Particle Flow Calorimetry

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These two lectures:

- e⁺e⁻ Collider Physics ↔ Calorimetry
 ② The Particle Flow Paradigm
 ③ The ILD Concept
 ④ ILC Software
 ⑤ PandoraPFA
- **O** Understanding Particle Flow
- How to design a PFlow detector
- Optimize Potential at CLiC
- **O** Conclusions

● e⁺e⁻ Physics ↔ Calorimetry

★Electron-positron colliders provide clean environment for precision physics

The LHC $pp \rightarrow H + X$





 A detector at a future lepton collider (ILC/CLiC) will be designed to take full advantage of this clean environment
 Very different detector design requirements c.f. LHC

e.g. ILC Physics

ILC PHYSICS:

Precision Studies/Measurements

- ★ Higgs sector
- **★** SUSY particle spectrum (if there)
- **★** SM particles (e.g. W-boson, top)
- ★ and much more...

Physics characterised by:

- High Multiplicity final states often 6/8 jets
- *****Small cross-sections, e.g.

$$\sigma(e^+e^- \rightarrow ZHH) = 0.3 \, \text{fb}$$



 Require High Luminosity – i.e. the ILC/CLiC
 Detector optimized for precision measurements in difficult multi-jet environment

Compare with LEP



- * Physics performance depends critically on the detector performance (not true at LEP)
- * Places stringent requirements on the ILC detector

ILC Calorimetry Goals



★ Typical di-jet energies at ILC (100-300 GeV) suggests jet energy resolution goal of $\sigma_E/E < 0.30/\sqrt{E_{jj}(\text{GeV})}$

Why is this important ?

★ Direct impact on physics sensitivity, e.g. "WW-scattering"



If the Higgs mechanism is not responsible for EWSB then WW fusion processes important e⁺e⁻→_{VV}WW→_{VV}qqqq, e⁺e⁻→_{VV}ZZ→_{VV}qqqq





Note: this level of performance wasn't necessary at previous colliders

The Particle Flow Paradigm

- ★ In a typical jet :
 - 60 % of jet energy in charged hadrons
 - + 30 % in photons (mainly from $\pi^0 o \gamma\gamma$)
 - + 10 % in neutral hadrons (mainly $\,n\,$ and $\,K_L\,$)
- **★** Traditional calorimetric approach:
 - Measure all components of jet energy in ECAL/HCAL !
 - + ~70 % of energy measured in HCAL: $\sigma_E/E \approx 60 \, \%/\sqrt{E(GeV)}$
 - Intrinsically "poor" HCAL resolution limits jet energy resolution





- **★** Particle Flow Calorimetry paradigm:
 - charged particles measured in tracker (essentially perfectly)
 - Photons in ECAL: $\sigma_E/E < 20\%/\sqrt{E(GeV)}$
 - Neutral hadrons (ONLY) in HCAL
 - Only 10 % of jet energy from HCAL => much improved resolution

Particle Flow Calorimetry

Hardware:

★Need to be able to resolve energy deposits from different particles
 → Highly granular detectors (as studied in CALICE)





Software:

*****Need to be able to identify energy deposits from each individual particle !

Sophisticated reconstruction software



*****Particle Flow Calorimetry = HARDWARE + SOFTWARE

Particle Flow Algorithms (PFA)

Reconstruction of a Particle Flow Calorimeter:

- ***** Avoid double counting of energy from same particle
- ***** Separate energy deposits from different particles



If these hits are clustered together with these, lose energy deposit from this neutral hadron (now part of track particle) and ruin energy measurement for this jet.

Level of mistakes, "confusion", determines jet energy resolution <u>not</u> the intrinsic calorimetric performance of ECAL/HCAL

sounds easy....

- ***** PFA performance depends on detailed reconstruction
- ★ Relatively new, still developing ideas
- ***** Output of PFlow is a list of reconstructed particles
 - ★ "Particle Flow Objects" PFOs

B The ILD Detector Concept*

NOTE:

* Particle flow reconstruction involves "whole detector"
 * To study potential performance need a detector model, tracking, calorimeters, ...

