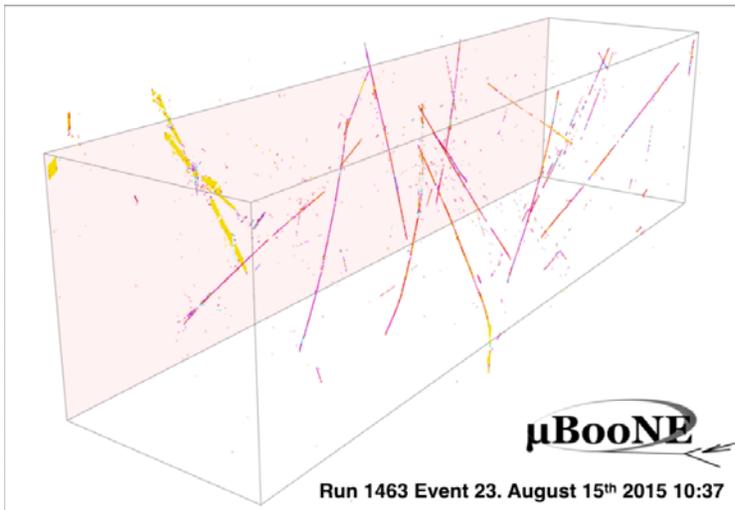
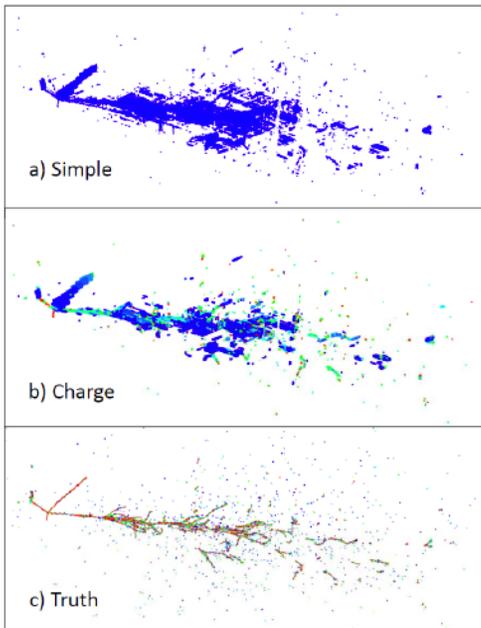
Same formulism for Wrapped Wire

$$\chi^2 = (\mathbf{B} \cdot \mathbf{W} - \mathbf{G} \cdot \mathbf{C})^T \mathbf{V}_{BW}^{-1} (\mathbf{B} \cdot \mathbf{W} - \mathbf{G} \cdot \mathbf{C})$$

$$\mathbf{C} = (\mathbf{G}^T \mathbf{V}_{BW}^{-1} \mathbf{G})^{-1} \mathbf{G}^T \mathbf{V}_{BW}^{-1} \mathbf{B} \mathbf{W}$$

- \mathbf{C} : charge in each (merged) cell
- \mathbf{G} : Geometry matrix connecting cells and **channels**
- \mathbf{W} : charge in each single **channel**
- \mathbf{B} : Geometry matrix connecting merged **channels** and single **channels**
- \mathbf{V}_{BW} : Covariance matrix describing uncertainty in **channel** charge





With Charge

Without Charge

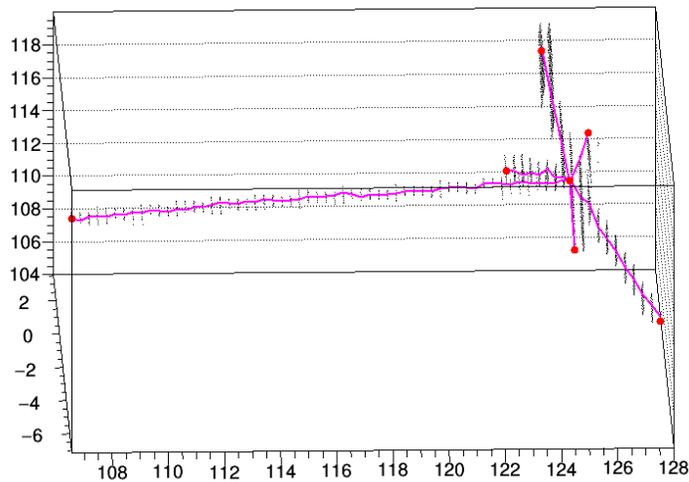
More 3D events can be found at
<http://www.phy.bnl.gov/wire-cell/bee/>
 Bee: interactive 3D display

Connectivity information

- Use the connectivity information to choose the optimal imaging solution
 - Penalty term added in χ^2

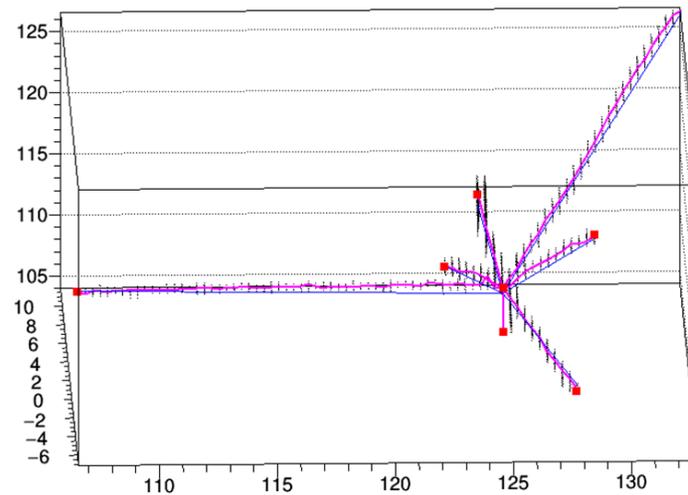
Without Connectivity

Graph2D



With Connectivity

Graph2D



Strategy Comparison

2D Matching

- Start with 2D (time+wire x 3)
- 2D pattern recognition
 - Particle track/cluster information
- Matching 2D patterns into 3D objects
 - Time information (start/end of clusters)
 - Geometry information
 - Some charge information to remove ambiguities in matching

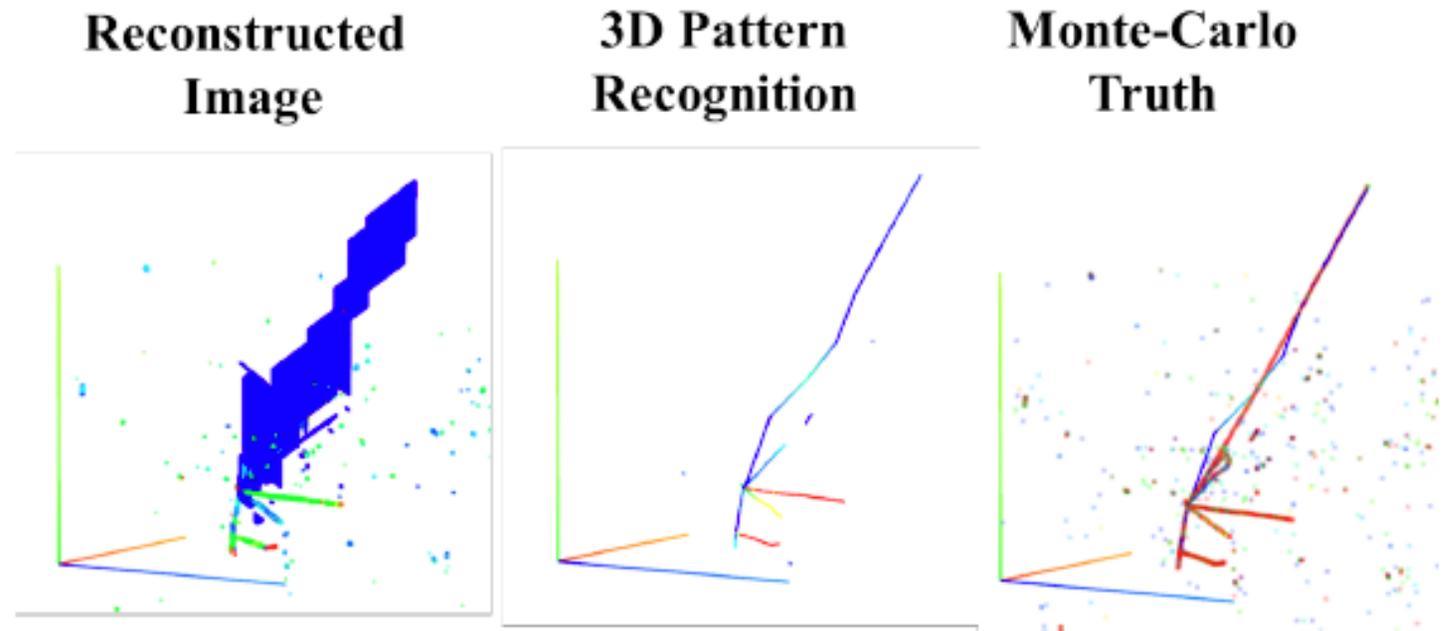
3D Tomography

- Start with 2D (wire+wire+wire at fixed time slice)
- 2D image reconstruction
 - Explicit Time + Geometry + Charge information
 - Some connectivity information can be used
- 3D image reconstruction
 - Straight forward
- 3D pattern recognition
 - Particle track/cluster information (tracks, showers)

Each approach uses the same set information in different order!

Wire-Cell Pattern Recognition (under developing)

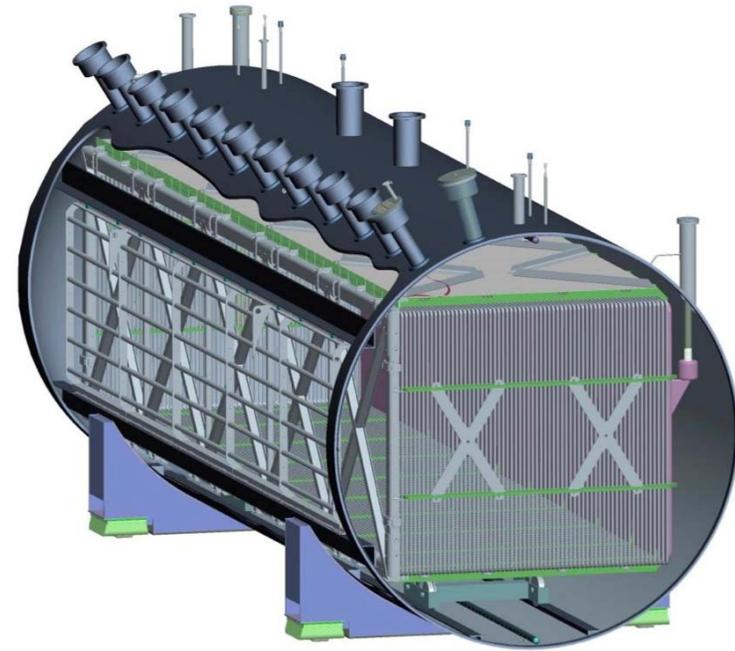
- Given the 3D images, pattern recognition is performed with the track and shower hypotheses



- Operations are all “local” i.e. Hough transformation, Crawler, Vertex fitting/merging ...
- Too many different topologies → many corner cases