ILC Detector Concepts:

- Here performance of particle flow calorimetry shown in the context of the ILD detector concept for the ILC
- ★ Detailed GEANT 4 detector model exists
- ★ A potential design for an ILC detector
- **★** Designed for Particle Flow calorimetry !

ILD Main Features:

- Large TPC central tracker (R=1.8 m)
- CMS like solenoid (B = 3.5 T)
- ECAL and HCAL inside solenoid
- ECAL/HCAL highly segmented for PFA



ILD calorimetry concept*

Very high longitudinal and transverse segmentation

ECAL:

- SiW sampling calorimeter
- Tungsten: $X_0 / \lambda_{had} = 1/25$, $R_{Mol.} \sim 9mm$
 - → Narrow EM showers
 - → longitudinal sep. of EM/had. showers
- Iongitudinal segmentation: 30 layers
- transverse segmentation: 5x5 mm² pixels

HCAL:

- Steel-Scintillator sampling calorimeter
- Iongitudinal segmentation: 48 layers (6 interaction lengths)
- transverse segmentation: 3x3 cm² scintillator tiles

Comments:

- * Technologically feasible (although not cheap)
- * Ongoing test beam studies (CALICE collaboration)

*Other ILD calorimetry options being actively studied, e.g. RPC DHCAL, Scintillator strip ECAL



Calorimeter Reconstruction

- High granularity calorimeters <u>very different</u> to previous detectors (except LEP lumi. calorimeters)
- * "Tracking calorimeter" requires a new approach to ECAL/HCAL reconstruction

Particle Flow Reconstruction





Performance will depend on the software algorithm

difficult to evaluate full potential σ_E/E = f (software) **★** There are no short cuts, fast simulation doesn't help...

To evaluate Particle Flow Calorimetry at ILC: need <u>realistic</u> reconstruction chain >10 years before start of ILC !!!

★ But, as a result of a great deal of work within ILC detector community, we already have a first version...

ILD Software Framework (C++)

★ Everything exists – level of sophistication ~LEP experiment



CCAST-Tsinghua School, 26/4/2009

Simulating an event



Mark Thomson

http://ilcsoft.desy.de/portal

- ★ Marlin framework and reconstruction software available from web
- ★ Simple install script "IlcInstall"
- ★ Takes just a few hours to configure and then just run to get all software and associated packages



9 PandoraPFA



- X New paradigm nobody really knows how to approach this
- **So where are we now ?**



Significant effort in context of ILC detector design (~4 groups developing PFA reconstruction worldwide)

Concentrate on: PandoraPFA

This is still work-in-Progress – currently it gives the best performance

Will give an overview of the algorithm to highlight how particle flow reconstruction works

PFA : Basic issues

- **★** Separate energy deposits from different particles
- ***** Avoid double counting of energy from same particle
- ***** Mistakes drive particle flow jet energy resolution
- <u>e.g.</u>
- **★** Need to separate "tracks" (charged hadrons) from photons



★ Need to separate neutral hadrons from charged hadrons



PandoraPFA Overview

- ★ ECAL/HCAL reconstruction and PFA performed in a single algorithm
- ★ Fairly generic algorithm
 - applicable to multiple detector concepts
- **★** Use tracking information to help ECAL/HCAL clustering
- **★** This is a sophisticated algorithm : **10**⁴ lines of code

Eight Main Stages:

- i. Track classification/extrapolation
- **ii**. Loose clustering in ECAL and HCAL
- iii. Topological linking of clearly associated clusters
- iv. Courser grouping of clusters
- v. Iterative reclustering
- vi. Photon Identification/Recovery
- vii. Fragment removal
- viii. Formation of final Particle Flow Objects (reconstructed particles)

i) Tracking

*****The use of optimal use of tracking information in PFA is essential

- **★** Non trivial for looping tracks (even in a TPC)
- Matching of tracks to endcap clusters is non-trivial
- **★** Use of track information is a major part of PandoraPFA
- **★** Big effort to use as many tracks in the event as possible
 - helps particularly for lower energy jets
 - motivation I : better energy resolution
 - motivation II : correct measurement of direction

TPC-oriented: take advantage of pattern recognition capability (the algorithm would need modification for Si tracker)



e.g. Kinks

★ Kink finding extends to "loopers"



★ Can give a measure of missing energy



- Consider physics hypothesis, e.g. $K^{\pm} \rightarrow \mu^{\pm} v$
 - Use Helix fits to start and end of tracks to reconstruct missing particle e.g. v
 - Can then reconstruct primary mass
 - If consistent with hypothesis, e.g. m_K use primary track for PFO four-momentum

PandoraPFA reconstructs (some) neutrinos !

ii) ECAL/HCAL Clustering

- **★** Start at inner layers and work outward
- ★ Tracks can be used to "seed" clusters
- **★** Associate hits with existing Clusters
- ★ If no association made form new Cluster
- ***** Simple cone based algorithm



iii) Topological Cluster Association

+By design, clustering errs on side of caution i.e. clusters tend to be split

Philosophy: easier to put things together than split them up
 Clusters are then associated together in two stages:

- 1) Tight cluster association clear topologies
- 2) Loose cluster association fix what's been missed

🛧 <u>Photon ID</u>

★ Photon ID plays important role

***Simple** "cut-based" photon ID applied to all clusters

Clusters tagged as photons are immune from association procedure – just left alone



★ Clusters associated using a number of topological rules

Clear Associations:

• Join clusters which are clearly associated making use of high granularity + tracking capability: very few mistakes



Example : MIP segments

*Look at clusters which are consistent with having tracks segments and project backwards/forward (defined using local straight-line fits to hits tagged as MIP-like)



Apply tight matching criteria on basis of projected track [NB: + track quality i.e. chi2]

★Here, association based on "tracking" in calorimeters

iv) Cluster Association Part II

- Have made very clear cluster associations
- Now try "cruder" association strategies
- BUT first associate tracks to clusters (temporary association)
- Use track/cluster energies to "veto" associations, e.g.



Provides some protection against obvious mistakes



v) Iterative Reclustering

★ Upto this point, in most cases performance is good – but some difficult cases...



★ At some point hit the limit of "pure" particle flow

• just can't resolve neutral hadron in hadronic shower







Change clustering parameters until cluster splits and get sensible track-cluster match

NOTE: NOT FULL PFA as clustering driven by track momentum

This is <u>very</u> important for higher energy jets

Iterative Reclustering Strategies

① Cluster splitting **Reapply entire clustering algorithm 18 GeV** to hits in "dubious" cluster. Iteratively 30 GeV reduce cone angle until cluster splits to give acceptable energy match to track 10 GeV Track * + plug in alternative clustering algorithms **2** Cluster merging with splitting 38 GeV Look for clusters to add to a track to get sensible energy association. If necessary iteratively split up clusters 12 GeV to get good match. **③** Track association ambiguities

In dense environment may have multiple tracks matched to same cluster. Apply above techniques to get ok energy match.

4 "Nuclear Option"

★ If none of above works – kill track and rely on clusters alone

vi) Photon ID/Recovery

Use simple cut-based photon ID in the early (CPU intensive) stages of PandoraPFA

 In the final stages, use improved photon ID based on the expected EM longitudinal profile for cluster energy E₀

$$\Delta E = E_0 \frac{(t/2)^{a-1} e^{-t/2}}{\Gamma(a)} \Delta t \qquad a = 1.25 + \frac{1}{2} \ln E_0 / E_c$$

★ Convert cluster into energy depositions per radiation length (use cluster to determine the layer spacing, i.e. geometry indep.)



- Shower Profile fixed by cluster energy
- But fit for best shower start, s
- Normalise areas to unity and calc.

$$f = \sum_{i} |o_i - e_i|$$

- Gives a measure of fractional
- disagreement in obs/exp profiles
- Use f and s to ID photons

Photon Recovery

- **★** With cone clustering algorithm, photons close to early showering charged hadrons can be merged into a single cluster.
- **★** Use longitudinal + transverse profile to recover these
- **★** Essentially, for each cluster associated with a track:
 - project ECAL hits onto plane perpendicular to radial vector to point where track intersects ECAL
 - search for peaks...



statistically compatible with track momentum + cluster passes photonID

Use profiles to "dig out" photons overlapping with hadronic clusters:

- Also look for photons where only a single peak is found
- Implemented by looking at longitudinal profile of "shower"



Only allowed if it results in acceptable track-cluster energy consistency...