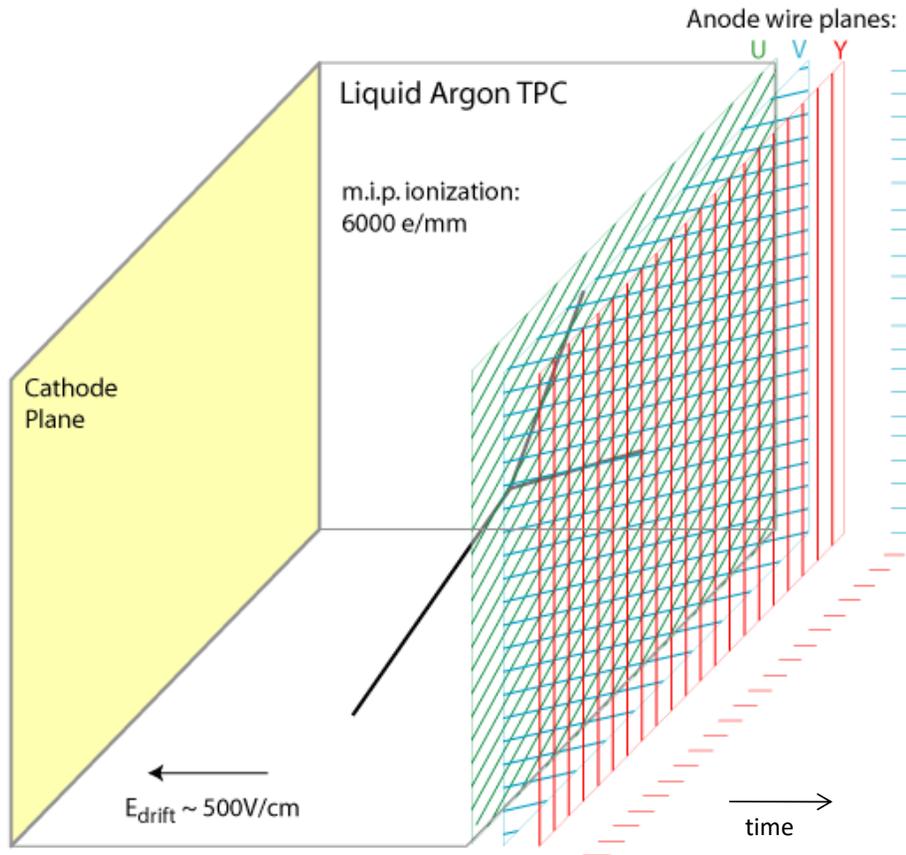
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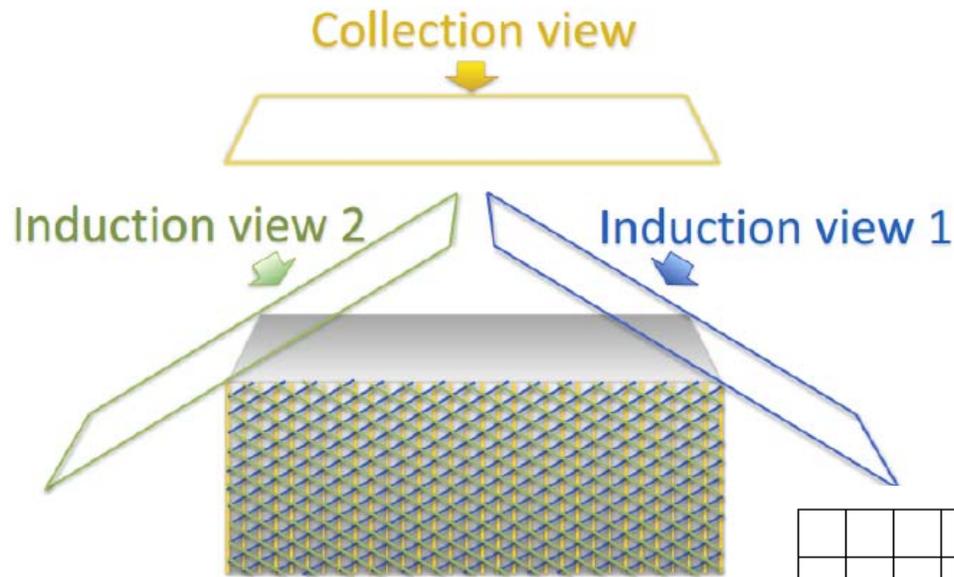
MicroBooNE LArTPC

Information from LArTPC

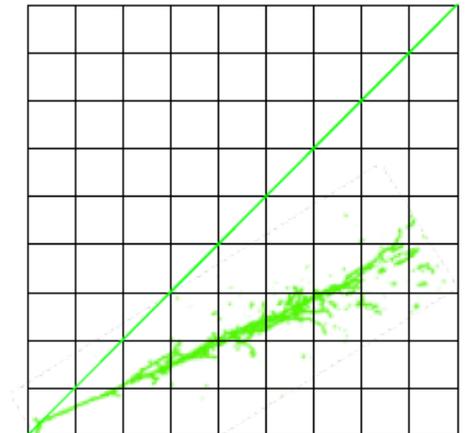
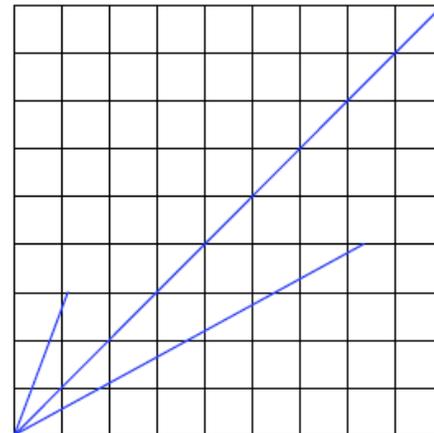
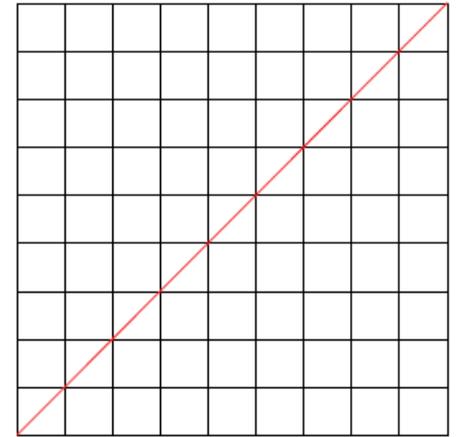


- Time information: when ionization electrons arrive (drift distance)
- Geometry information: which wires are fired (transverse position)
- Charge information: how many ionization electrons (energy deposition)

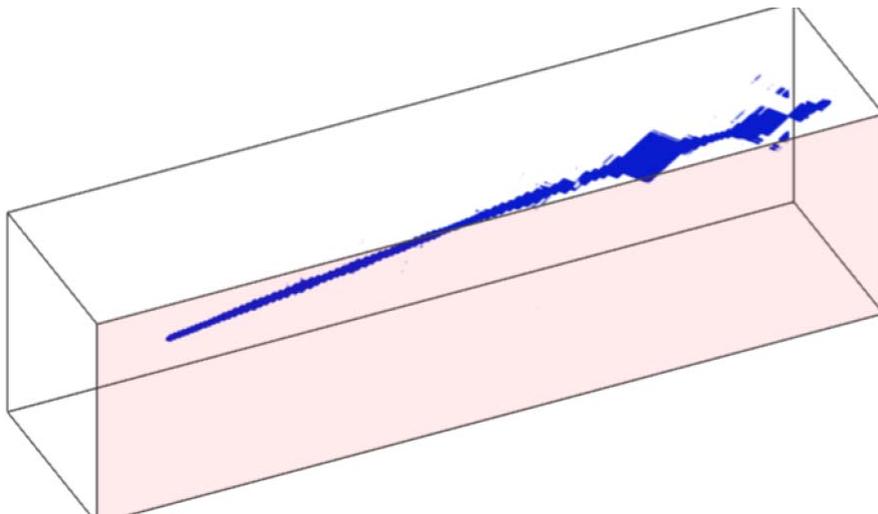
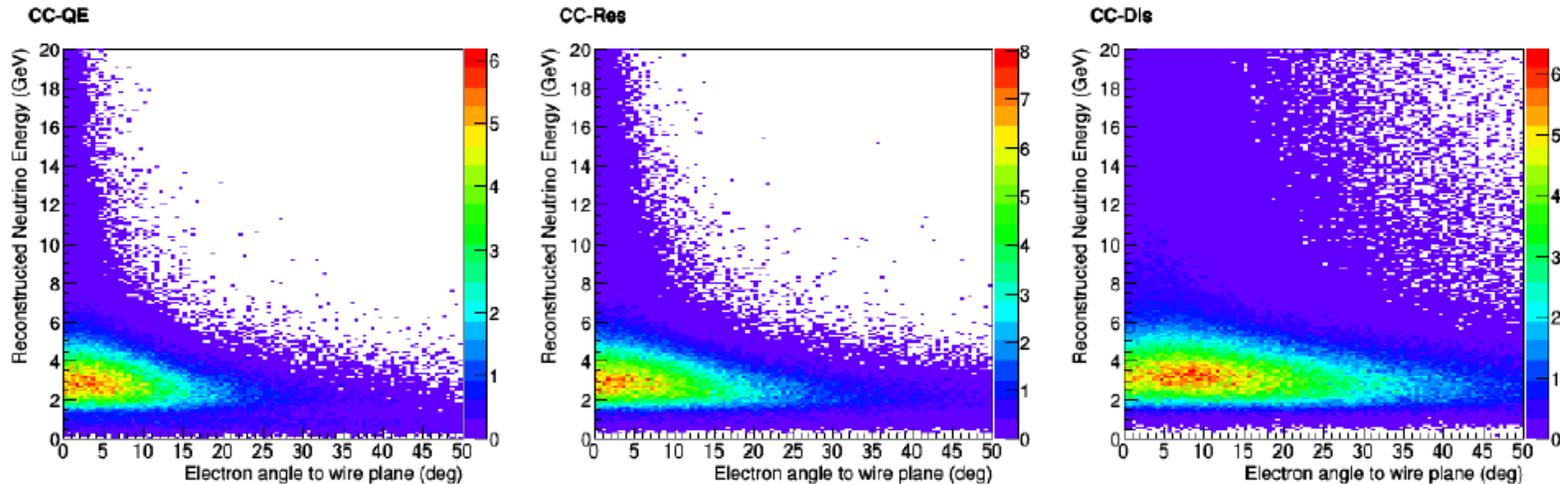
Limited Geometry information for Wire Readout



- Due to the wire readout, the geometry information is not as robust as the time information



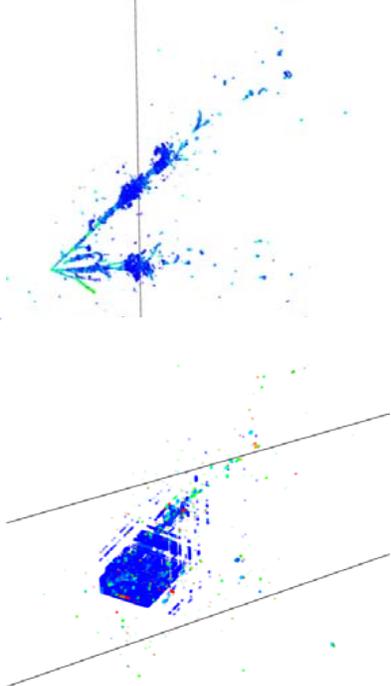
Default: Parallel TPC Orientation



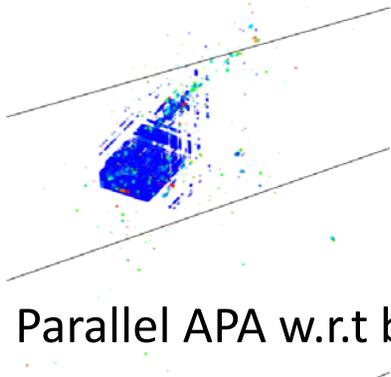
- Current TPC orientation is parallel to the neutrino beam direction leading to less time information, but more geometry information
- Can we turn TPC orientation 90 degrees?