NOTE: in PandoraPFA, photon identification is an "iterative", rather than one-off process: different levels of sophistication applied at different stages of algorithm

viii) Fragment removal : basic idea

★ Look for "evidence" that a cluster is associated with another



- ★ Convert to a numerical evidence score E
- ★ Compare to another score "required evidence" for matching, R, based on change in E/p chi-squared, location in ECAL/HCAL etc.
- If E > R then clusters are merged
- ★ Rather ad hoc but works well but works well

Putting it all together...



End of Lecture 1

Aside: Analysing PFOs

★ Within Marlin software framework analysis is very easy:

void ExampleDSTAnalysis::processEvent(LCEvent * evt) {

typedef const std::vector<std::string> StringVec ;

```
// loop over collections in event
StringVec* strVec = evt->getCollectionNames() ;
for(StringVec::const_iterator name=strVec->begin(); name!=strVec->end(); name++){
   LCCollection* col = evt->getCollection(*name);
```

```
// find the reconstructed particle flow object collection
if(*name=="PandoraPFOs"){
    for(unsigned int i=0;i<nelem;i++){
        ReconstructedParticle* recoPart = dynamic_cast<ReconstructedParticle*>(col>getElementAt(i));
// store PFOs in a vector for later analysis
    __pfovec.push_back(recoPart);
    }
}
```

e.g. to calculate total energy in event:

for(unsigned int i=0; i<_pfovec.size(); i++)e += _pfovec[i]->getEnergy();

6 Current performance

★ Benchmark performance using Z → uū and Z → dd events (clean, no neutrinos)
★ Test at for different energies with Z decays at rest
★ OPAL tune of Pythia fragmentation
★ Full reconstruction (track + calo) using no Monte Carlo "cheat" information



NOTE:

- Quoting rms of reconstructed energy distribution is misleading
- Particle Flow occasionally goes very wrong → tails dominate rms
- Conventional to measure performance using rms90 which is relatively insensitive to tails



(need care when comparing to Gaussian resolution)

Sligthly confusing but necessary: energy distribution not Gaussian
 To illustrate the point compare:

- PFlow reconstructed energy distribution
- Gaussian with raw of energy distribution
- Gaussion with rms₉₀



★ NOTE: FWHM of distribution actually narrower than for G(rms₉₀)

Performance (ILD) $Z \rightarrow d\overline{d}, Z \rightarrow u\overline{u}$

rms90	PandoraPFA v03 -β	
E _{JET}	σ _E /E = α/√E _{jj} cosθ <0.7	σ ε/Ε j
45 GeV	23.8 %	3.5 %
100 GeV	29.1 %	2.9 %
180 GeV	37.7 %	2.8 %
250 GeV	45.6 %	2.9 %

•	Full	G4 simulation
•	Full	reconstruction

- **★** Particle flow achieves ILC goal of $\sigma_{\rm E}/E_{\rm i}$ < 3.8 %
- ★ For lower energy jets Particle Flow gives unprecedented levels of performance, e.g. @ 45 GeV : 3.5% c.f. ~10% (ALEPH)
- **\star** "Calorimetric" performance (α) degrades for higher energy jets
- **★** Current PFA code is not perfect lower limit on performance

Proof of principle:

PARTICLE FLOW CALORIMETRY WORKS

At least in simulation

Understanding PFA Performance

What drives Particle Flow performance ?