Parallel vs. Perpendicular APA

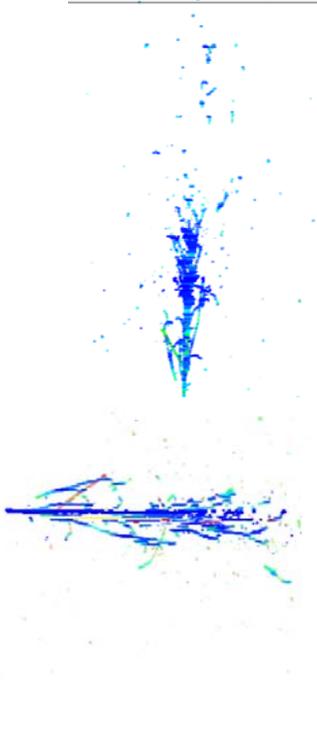
Perpendicular APA
w.r.t beam



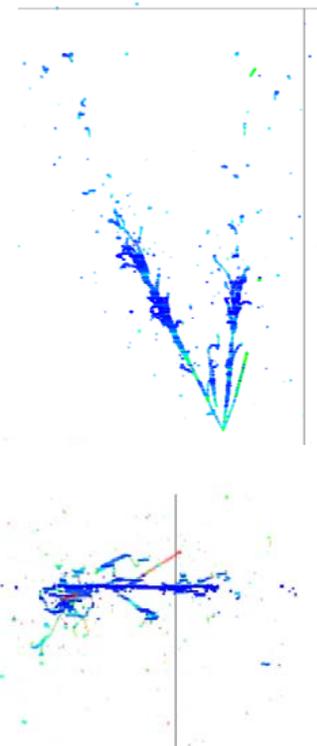
Parallel APA w.r.t beam



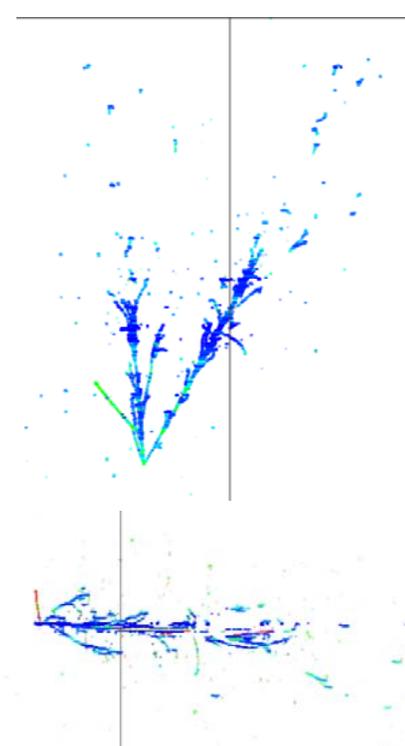
X-Z View



X-U View



X-V View

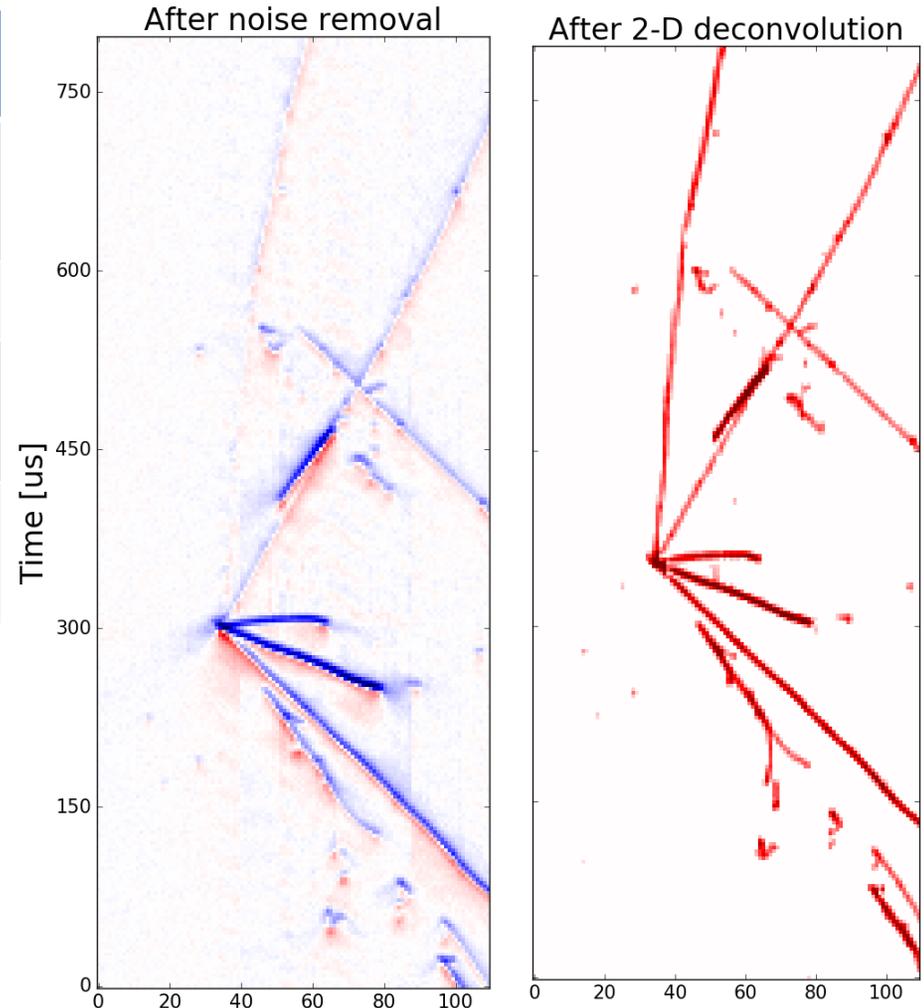


- Perpendicular APA → fewer hit wires + more times info → less ambiguities
- Parallel APA → easier situation for induction plane signal processing

Parallel vs. Perpendicular APA

	Longitudinal (Drift)	Transverse
Digitization length	0.8 mm	3-5 mm
Diffusion (σ)	<1.7 mm	<2.4 mm
Electronics Shaping (σ)	1.3 mm	N/A
Field Response Function	\sim 1.1 mm	3-5 mm

- Better resolution in the drift direction
- Perpendicular APA expects a better e/gamma separation with better gap identification and dE/dx resolution
- Induction signal processing is the key!