- Try to use various "Perfect PFA" algorithms to pin down main performance drivers (resolution, confusion, ...)
- **★** Use MC to "cheat" various aspects of Particle Flow



Contribution	σ _E /Ε			
Contribution	45 GeV	100 GeV	180 GeV	250 GeV
Calo. Resolution	3.1 %	2.1 %	1.5 %	1.3 %
Leakage	0.1 %	0.5 %	0.8 %	1.0 %
Tracking	0.7 %	0.7 %	1.0 %	0.7 %
Photons "missed"	0.4 %	1.2 %	1.4 %	1.8 %
Neutrals "missed"	1.0 %	1.6 %	1.7 %	1.8 %
Charged Frags.	1.2 %	0.7 %	0.4 %	0.0 %
"Other"	0.8 %	0.8 %	1.2 %	1.2 %

Comments:

- **★** For 45 GeV jets, jet energy resolution dominated by ECAL/HCAL resolution
- ★ Track reco. not a large contribution (Reco ≈ CheatedTracking)
- ★ "Satellite" neutral fragments not a large contribution
 - efficiently identified
- **★** Leakage only becomes significant for high energies
- *** Missed neutral hadrons** dominant confusion effect
- ***** Missed photons, important at higher energies



Leakage

Two interesting questions:

- **★** How important is HCAL leakage ?
 - vary number of HCAL layers
- **★** What can be recovered using MUON chambers as a "Tail catcher"
 - PandoraPFA now includes MUON chamber reco.
 - Switched off in default version
 - Simple standalone clustering (cone based)
 - Fairly simple matching to CALO clusters (apply energy/momentum veto)
 - Simple energy estimator (digital) + some estimate for loss in coil



HCAL Depth Results

Open circles = no use of muon chambers as a "tail-catcher"

Solid circles = including "tail-catcher"



HCAL	λι	
Layers	HCAL	+ECAL
32	4.0	4.8
38	4.7	5.5
43	5.4	6.2
48	6.0	6.8
63	7.9	8.7

ECAL : $\lambda_r = 0.8$ HCAL : λ_r includes scintillator

- **\star** Little motivation for going beyond a 48 layer (6 λ_{I}) HCAL
- ★ Depends on Hadron Shower simulation
- ★ "Tail-catcher": corrects ~50% effect of leakage, limited by thick solenoid

For 1 TeV machine "reasonable range" ~ 40 – 48 layers (5 λ_I - 6 λ_I)

Optimisation of a Particle Flow detector



- Large detector spatially separate particles
- High B-field separate charged/neutrals
- High granularity ECAL/HCAL resolve particles

Might expect "figure-of-merit": $\frac{BR^2}{\sigma} \leftarrow Calorimeter granularity/R_{Moliere}$

★ Argues for: large + high granularity + 1 B

R

★ Cost considerations: small + lower granularity + ↓ B

Optimise detector parameters using PandoraPFA



e.g. Radius vs. B-field

Cost drivers:

- For Particle flow, ECAL and HCAL inside Solenoid
- Calorimeters and solenoid are the main cost drivers of an ILC detector optimised for particle flow
- Cost of calorimeters scales with active area
- Cost of solenoid scales with stored energy, (very approx.)

 $\$\$ \propto (B^2 R^2 L)^{0.66}$

★TPC radius and **B-field** play major role in total detector cost

Study jet energy resolution as a function of B and R



PFA Optimisation: B vs Radius

***** Vary B and R



★ <u>Conclude:</u>

- R is more important than B for PFA performance
- Confusion term \propto B^{-0.3}R⁻¹
- 1/R makes sense it's just geometry

How important is segmentation ?



and ECAL Segmentation ?

Investigate 10×10mm², 20×20mm² and 30×30mm²

Note: retuned PandoraPFA clustering parameters



Caveat:

Remember results are algorithm dependent
 Could reflect flaw in reconstruction

*****Nevertheless: highly segmented HCAL/ECAL clearly essential

* Particle Flow can deliver ILC jet energy goals * Whole detector concepts studied, and (partially) optimised e.g. ILD * What about Particle Flow for higher energy machines ?

8 Particle Flow at CLIC

 * Particle Flow can deliver ILC jet energy goals
 * Detector concepts studied, and (partially) optimised e.g. ILD
 * What about Particle Flow for CLIC ?

STEP 1: take ILD and run...



General Considerations



★Does not degrade significantly with energy (but leakage will be important at CLIC)

 Particle flow gives much better performance at "low" energies
 very promising for ILC

What about at CLiC ?