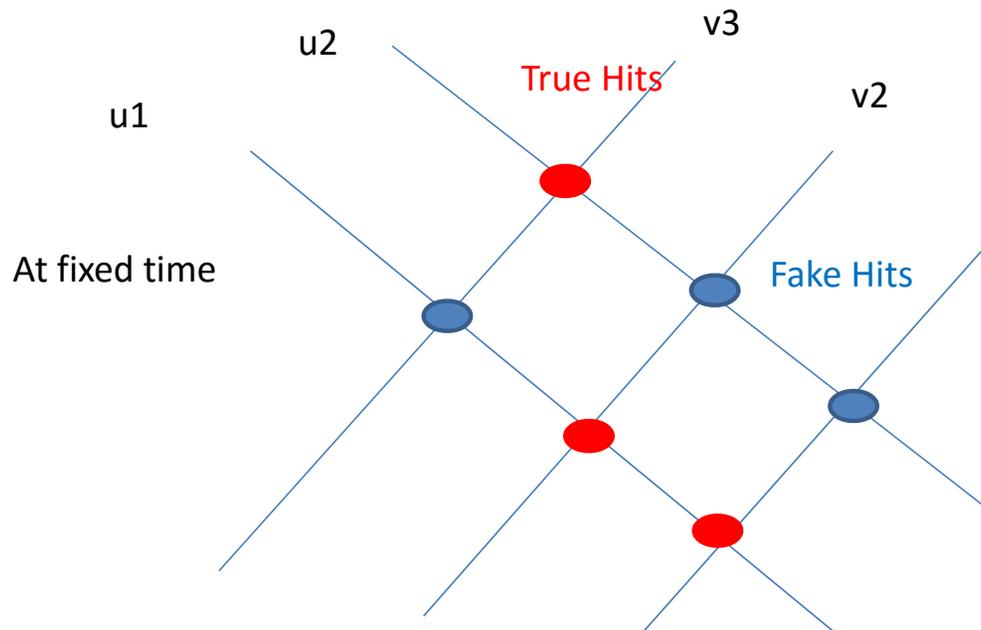


Parallel vs. Perpendicular TPC

	CC-QE	CC-Res	CC-DIS	Total Signal	Vertex-overlapping Bkgd.	Displaced-overlapping Bkgd.
Events	175.2	254.1	371.8	801.1		
Parallel TPC						
$\theta_h, \theta_e < 2.5^\circ$	2.5 (1.4%)	4.4 (1.7%)	6.3 (1.6%)	13.2 (1.6%)	15.5 (1.9%)	21.6 (2.7%)
$\theta_h, \theta_e < 5.0^\circ$	7.0 (3.9%)	16.2 (6.3%)	22.5 (5.6%)	45.6 (5.4%)	52.9 (6.6%)	95.1 (11.9%)
$\theta_h, \theta_e < 7.5^\circ$	12.1 (6.8%)	32.5 (12.6%)	45.7 (11.3%)	90.3 (10.8%)	103.5 (12.9%)	189.4 (23.6%)
Perpendicular TPC						
$\theta_h, \theta_e < 2.5^\circ$	0.0 (0.0%)	0.1 (0.0%)	0.2 (0.1%)	0.3 (0.0%)	1.6 (0.2%)	1.6 (0.2%)
$\theta_h, \theta_e < 5.0^\circ$	0.0 (0.0%)	0.2 (0.1%)	0.9 (0.2%)	1.1 (0.1%)	5.8 (0.7%)	6.0 (0.7%)
$\theta_h, \theta_e < 7.5^\circ$	0.1 (0.0%)	0.5 (0.2%)	1.8 (0.5%)	2.4 (0.3%)	12.1 (1.5%)	13.9 (1.7%)

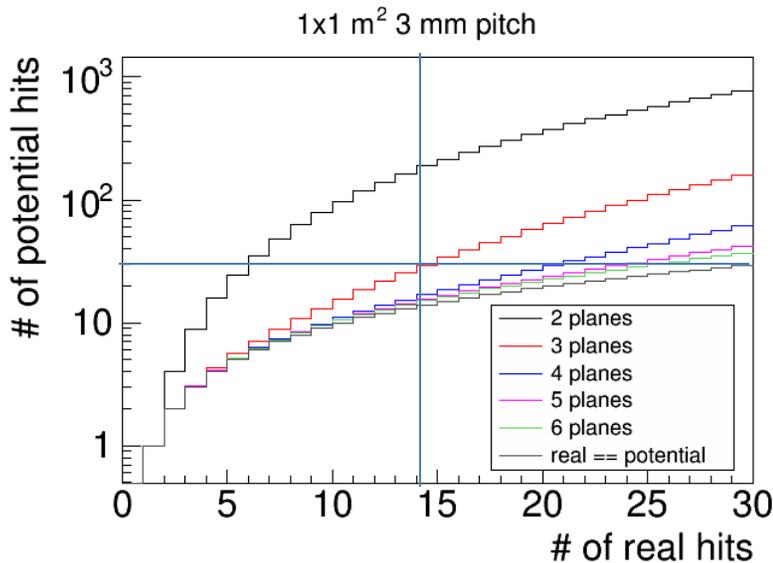
- Significant background reduction is expected for perpendicular TPC → increased physics sensitivity

Four Wire Planes: Reduction of Ambiguities

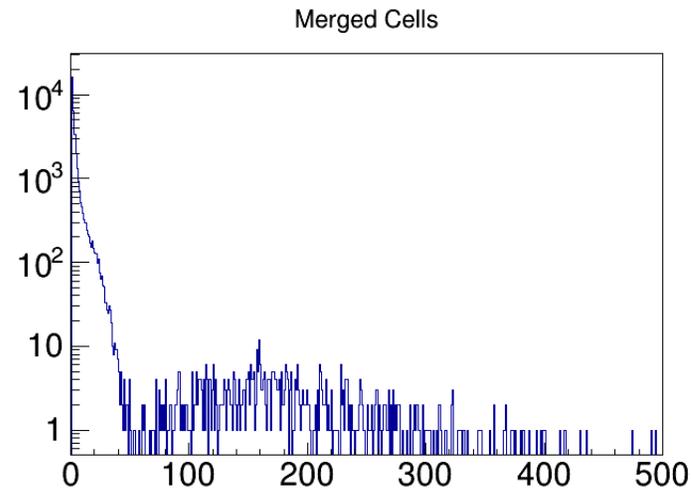


- Ambiguities can be evaluated by comparing the “# of real hits” and the “# of potential hits”
- Take two-plane as an example
 - 3 real hits
 - 6 potential hits (each has two fired wires going through them)
- Ambiguities can be reduced with Connectivity, Charge, Recognized Pattern information
 - These tools are powerful, but not yet robust enough
 - It is much desired to have less ambiguities to start with

Four Wire Planes: Reduction of Ambiguities



Toy MC, a hit only fire one wire in a plane



- **Three-plane setting is much better than two-plane setting, the latter has two much ambiguities**
- **Four-plane setting can significantly reduce the ambiguities, especially when things are busy**

Robustness Against Dead Channels

- In reality, it is highly unlikely to have 100% good channels for a 10 kt detector
- Let's assume "p" is the efficiency of a single plane, the given "n" number of planes, the volume efficiency can be estimated as $\epsilon_n = p^n$
- The efficiency can be higher if less planes are required

$$\epsilon_{n-1} = p^n + n \cdot (1 - p) \cdot p^{n-1}$$

- However, the cost of higher efficiency is an increase of ambiguities (i.e. fake hits)