***** PFA perf. degrades with energy
 ***** For 500 GeV jets, current alg. and ILD concept:

$$\sigma_E/E \approx 85\%/\sqrt{E/\text{GeV}}$$

★ Crank up field, HCAL depth...

 $\sigma_E/E \approx 65\%/\sqrt{E/\text{GeV}}$

rms90	PandoraPFA v03 -β	
E _{JET}	$\sigma_{\rm E}/{\rm E} = \frac{\alpha}{\sqrt{E_{\rm jj}}}$ cosθ <0.7	σ _Ε /Ε _j
45 GeV	23.8 %	3.5 %
100 GeV	29.1 %	2.9 %
180 GeV	37.7 %	2.8 %
250 GeV	45.6 %	2.9 %
500 GeV	84.1 %	3.7 %
500 GeV	64.3 %	3.0 %

63 layer HCAL (8 λ_1)

B = 5.0 Tesla

Algorithm not tuned for very high energy jets, so can probably do significantly better

<u>Conclude:</u> for 500 GeV jets, PFA reconstruction not ruled out

- ★ For 1 TeV jets, particle flow will not give $\sigma_E/E < 60\%/\sqrt{E/\text{GeV}}$ (probably substantially worse)
- ★ This is probably not a problem for two reasons
 - i) Not interested in 1 TeV jets:
 - most interesting physics likely to be 6, 8, ... fermion final states
 For 0.5 TeV jets, particle flow likely to be comparable or better than a traditional calorimetric approach
 - ii) A PFlow calorimeter still has good calorimetric resolution can design algorithm to move away from particle flow at higher energies

- Could be adapted on event, jet, locality basis
- Energy flow "trivial" to implement in PandoraPFA
- An adaptive algorithm should not be too difficult...

But, a particle flow detector is expensive: possible to justify cost ?

Physics Considerations

★Whether particle flow is appropriate for a multi-TeV e⁺e⁻ collider needs detailed study but depends on <u>physics program</u>, e.g.

- CLIC is unlikely to operate solely at the highest energy
- Likely to be a rich physics program below max. energy
 - lower \sqrt{s} to study Higgs, SUSY threshold scans, etc.
 - Here Particle Flow Calorimetry highly desirable

For high energy running what are the calorimetry goals ?

- ★ For ILC reasonably well defined, wish to separate W/Z
- **★** For CLIC, less clear and again depends on physics program
- ★ What is most important:
 - direct reconstruction of high mass particles
 - What jet energy scale ? Not $\sqrt{s}/2$
 - For 6 fermion final states current PFA already competitive (ILD+)
 - What mass resolution is needed ?
 - For 1TeV particle, e.g. $X \rightarrow q\overline{q}$ decaying at rest current PFA + ILD detector:
 - Missing transverse energy (i.e. p_T) resolution ?
 - W/Z separation ?

 $\frac{\sigma_m}{m_X} \sim 2.7 \%$

W/Z Separation at high Energies

★On-shell W/Z decay topology depends on energy:





rms90	Pandor	<u>aPFA v03-</u>
Ez	σ ε/Ε	σ _{m/} m
125 GeV	2.4 %	2.7 %
250 GeV	2.5 %	3.1 %
500 GeV	3.1 %	4.1 %
1 TeV	4.2 %	6.2 %
1.5 TeV	5.6 %	8.2 %

8 Conclusions

***** Particle Flow at the ILC

Now have a proof of principle of Particle Flow Calorimetry

➡ Unprecedented Jet Energy Resolution

 Based on full simulation/reconstruction (gaps and all) of ILD detector concept

PFLOW drives design of ILC Detectors: ILD and SiD

★ Particle Flow at CLIC

Particle Flow Calorimetry certainly not ruled out

- Need to consider in context of the full CLIC physics programme - what drives jet energy resolution goals at CLIC ?
- For Higgs + threshold studies, CLIC would be likely to run at lower energy: here there is a strong argument for PFA
- For mono-jet mass resolution, PFA may help at high energies (needs study)
- Perhaps surprisingly, ILD detector concept looks like it will give "OK" performance for 500 GeV jets and 1 TeV Zs: i.e. TPC, 3.5 T, 6 λ_1

Thank you