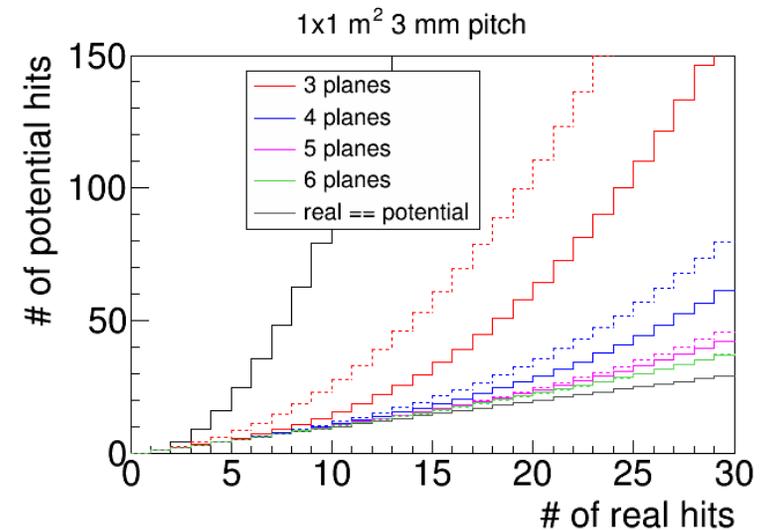
$$\left(F_n + (1 - p) \cdot n \cdot (F_{n-1} - F_n) \right) \cdot \epsilon_{n-1}$$

Original fake hits at "n" planes

Increase of fake hits due to dead channel, leaked from fake hits at "n-1" planes, n different "n-1" planes

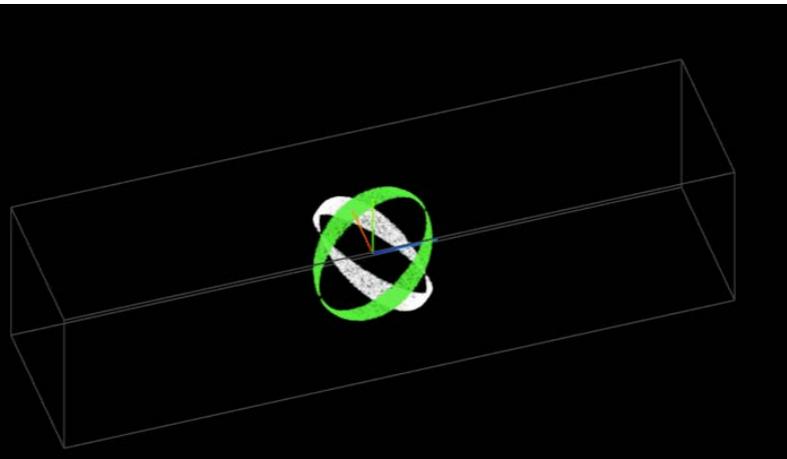
Overall reduction in efficiency

	1% (n/n-1)	5%
3-plane	97% / 99.97%	85.7% / 99.2%
4-plane	96% / 99.94%	81.5% / 98.6%



ROI finder in TPC Induction Signal Processing

- The developed ROI finder is very complicated, and uses the connectivity information and also cut off at $\sim O(100)$ us
- Some part of the phase space will be lost ...
 - <http://www.phy.bnl.gov/wire-cell/bee/set/pps/event/0/?theme=light>

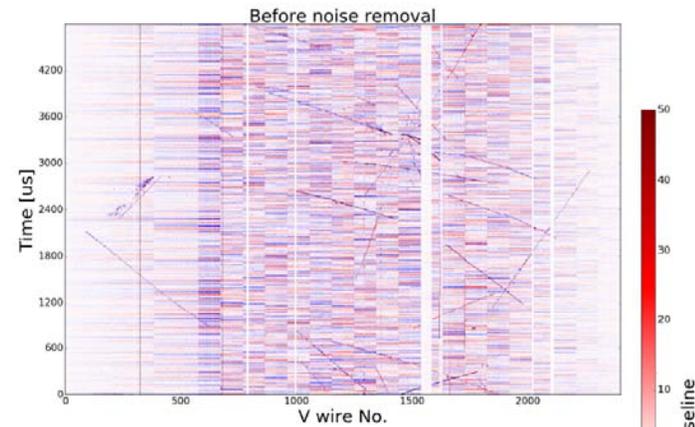
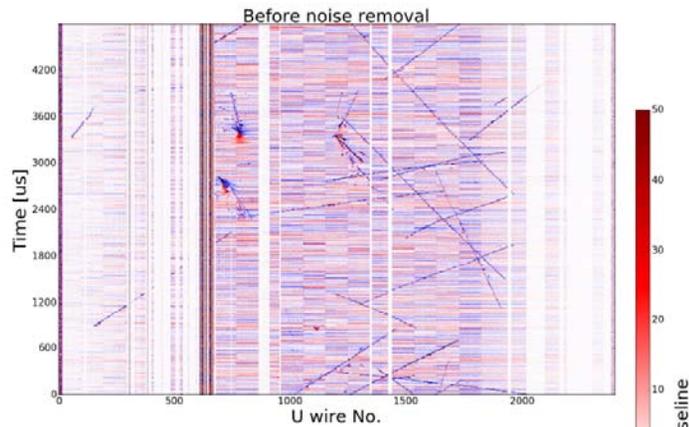


- Each band is for one induction wire plane
- Adding one more plane (4 wire planes) will largely reduce these regions (other benefits not covered here)
- ROI finder would rely on the other three planes' tight ROI

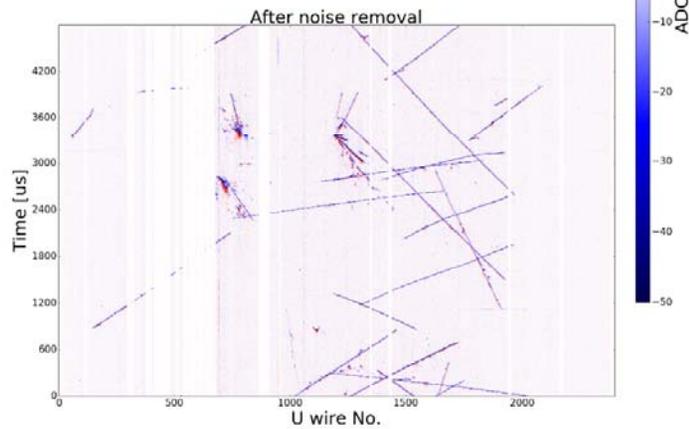
Summary

- Significant progresses have been made in the TPC signal processing and event reconstruction
 - 2D deconvolution + Wire-Cell Tomographic Reconstruction
 - Challenges still remains in achieving low electronic noises and high-quality automated event reconstruction
- Lots of room available to improve the LArTPC design and performance for DUNE's four 10 kt modules
 - Perpendicular TPC orientation, four wire planes ...
- LArTPC technology may hold the key to many major discoveries:
 - Lepontic CP violation, neutrino mass ordering, proton decay, sterile neutrinos ...
- Exciting program in the next decades

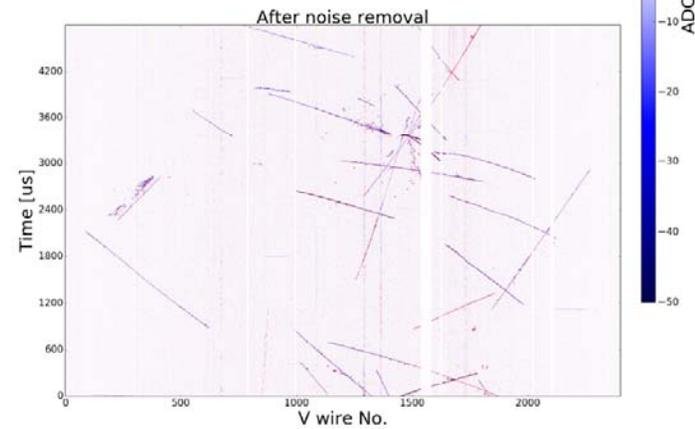
Before and After Excess Noise Filter



Induction U-Plane



Induction V-Plane



MicroBooNE
preliminary

Excess noises are observed and have to be filtered

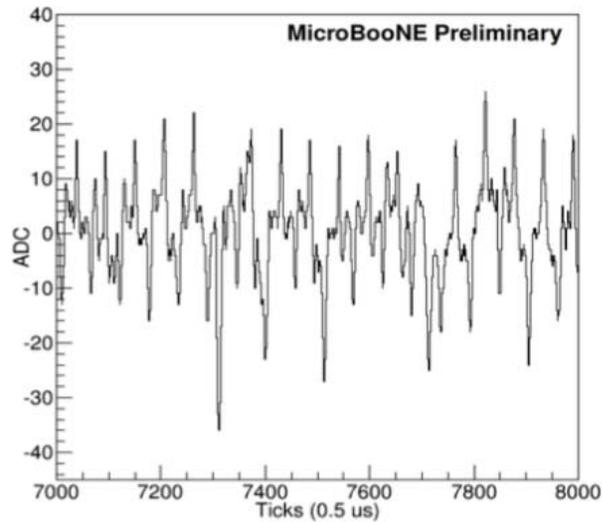
Induction U-Plane Channel

**This harmonic noise
filtered out directly in the
frequency domain (noise
in the drift high voltage)**

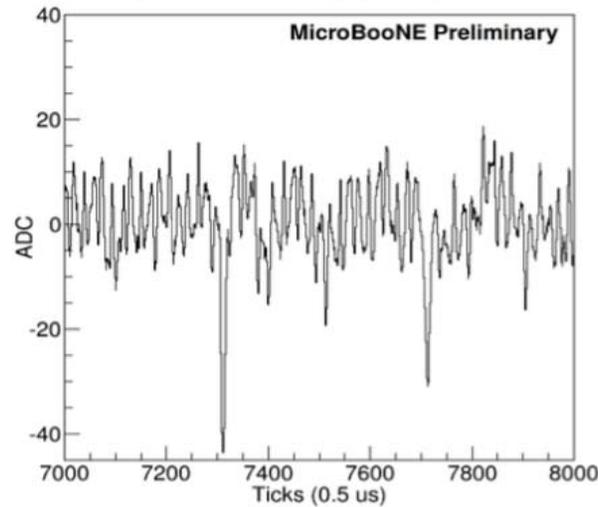
**Coherent noise
subtraction for the
regulator noise (power
supply to the preamp)**

MicroBooNE
preliminary

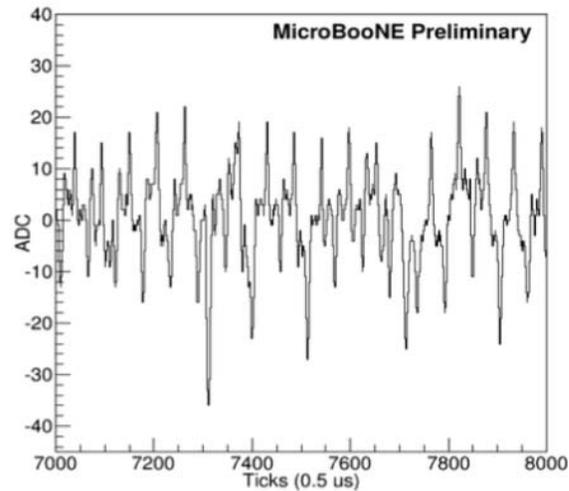
Zoomed Raw Signal



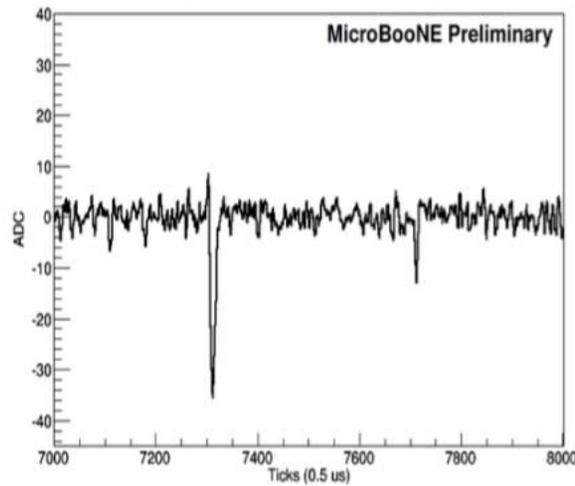
Signal after low freq (36 kHz) filter



Zoomed Raw Signal



Signal After Full Noise Filtering



Excess Noise Removed via Hardware Fix

MicroBooNE
preliminary

